

OMB# 1557-0184  
EXPIRES  
9/30/2000

Notice of Government Securities Broker  
Activities



s Dealer

To Be Filed by a Financial Institution Pursuant to Section 15C(a)(1)(B)  
Of the Securities Exchange Act of 1934

1. Appropriate regulatory agency (check one):

- A.  Comptroller of the Currency
- B.  Board of Governors of the Federal Reserve System
- C.  Federal Deposit Insurance Corporation
- D.  Office of Thrift Supervision
- E.  Securities and Exchange Commission

2. Filing status of notice (check as applicable):

- A.  Government Securities Broker
- B.  Government Securities Dealer
- C.  Government Securities Broker and Dealer

3. Filing status of notice (check as applicable):

- D.  Notice
- E.  Amendment

**SEC**  
Mail Processing  
Section

AUG 24 2009

Washington DC  
187

4. A. Full name of Financial Institution:

UMB Bank n.a. Investment Banking Division

B. Address of principal office of Financial Institution:

1010 Grand Blvd, Kansas City, MO 64106

C. Address of principal office where government securities broker or government securities dealer activities will be conducted [if different than item (B)]:

same

D. Mailing address if different from (B) or (C):

P.O. Box 419226, Kansas City, MO 64141-6226

E. Name, title and telephone number of contact person with respect to this notice:

Stephen M. Kitts

Executive V. President

816-860-1559

Name

Title

Telephone

5. Does the Financial Institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A.  Yes B.  No  
(If yes, provide addresses and describe activities.)

There are branches of the Investment Banking Division located at the UMB Bank at 2 South Broadway, St. Louis,

MO, UMB National Bank of America at 100 South Santa Fe, Salina, KS, UMB Columbine National Bank, 6900 E.

Hampden, Denver, CO; UMB Oklahoma Bank at 1217 Agnew, Oklahoma City, OK; UMB Bank Omaha at

11808 W. Center Rd, Omaha, NE. Sales Reps of UMB Bank, n.a. Investment Banking Division conduct

municipal & government securities transactions for the convenience of our customers in our other branches.

These locations are listed separately and attached. The Kansas City 1010 Grand Blvd location provides

all information and support for dealing in municipal and government securities.

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

Kitts	Stephen	M	EVP and Banking Services Manager
Last	First	Middle	Title
Bane	Sondra	R.	VP/IBD Compliance & Risk Mgr
Last	First	Middle	Title
Smith	Samuel	Todd	VP and Manager of IBD Fin. Services
Last	First	Middle	Title
Wendel	Clyde	F	Asset Mgr -President
Last	First	Middle	Title
Smith	Sandra	Jane	SVP and Government Securities Super
Last	First	Middle	Title

Note: Attach a separate Form G-Fin-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in response to Item 6.

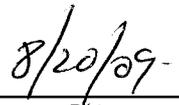
7. Has any "associated person" (see definition in paragraph A.7. of the Instructions) responded "yes" to any question in Item 17 of Form G-Fin-4, or "yes" to one or more questions in Items 20 through 25 of Form MSD-4 or Item 22 on Form U-4? A.  Yes B.  No

(If yes, attach a copy of Form G-FIN-4, Form MSD-4, or Form U-4 for all such persons with this Notice.)

Note: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. [See 17 C.F.R. 400.4(c)]. Similar requirements are applicable to Form MSD-4 and Form U-4.

8. The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current and complete.

Please print name and title of person executing this notice:

Stephen	M.	Kitts	EVP and Banking Services Manager
First	Middle	Last	Title
			
Manual Signature			Date

Last Name	First Name	Former Name	Employment Date with the bank	53	Title
Beahan	Ellen		10/30/2000		VP/Investment Officer
Curtis	Jack LeRoy		1/17/2001		VP/Investment Operations Mgr.
Daniel	Sonja Nimi	Hemmen	6/13/1990		VP/Investment Safekeeping Mgr
Gobel	Jeffrey Paul		1/27/1982		EVP
Phillips	John Gregory		12/13/1976		SVP Tax Exempt Trading Mgr.
Richter	Philip Brian		4/29/1997		SVP Public Finance Mgr
Trudell	Andre		5/25/1999		SVP/National Sales Dir