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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
DIVISION OF MARKET REGULATION
Washington, D.C. 20549

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Tamara Schademann

Title: Chief Regulatory Officer

Telephone Number: (913) 815-7113

Manual Signature of Official Responsible for Form:

Tamara Schademann

Date: February 9, 2009

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 11 2009

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON FEBRUARY 5, 2009

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow Based</u>
AXD	Corporation	Exchange traded notes	Common stock of Apple Inc.	Various U.S. markets	N/A
DRR	Corporation	Exchange traded notes; currency index linked securities	Double Short Euro Index	Various U.S. and foreign markets.	Narrow
FOA	Corporation	Exchange traded notes	Common stock of Freeport-McMoRan Copper & Gold Inc.	Various U.S. markets	N/A
JYF	Trust	Investment company units	London Interbank Bid Rate for 1-month Yen deposits	Various foreign markets.	N/A
MAO	Corporation	Exchange traded notes; index linked securities	MSCI EAFE Index	Various foreign markets.	Broad
QKP	Corporation	Exchange traded notes	Common stock of Qualcomm Incorporated	Various U.S. markets	N/A
URR	Corporation	Exchange traded notes; currency index linked securities	Double Long Euro Index	Various U.S. and foreign markets.	Narrow
USY	Trust	Investment company units	London Interbank Bid Rate for 1-month U.S. dollar deposits	Various U.S. and foreign markets.	N/A
WIP	Trust	Investment company units	DB Global Government ex-US Inflation-Linked Bond Capped Index	Various foreign markets.	Broad



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February 9, 2009

Ms. Florence E. Harmon
Deputy Secretary
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Harmon,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nine (9) derivative securities products that commenced trading on BATS Exchange on February 5, 2009. Please contact me or Anders Franzon (913.815.7154) if you have any questions in connection with this matter.

Sincerely,

Tamara Schademann
Chief Regulatory Officer

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
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