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OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Ťrust 3. Class of New Derivative Securities Product: ownership of the trust 4. Name of Underlying Instrument: iShares KLD 400 Social Index Fund 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad Ticker Symbol(s) of New Derivative Securities Product: 6. DSI 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various 8. Settlement Methodology of New Derivative Securities Product: see Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): see Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards Name of Official Responsible for Form: James Ongena Title: Vice President and Associate General Counsel Telephone Number: 312 663 2937 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Date: Act 7/23/09 Section 19b-4

Rule

Public

Availability:

SEC 2449 (1/99)

19b-4(e)

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