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SEC / 19b-4

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**The NASDAQ Stock Market LLC**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Corporation**

3. Class of New Derivative Securities Product:

**Index Linked Note**

4. Name of Underlying Instrument:

**S&P 500 Index**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**Broad-based**

6. Ticker Symbol(s) of New Derivative Securities Product:

**SBK**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**Listed on: NASDAQ Stock Market LLC, NYSE**

8. Position Limits of New Derivative Securities Product (if applicable):

**Regular way trades settle on T + 3 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):

**N/A**



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Gary N. Sundick**

Title:

**Vice President, Listing Qualifications**

Telephone Number:

**301-978-5214**

Manual Signature of Official Responsible for Form:

Date: **February 06, 2009**

SEC 2449 (6-01)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	FEB 12 2009
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