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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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SECURITIES AND EXCHANGE COMMISSION  
RECEIVED  
SEP 28 2009  
BRANCH OF REGISTRATIONS  
AND  
EXCHANGES  
02

ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART III

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 07/01/08 AND ENDING 06/30/09  
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: RAMPART SECURITIES, INC.  
ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

OFFICIAL USE ONLY  
FIRM I.D. NO.

ONE INTERNATIONAL PLACE  
(No. and Street)

BOSTON MA 02110-2634  
(City) (State) (Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT  
RONALD EGALKA (617) 342-6900  
(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

MAYER HOFFMAN MCCANN P.C.

(Name - if individual, state last, first, middle name)

350 MASSACHUSETTS AVENUE CAMBRIDGE MA 02139  
(Address) (City) (State) (Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

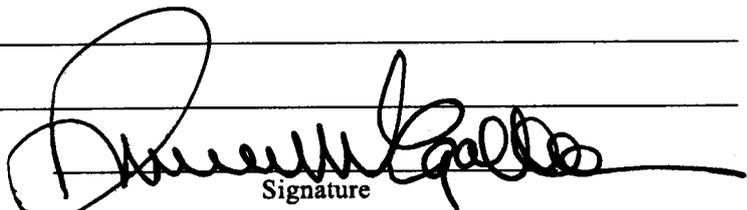
09/16

AB  
10/30

OATH OR AFFIRMATION

I, RONALD EGALKA, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of RAMPART SECURITIES, INC., as of JUNE 30, 2009, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

  
Signature

TREASURER  
Title

Subscribed and sworn to before me  
this 21<sup>st</sup> day of September 09  
Nancy A. Pitts  
Notary Public  
My Commission Expires 9-25-09

Notary Public

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).



Via Certified/Return Receipt: 7008 3230 0001 2717 6016

September 11, 2009

Mr. Ronald Egalka  
Treasurer  
Rampart Securities, Inc.  
One International Place  
14<sup>th</sup> Floor  
Boston, MA 02110-2634

Mr. Egalka  
Rampart Securities, Inc.  
One International Place  
14<sup>th</sup> Floor  
Boston, MA 02110-2634  
121

**RE: Rampart Securities, Inc.**

Dear Mr. Egalka:

This acknowledges receipt of your June 30, 2009 annual filing of audited financial statements made pursuant to U.S. Securities and Exchange Commission (SEC) Rule 17a-5(d) (the Rule). The report as submitted appears deficient in that it did not contain the following:

**1. An SIPC Supplemental Report –Pursuant to SEC Rule 17a-5(e)(4).**

Based on the above, your filing does not comply with the requirements of the Rule. The text of the Rule is reproduced in the NASD Manual under the section titled SEC Rules. We urge you to review the Rule with your independent accountant.

Pursuant to the provisions of NASD Rule 8210, we request that you send one copy of each item listed above to this office and to the appropriate SEC regional office of district office, and two copies to the SEC Washington, D.C. office. Your submissions must include a new completed Form X-17A-5 Part III Facing Page, a copy of which is enclosed for your convenience.

Please respond to this matter by **September 25, 2009**. Questions may be addressed to Cindy L. Miller, Coordinator, at (617) 532-3489.

Sincerely,

John A. Cogswell  
Examination Manager

JAC/jm

Mr. Ronald Egalka  
Treasurer  
Rampart Securities, Inc.  
September 11, 2009  
Page 2 of 2

Enclosure: Form X-17A-5 Part III Facing Page

cc: Andrew Caverly  
Assistant Regional Director  
Securities and Exchange Commission  
33 Arch Street  
23<sup>rd</sup> Floor  
Boston, MA 02110

Mayer Hoffman McCann P.C.  
Certified Public Accountant  
350 Massachusetts Avenue  
Cambridge, MA 02139

**RAMPART SECURITIES, INC.**

One International Place  
Boston, MA 02110-2634

September 18, 2009

Securities and Exchange Commission  
Registration Branch  
Mail Stop 8031  
100 F Street NE  
Washington DC 20549

**SEC Mail  
Mail Processing  
Section**

SEP 28 2009

Re: September 11<sup>th</sup> letter from Financial Industry Regulatory Authority

**Washington, DC  
106**

Dear Sir or Madam:

Please find enclosed a copy of the letter that we received stating that the submission of our audited financial statements for the fiscal year ended June 30, 2009 appeared deficient. Please be advised that we are exempt from including an SIPC Supplemental Report pursuant to SEC Rule 17a-5(e)(4) due to the fact that our gross receipts are less than \$500,000.

If you require anything further, please do not hesitate to contact us.

Sincerely,



Ronald Egalka  
Treasurer

*Member Firm of National Association of Securities Dealers, Inc.*

Tel: 617.342.6900 • Fax: 617.342.6910 • [www.rimco.com](http://www.rimco.com) • [rsi@rimco.com](mailto:rsi@rimco.com)