

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

SECURITIES AND EXCHANGE COMMISSION

RECEIVED

MAY 13 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
Hours per response: 3.60

FORM 19b-4(e)

DIVISION OF MARKET REGULATION

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:
National Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Bank
3. Class of New Derivative Securities Product:
Debt
4. Name of Underlying Instrument:
UBS Bloomberg Constant Maturity Commodity Index Metals Total Return
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
6. Ticker Symbol(s) of New Derivative Securities Product:
UBM
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NYSE Arca
8. Settlement Methodology of New Derivative Securities Product:
Cash
9. Position Limits of New Derivative Securities Product (if applicable):



Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date:
May 5, 2009

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	MAY 13 2009

James C. Yong
Chief Regulatory Officer
yongj@nsx.com

MAY 13 2009

DIVISION OF MARKET REGULATION

May 5, 2009

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

Securities Exchange Act of 1934	
Act	
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 13 2009

RE: Securities Exchange Act Forms 19b4-(e)

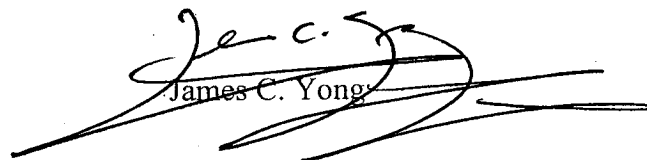
Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- | | | |
|---------|---------|---------|
| 1. PQY | 13. URR | 25. ADZ |
| 2. PQZ | 14. DRR | 26. AGF |
| 3. PMA | 15. EIS | 27. DEE |
| 4. PLK | 16. THD | 28. DYY |
| 5. UCI | 17. TUR | 29. DDP |
| 6. UAG | 18. CNY | 30. DPU |
| 7. UBM | 19. INR | 31. PXR |
| 8. UBN | 20. PTO | 32. PIZ |
| 9. FUD | 21. PAO | 33. PIE |
| 10. UBC | 22. PCA | 34. PRY |
| 11. UBG | 23. AGA | |
| 12. USV | 24. DAG | |

If you have any questions on these materials, please contact me at 312/786-8058.

Very truly yours


James C. Yong

Encls.