

091-05798-4

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SECURITIES AND EXCHANGE COMMISSION

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

MAY 13 2009

FORM 19b-4(e)

DIVISION OF MARKET REGULATION

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report



09051644

- Name of Self-Regulatory Organization Trading New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
U.S. government, corporate and agency debt
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
PLK
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
NYSE Arca
- Settlement Methodology of New Derivative Securities Product:  
Cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date:  
May 5, 2009

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 13 2009



SECURITIES AND EXCHANGE COMMISSION

RECEIVED

MAY 13 2009

James C. Yong  
Chief Regulatory Officer  
yongj@nsx.com

DIVISION OF MARKET REGULATION

May 5, 2009

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	<b>19b-4</b>
<b>Rule</b>	<b>19b-4(e)</b>
<b>Public Availability:</b>	<b>MAY 13 2009</b>

RE: Securities Exchange Act-Forms 19b4-(e)

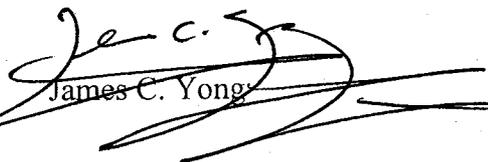
Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- |         |         |         |
|---------|---------|---------|
| 1. PQY  | 13. URR | 25. ADZ |
| 2. PQZ  | 14. DRR | 26. AGF |
| 3. PMA  | 15. EIS | 27. DEE |
| 4. PLK  | 16. THD | 28. DYY |
| 5. UCI  | 17. TUR | 29. DDP |
| 6. UAG  | 18. CNY | 30. DPU |
| 7. UBM  | 19. INR | 31. PXR |
| 8. UBN  | 20. PTO | 32. PIZ |
| 9. FUD  | 21. PAO | 33. PIE |
| 10. UBC | 22. PCA | 34. PRY |
| 11. UBG | 23. AGA |         |
| 12. USV | 24. DAG |         |

If you have any questions on these materials, please contact me at 312/786-8058.

Very truly yours

  
James C. Yong

Encls.