

091-06236he

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OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2001
Estimated average burden hours per response.....	2.00

JUL 24 2009

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

DIVISION OF MARKET REGULATION

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
ownership of the trust
- Name of Underlying Instrument:  
iShares Morningstar Small Growth Index Fund
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad
- Ticker Symbol(s) of New Derivative Securities Product:  
JKK
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
various
- Settlement Methodology of New Derivative Securities Product:  
see Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
see Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Ongena

Title:  
Vice President and Associate General Counsel

Telephone Number:  
312 663 2937

Manual Signature of Official Responsible for Form:  
Securities Exchange Act of 1934  
*James Ongena*

Date: 07/27/09  
Section Rule Public  
19b-4  
19b-4(e)

Availability: JUL 24 2009