

091-04487-41

For Internal Use Only

Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2010
Estimated average burden hours per response.	3.60

2009 MAR 26 AM 11:30

SEC / HR

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: March 19, 2009

Securities Exchange Act of 1934	
Act	
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 23 2009

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON MARCH 19, 2009

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
BWZ	Trust	Investment company units	Barclays Capital 1-3 Year Global Treasury ex-US Capped Index	N/A (World fixed income markets)	Broad
ESM	Corporation	Equity-linked notes	Common stock of Exxon Mobil Corporation	Various U.S. markets	N/A
FAA	Trust	Investment company units	NYSE Arca Global Airline Index	Various world markets	Broad
IMW	Corporation	Index-linked exchangeable notes	AMEX Energy Select Sector Index	Various world markets	Broad
LWC	Trust	Investment company units	Barclays Capital U.S. Long Credit Index	Various world markets	Broad
MBG	Trust	Investment company units	Barclays Capital U.S. MBS Index	N/A (U.S. fixed income market)	Broad
MHG	Corporation	Index-linked exchangeable notes	S&P MidCap 400 Index	Various U.S. markets	Broad
PKN	Trust	Investment company units	WNA Nuclear Energy Index	Various world markets	Broad
RWV	Trust	Investment company units	Navellier Overall A-100 Fund	Various U.S. markets	Broad
RWW	Trust	Investment company units	S&P 500 Financials Index	Various U.S. markets	Broad
TUR	Trust	Investment company units	MSCI Turkey Investable Market Index	Istanbul Stock Exchange	Broad



RECEIVED

2009 MAR 26 AM 11:26

SEC / REG

RECEIVED
MAR 23 2009
DEPUTY SECRETARY

March 19, 2009

Ms. Florence E. Harmon
Deputy Secretary
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Harmon,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding eleven (11) derivative securities products that commence trading on BATS Exchange on March 19, 2009. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
Associate General Counsel

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 23 2009