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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

DIVISION OF MARKET REGULATION

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



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- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
ownership of the trust
- Name of Underlying Instrument:
iShares Dow Jones U S Broker-Dealers Index Fund
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad
- Ticker Symbol(s) of New Derivative Securities Product:
IAI
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
various
- Settlement Methodology of New Derivative Securities Product:
see Prospectus
- Position Limits of New Derivative Securities Product (if applicable):
see Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

James Ongena

Title:

Vice President and Associate General Counsel

Telephone Number:

312 663 2937

Manual Signature of Official Responsible for Form:

James Ongena

Date: 07

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	JUL 24 2009