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 AND EXAMINATIONS  
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UNITED STATES  
 SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
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**ANNUAL AUDITED REPORT  
 FORM X-17A-5 (A)  
 PART III**

SEC FILE NUMBER  
 8-15719

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the  
 Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING October 1, 2008 AND ENDING September 30, 2009  
 MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: Financial America Securities, Inc.  
 ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

OFFICIAL USE ONLY  
 FIRM I.D. NO.

925 Euclid Avenue, Suite 1525

(No. and Street)

Cleveland

(City)

Ohio

(State)

44115

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

John D. Rukenbrod

(216) 781-5060

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

Hobe & Lucas, Certified Public Accountants, Inc.

(Name - if individual, state last, first, middle name)

4807 Rockside Road, Suite 510 Independence

(Address)

(City)

Ohio

(State)

44131

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

**FOR OFFICIAL USE ONLY**

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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# Hobe & Lucas

Certified Public Accountants, Inc.

4807 Rockside Road, Suite 510 (P) 216.524.8900  
Independence, Ohio 44131 (F) 216.524.8777  
www.hobe.com

## INDEPENDENT ACCOUNTANTS' REPORT

To the Board of Directors of  
Financial America Securities, Inc.  
Cleveland, Ohio

We have examined management's assertion that the accompanying Schedule of SIPC Assessment payments of Financial America Securities, Inc. for the year ended September 30, 2009 is presented in accordance with the applicable instructions and forms of the SIPC. Financial America Securities, Inc.'s management is responsible for the assertion. Our responsibility is to express an opinion based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants and, accordingly, included examining, on a test basis, evidence supporting the schedule of SIPC Assessment payments and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion.

In our opinion, the schedule referred to above presents, in all material respects, the SIPC Assessment payments of Financial America Securities, Inc. for the year ended September 30, 2009 based on the applicable instructions and forms of SIPC.

*Hobe & Lucas CPAs, Inc.*

December 22, 2009

Independent Member

**B K R**

INTERNATIONAL

Firms In Principal Cities Worldwide

**FINANCIAL AMERICA SECURITIES, INC.**  
**SCHEDULE OF FEES ASSESSED BY THE SECURITIES**  
**INVESTOR PROTECTION CORPORATION PURSUANT TO SEC RULE 17a-5(e)(4)**  
**SEPTEMBER 30, 2009**

<b>Payment Date</b>	<b>Payment Amount</b>
1/6/2009	\$ 150.00
11/18/2009	\$ 850.00

\$ 1,000.00 Total mailed to:

SIPC  
805 15th St. NW  
Suite 800  
Washington DC 20005-2216

**FINANCIAL AMERICA SECURITIES, INC.**  
**AND SUBSIDIARY**  
**SCHEDULE OF FEES ASSESSED BY THE SECURITIES**  
**INVESTOR PROTECTION CORPORATION**  
**PURSUANT TO SEC RULE 17a-5(e)(4)**  
**SEPTEMBER 30, 2009**