

091-05891 *fu*

For Internal Use Only
Sec File No. 9
SECURITIES AND EXCHANGE COMMISSION

Submit 1 Original
and 9 Copies

| OMB APPROVAL | |
|--------------------------|-----------------|
| OMB Number: | 3235-0504 |
| Expires: | August 31, 2010 |
| Estimated average burden | |
| Hours per response: | 3.60 |

RECEIVED
MAY 28 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

MARKET REGULATION

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



09051740

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:

National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Bank

3. Class of New Derivative Securities Product:

Debt

4. Name of Underlying Instrument:

Dow Jones-AIG Aluminum Total Return Sub-IndexSM

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

JJU

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYMEX

8. Settlement Methodology of New Derivative Securities Product:

Cash

9. Position Limits of New Derivative Securities Product (if applicable):

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date:
May 22, 2009

James C. Yong

| | |
|-----------------------------|--|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | MAY 28 2009 |



James C. Yong
Chief Regulatory Officer
yongj@nsx.com

SECURITIES AND EXCHANGE COMMISSION

RECEIVED

MAY 28 2009

DIVISION OF MARKET REGULATION

May 22, 2009

SECURITIES AND EXCHANGE COMMISSION

RECEIVED

MAY 28 2009

DIVISION OF MARKET REGULATION

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

| | |
|-----------------------------|--|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | MAY 28 2009 |

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- | | | | |
|---------|---------|---------|---------|
| 1. MDD | 14. HYD | 27. JJS | 40. CUL |
| 2. EWX | 15. IDX | 28. JJT | 41. SVQ |
| 3. RWO | 16. AFK | 29. GRN | 42. CAK |
| 4. IPD | 17. MES | 30. VXX | 43. SKP |
| 5. IPS | 18. UOY | 31. VXZ | 44. SMM |
| 6. IPW | 19. DOY | 32. QAI | 45. AMO |
| 7. IPF | 20. JJU | 33. VT | 46. SQD |
| 8. IRY | 21. NIB | 34. WIP | 47. EGK |
| 9. IPN | 22. JO | 35. AMJ | 48. MYX |
| 10. IRV | 23. BAL | 36. FLM | 49. SQT |
| 11. IPK | 24. LD | 37. LSC | |
| 12. IST | 25. PGM | 38. ICI | |
| 13. IPU | 26. JJP | 39. BSB | |

If you have any questions on these materials, please contact me at 312/786-8893.

Very truly yours

Encls.