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**ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART III**

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 05/01/2008 AND ENDING 12/31/2008  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: LAUVER AND COMPANY, INC.

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

2040 EAST MARKET STREET

YORK

(No. and Street)  
PA

17402-2840

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

ORVILLE H. LAUVER

(717) 755-2891

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

GOLDBERG & BALTHASER, P.C. CERTIFIED PUBLIC ACCOUNTANTS

(Name - if individual, state last, first, middle name)

3540 PROGRESS AVENUE, SUITE 107 HARRISBURG

PA

17110

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

Certified Public Accountant

Public Accountant

Accountant not resident in United States or any of its possessions.

**FOR OFFICIAL USE ONLY**

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

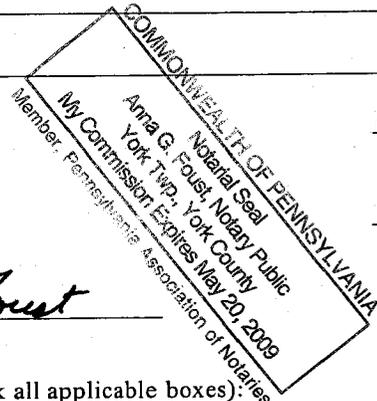
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AB  
3/17

OATH OR AFFIRMATION

I, ORVILLE H. LAUVER, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of LAUVER AND COMPANY, INC., as of DECEMBER 31,, 2008, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

NONE



Orville H. Lauver

Signature

ORVILLE H. LAUVER

PRESIDENT

Title

Anna G. Foust

Notary Public

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

LAUVER AND COMPANY, INC.  
STATEMENT OF FINANCIAL CONDITION - BALANCE SHEET  
DECEMBER 31, 2008

**ASSETS**

Consolidated <input type="checkbox"/> [0198]	Unconsolidated <input checked="" type="checkbox"/> [0199]	<b>Allowable</b>	<b>Non-Allowable</b>	<b>Total</b>
1. Cash		20,491 _____ [0200]		20,491 _____ [0750]
2. Receivables from brokers or dealers:				
A. Clearance account		_____ [0295]		
B. Other		18 _____ [0300]	_____ [0550]	18 _____ [0810]
3. Receivables from non-customers		_____ [0355]	_____ [0600]	_____ [0830]
4. Securities and spot commodities owned, at market value:				
A. Exempted securities		_____ [0418]		
B. Debt securities		_____ [0419]		
C. Options		_____ [0420]		
D. Other securities		36,322 _____ [0424]		
E. Spot commodities		_____ [0430]		36,322 _____ [0850]
5. Securities and/or other investments not readily marketable:				
A. At cost		_____ [0130]		
B. At estimated fair value		_____ [0440]	_____ [0610]	_____ [0860]
6. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:		_____ [0460]	_____ [0630]	_____ [0880]
A. Exempted securities		_____ [0150]		
B. Other securities		_____ [0160]		
7. Secured demand notes market value of collateral:		_____ [0470]	_____ [0640]	_____ [0890]

**A. Exempted securities**

\_\_\_\_\_  
[0170]

**B. Other securities**

\_\_\_\_\_  
[0180]

**8. Memberships in exchanges:**

**A. Owned, at market**

\_\_\_\_\_  
[0190]

**B. Owned, at cost**

\_\_\_\_\_  
[0650]

**C. Contributed for use of the company, at market value**

\_\_\_\_\_  
[0660]

\_\_\_\_\_  
[0900]

**9. Investment in and receivables from affiliates, subsidiaries and associated partnerships**

\_\_\_\_\_  
[0480]

\_\_\_\_\_  
[0670]

\_\_\_\_\_  
[0910]

**10. Property, furniture, equipment, leasehold improvements and rights under lease agreements, at cost-net of accumulated depreciation and amortization**

\_\_\_\_\_  
[0490]

\_\_\_\_\_  
[0680]

\_\_\_\_\_  
[0920]

**11. Other assets**

\_\_\_\_\_  
[0535]

2,457

\_\_\_\_\_  
[0735]

2,457

\_\_\_\_\_  
[0930]

**12. TOTAL ASSETS**

56,831

\_\_\_\_\_  
[0540]

2,457

\_\_\_\_\_  
[0740]

59,288

\_\_\_\_\_  
[0940]

## LIABILITIES AND OWNERSHIP EQUITY

Liabilities	A.I. Liabilities	Non-A.I. Liabilities	Total
13. Bank loans payable	[1045]	[1255]	[1470]
14. Payable to brokers or dealers:			
A. Clearance account	[1114]	[1315]	[1560]
B. Other	[1115]	[1305]	[1540]
15. Payable to non-customers	[1155]	[1355]	[1610]
16. Securities sold not yet purchased, at market value		[1360]	[1620]
17. Accounts payable, accrued liabilities, expenses and other	15,813 [1205]	[1385]	15,813 [1685]
18. Notes and mortgages payable:			
A. Unsecured	[1210]		[1690]
B. Secured	[1211]	[1390]	[1700]
19. Liabilities subordinated to claims of general creditors:			
A. Cash borrowings:			
1. from outsiders			
	[0970]		
2. Includes equity subordination (15c3-1(d)) of			
	[0980]		
B. Securities borrowings, at market value:			
from outsiders			
		[1410]	[1720]
	[0990]		
C. Pursuant to secured demand note collateral agreements:			
1. from outsiders			
	[1000]		
2. Includes			
		[1420]	[1730]

equity  
subordination  
(15c3-1(d)) of

[1010]

	D. Exchange memberships contributed for use of company, at market value		[1430]	[1740]	
	E. Accounts and other borrowings not qualified for net capital purposes	[1220]	[1440]	[1750]	
20.	<b>TOTAL LIABILITIES</b>	15,813	[1230]	15,813	[1760]

**Ownership Equity**

		<b>Total</b>
21.	Sole proprietorship	[1770]
22.	Partnership (limited partners [1020])	[1780]
23.	Corporations:	
	A. Preferred stock	[1791]
	B. Common stock	35,100 [1792]
	C. Additional paid-in capital	5,450 [1793]
	D. Retained earnings	2,925 [1794]
	E. Total	43,475 [1795]
	F. Less capital stock in treasury	[1796]
24.	<b>TOTAL OWNERSHIP EQUITY</b>	43,475 [1800]
25.	<b>TOTAL LIABILITIES AND OWNERSHIP EQUITY</b>	59,288 [1810]