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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

DIVISION OF MARKET REGULATION

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation

3. Class of New Derivative Securities Product:

Index Linked Note

4. Name of Underlying Instrument:

Price of Gold

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Narrow-based

6. Ticker Symbol(s) of New Derivative Securities Product:

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: CBOT, COMEX, NYMEX

8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Gary N. Sundick

Title:

Vice President, Listing Qualifications

Telephone Number:

1-301-978-5214

Manual Signature of Official Responsible for Form:

Date: July 30, 2009

| , a.c. | | | | | | | | | | | |
|--------|-------|--------|------------|----------|--------|------|---|--|--|--|--|
| | Act | | Securities | Exchange | Act of | 1934 | - | | | | |
| SEC | 2449 | (6-01) | | | | | | | | | |
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| 2449 10-U II | | | **** | |
|---------------|------|------------|------|--|
| Section | 19b | -4 | | |
| Rule | 19b | -4(e) | | |
| Public | ALIC | Ε | 2000 | |
| Availability: | AUG | – 0 | 2009 | |