

091-05871

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OMB APPROVAL

SECURITIES AND EXCHANGE COMMISSION  
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MAY 26 2009

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
Hours per response: . . . . .3.60

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**



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- 1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:  
National Stock Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- 3. Class of New Derivative Securities Product:  
Equity
- 4. Name of Underlying Instrument:  
AlphaShares China Small Cap Index
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based
- 6. Ticker Symbol(s) of New Derivative Securities Product:  
HAO
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
China
- 8. Settlement Methodology of New Derivative Securities Product:  
Cash
- 9. Position Limits of New Derivative Securities Product (if applicable):

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date:  
May 15, 2009

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 26 2009

James C. Yong  
Chief Regulatory Officer  
yongj@nsx.com

SECURITIES AND EXCHANGE COMMISSION  
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MAY 26 2009

DIVISION OF MARKET REGULATION

May 15, 2009

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	<b>19b-4</b>
<b>Rule</b>	<b>19b-4(e)</b>
<b>Public Availability:</b>	MAY 26 2009

RE: Securities Exchange Act Forms 19b4-(e)

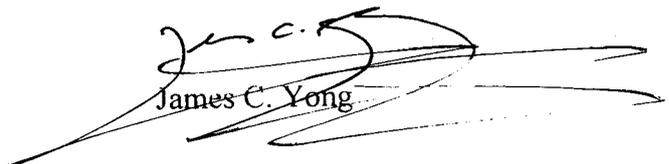
Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- |         |         |          |
|---------|---------|----------|
| 1. PTM  | 13. AIA | 25. PMNA |
| 2. PTD  | 14. EDZ | 26. PAGG |
| 3. REA  | 15. EDC | 27. PBTQ |
| 4. REC  | 16. DZK | 28. PKOL |
| 5. RFL  | 17. DPK | 29. PSAU |
| 6. RFN  | 18. TYH | 30. PTRP |
| 7. RHM  | 19. TYP | 31. PSTL |
| 8. RHO  | 20. MWJ | 32. SEA  |
| 9. RTG  | 21. MWN | 33. HAO  |
| 10. RTW | 22. FAN | 34. TAO  |
| 11. BKF | 23. PIN |          |
| 12. ECH | 24. PKN |          |

If you have any questions on these materials, please contact me at 312/786-8058.

Very truly yours

  
James C. Yong

Encls.