

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# **TEMPORARY**

# FORM D

OMB Number: 3235-0076 March 15, 2009 Expires: Estimated average burden hours per response. . . . . , 4.00 Mail Processing

39707

## NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, **SECTION 4(6), AND/OR** UNIFORM LIMITED OFFERING EXEMPTION

Name of Offering (  check if this is an amendment and name has changed, and indicate change MMLISI Financial Alliances, LLC Series A251 Members	hip Interests
Filing Under (Check box(es) that apply):	
A. BASIC IDENTIFICATION DATA	
1. Enter the information requested about the issuer	
Name of Issuer ( check if this is an amendment and name has changed, and indicate change.)  MMLISI Financial Alliances, LLC	
Address of Executive Offices (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001	Telephone Number (Including Area Code) (413) 744-8811
Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices) same as above	Telephone Number (Including Area Code) same as above
Brief Description of Business	
Securities Brokerage, investment advisory and retai	l insurance.
GENERAL INSTRUCTIONS Note: This is a special Temporary Form D (17 CFR 239.500T) that is CFR 239.500) only to issuers that file with the Commission a notice on Temporary Form D (17 CFR 239.500) but is in paper format on or after September 15, 2008 but before March 16, 2009. During that perinitial notice using Form D (17 CFR 239.500) but, if it does, the issuer must file amendments using comply with all the requirements of § 230.503T.  Federal:  Who Must File: All issuers making an offering of securities in reliance on an exception under Reguseq. or 15 U.S.C. 77d(6).  When To File: A notice must be filed no later than 15 days after the first sale of securities in the of Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address after the date on which it is due, on the date it was mailed by United States registered or cettle. U.S. Securities and Exchange Commission, 100 F Street, N.E., Washington, D.C. 20. Copies Required: Two (2) copies of this notice must be filed with the SEC, one of which must be must be a photocopy of the manually signed copy or bear typed or printed signatures.  Information Required: A new filing must contain all information requested. Amendments need only any changes thereto, the information requested in Part C, and any material changes from the information Part E and the Appendix need not be filed with the SEC.  Filing Fee: There is no federal filing fee.  State:  This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate each state where sales are to be, or have been made. If a state requires the payment of a fee as a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate s Appendix to the notice constitutes a part of this notice and must be completed.	for sales of securities in those states that enotice with the Securities Administrator in precondition to the claim for the exemption, a
Appendix to the notice constitutes a part of this notice and must be completed.  ATTENTION  Failure to file notice in the appropriate states will not result in a loss of the federal ex appropriate federal notice will not result in a loss of an available state exemption unless.	emption. Conversely, failure to file the

filing of a federal notice.

#### A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer. Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. **✓** Director General and/or ☐ Beneficial Owner Executive Officer Promoter Check Box(es) that Apply: Managing Partner Full Name (Last name first, if individual) Sajdak, Jeffrey M. Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 General and/or Promoter Beneficial Owner Executive Officer Director Check Box(es) that Apply: Managing Partner Full Name (Last name first, if individual) Lahaie, Peter Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 General and/or Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Rosenthal, Robert S. Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 General and/or ☐ Beneficial Owner Executive Officer Director Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Andrade, Cindy B. Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 General and/or Executive Officer Director Check Box(es) that Apply: Promoter Beneficial Owner Managing Partner Full Name (Last name first, if individual) Hicks, Lise Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 General and/or Executive Officer Director Check Box(es) that Apply: Promoter Beneficial Owner Managing Partner Full Name (Last name first, if individual) Vaccaro, John A. Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 Beneficial Owner Executive Officer Director General and/or Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Pugh, Burvin J. Business or Residence Address (Number and Street, City, State, Zip Code)

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

1295 State Street, Springfield, MA 01111-0001

#### A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer. Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Executive Officer General and/or **✓** Director Beneficial Owner Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Scott, Rich Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 Executive Officer Director General and/or Promoter Beneficial Owner Check Box(es) that Apply: Managing Partner Full Name (Last name first, if individual) Rogan, John Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 General and/or Director Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) MML Investors Services, Inc. Business or Residence Address (Number and Street, City, State, Zip Code) 1295 State Street, Springfield, MA 01111-0001 Beneficial Owner Executive Officer General and/or Director Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) General and/or Check Box(es) that Apply: Promoter ☐ Beneficial Owner Executive Officer Director Managing Partner Full Name (Last name first, if individual) (Number and Street, City, State, Zip Code) Business or Residence Address Executive Officer Director General and/or Check Box(es) that Apply: Beneficial Owner Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) General and/or Beneficial Owner Executive Officer Director Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code)

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

				В, П	NFORMATI	ON ABOU	r offeri	NG				N
1. Has the	e issuer solo	l. or does tl	ne issuer ir	itend to se	ll, to non-a	ccredited in	nvestors in	this offeri	ng?		Yes	No ⊠
1. Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?  Answer also in Appendix, Column 2, if filing under ULOE.									<del></del>			
2. What i									\$_2,500.00			
											Yes	No
	he offering											×
4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.												
Full Name N/A	(Last name	first, if ind	ividual)									
Business or	Residence	Address (N	lumber and	Street, C	ty, State, Z	ip Code)						
N/A		- <u>-</u>	·			· · ·						
Name of As N/A	ssociated Bi	oker or De	aler									
States in W	hich Persor	Listed Ha	Solicited	or Intends	to Solicit I	Purchasers						
(Check	"All States	s" or check	individual	States)			•••••					States
AL IL MT RI	AK IN NE SC	IA NV SD	AR KS NH TN	CA KY NJ TX	LA NM UT	ME NY VT	MD NC VA	MA ND WA	FL MI OH WV	GA MN OK WI	HI MS OR WY	ID MO PA PR
Full Name N/A Business o				d Street C	State S	Zin Code)						
N/A	r Residence	Address (1	vuinber an	d Silect, C	nty, State, 2	enp code)						
Name of A:	ssociated B	roker or De	aler									
	hich Persor	Listed Ha	Solicited	or Intends	to Solicit l	Purchasers						
(Check	"All States	s" or check	individual	States)			•••••	•••••	••••••			States
AL	AK	AZ	AR	CA	CO	CT	DE	DC	FL	GA	HI	ID
IL	IN	IA	KS	KY	LA	ME	MD	MA	MI	MN	MS	MO
MT	NE	NV	NH	NJ TX	NM UT	NY VT	NC VA	ND WA	OH WV	OK WI	OR WY	PA PR
RI	SC	SD SD	[TN]	<u> </u>	[01]	VI	<u>[VA]</u>	WA		_ <u></u>		
Full Name N/A	(Last name	nrst, n ma	(Viduai)									
Business o	r Residence	Address (1	Number an	d Street, C	ity, State, 2	Zip Code)						
Name of A	ssociated B	oker or De	aler					A 100-1				
N/A		X	G 1: '4 1	T	4- 0-1:-:41	D l						
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers  (Check "All States" or check individual States)							☐ All	States				
												[ID]
IL MT RI	IN NE SC	IA NV SD	AR KS NH TN	KY NJ TX	LA NM UT	ME NY VT	MD NC VA	MA ND WA	FL MI OH WV	MN OK WI	MS OR WY	MO PA PR

## C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

	already exchanged.	Aggregate		Amo	ount Already
	Type of Security	Offering Pric	e		Sold
	Debt	-0-		<b>3</b>	- 0 -
	Equity	2,500.00		<u>\$_2,</u>	500.00
	☐ Common ☐ Preferred				- 0 -
	Convertible Securities (including warrants)	-0-		\$	
	Partnership Interests	-0-		Þ	- 0 -
	Other (Specify )	-0-		⊅	- 0 -
	Total	2,500.00		<u>\$_2,8</u>	500.00
	Answer also in Appendix, Column 3, if filing under ULOE.				
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number Investors 1		Do of	Aggregate llar Amount Purchases 2,500.00
	Accredited Investors		_	\$ <u>_2</u> \$ 0	
	Non-accredited Investors				
	Total (for filings under Rule 504 only)	N/A	_	<u>\$_1</u>	
	Answer also in Appendix, Column 4, if filing under ULOE.				
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1.				
		Type of		Do	ollar Amount
	Type of Offering	Security - 0 -		•	Sold - 0 -
	Rule 505	- 0 -	_	\$	-0-
	Regulation A	-0-	_	\$	-0-
	Rule 504			\$	- 0 -
	Total	- 0 -	_	\$	-0-
4	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.			•	.00
	Transfer Agent's Fees			ъ	.00
	Printing and Engraving Costs		1	<u>\$_10</u>	
	Legal Fees		1	<u>\$_30</u>	0.00
	Accounting Fees			\$	
	Engineering Fees			\$	
	Sales Commissions (specify finders' fees separately)			\$	<del></del>
	Other Expenses (identify) Filing fees			\$_2	50.00
	Total		7	\$ 2	90.00

	C. OFFERING PRICE, NUMB	EER OF INVESTORS, EXPENSES AND USE OF	PROCEEDS	
	b. Enter the difference between the aggregate offering and total expenses furnished in response to Part C — C proceeds to the issuer."	Question 4.a. This difference is the "adjusted gross		\$
5.	Indicate below the amount of the adjusted gross pro- each of the purposes shown. If the amount for any check the box to the left of the estimate. The total of proceeds to the issuer set forth in response to Part	y purpose is not known, furnish an estimate and the payments listed must equal the adjusted gross		
			Payments to Officers, Directors, & Affiliates	Payments to Others
	Salaries and fees		\$ <u>-0 -</u>	S - 0 -
	Purchase of real estate		_ \$ <u>-0-</u>	<u> </u>
	Purchase, rental or leasing and installation of mach and equipment		_ \$ <u>-</u> 0-	so-
	Construction or leasing of plant buildings and faci	lities	_ \$ <u>-0-</u>	□ \$ <u>-0-</u>
	Acquisition of other businesses (including the value offering that may be used in exchange for the asset issuer pursuant to a merger)	ne of securities involved in this		□\$ -0-
	Repayment of indebtedness		□\$ -0-	¬\$ -0-
	Working capital		□\$ -0-	<b>7</b> \$ 2,210.00
	Other (specify):			s -0-
			0 -	\$ -0-
	Column Totals			<u> </u>
	Total Payments Listed (column totals added)			210.00
		D. FEDERAL SIGNATURE		
sig	sissuer has duly caused this notice to be signed by the nature constitutes an undertaking by the issuer to furninformation furnished by the issuer to any non-accr	nish to the U.S. Securities and Exchange Commi	ssion, upon writte	tle 505, the following on request of its staff,
	ner (Print or Type)	Signature 7	Date $3/3/$	109
	MLISI Financial Alliances, LLC	Title of Signer (Print or Type)	-1-1	
	ne of Signer (Print or Type) rey M. Sajdak	President		

## – ATTENTION —

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)