

091-05845

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL

SECURITIES AND EXCHANGE COMMISSION
RECEIVED

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
Hours per response:3.60

MAY 26 2009

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
DIVISION OF MARKET REGULATION

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



- Name of Self-Regulatory Organization Trading New Derivative Securities Product:
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Equity
- Name of Underlying Instrument:
Financial Select Sector Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:
RFL
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NYSE Arca
- Settlement Methodology of New Derivative Securities Product:
Cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date:
May 15, 2009

[Handwritten Signature]

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 26 2009



James C. Yong
Chief Regulatory Officer
yongj@nsx.com

SECURITIES AND EXCHANGE COMMISSION
RECEIVED

MAY 26 2009

DIVISION OF MARKET REGULATION

May 15, 2009

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 26 2009

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- | | | |
|---------|---------|----------|
| 1. PTM | 13. AIA | 25. PMNA |
| 2. PTD | 14. EDZ | 26. PAGG |
| 3. REA | 15. EDC | 27. PBTQ |
| 4. REC | 16. DZK | 28. PKOL |
| 5. RFL | 17. DPK | 29. PSAU |
| 6. RFN | 18. TYH | 30. PTRP |
| 7. RHM | 19. TYP | 31. PSTL |
| 8. RHO | 20. MWJ | 32. SEA |
| 9. RTG | 21. MWN | 33. HAO |
| 10. RTW | 22. FAN | 34. TAO |
| 11. BKF | 23. PIN | |
| 12. ECH | 24. PKN | |

If you have any questions on these materials, please contact me at 312/786-8058.

Very truly yours

Encls.