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SECURITIES AND EXCHANGE COMMISSION

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

DIVISION OF MARKET REGULATION

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
3. Class of New Derivative Securities Product:  
ownership of the trust
4. Name of Underlying Instrument:  
iShares NYSE Composite Index Fund
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad
6. Ticker Symbol(s) of New Derivative Securities Product:  
NYC
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
various
8. Settlement Methodology of New Derivative Securities Product:  
see Prospectus
9. Position Limits of New Derivative Securities Product (if applicable):  
see Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

James Ongena

Title:

Vice President and Associate General Counsel

Telephone Number:

312 663 2937

Manual Signature of Official Responsible for Form:

Act Securities Exchange Act of 1934

Date: 07/23/09

Section 19b-4

Rule 19b-4(e)

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