

FORM D

U.S. Securities and Exchange Commission

Washington, DC 20549

Notice of Exempt Offering of Securities

(See instructions beginning on page 5)

Intentional misstatements or omissions of fact constitute federal criminal violations. See 18 U.S.C. 1001

1452560 OMB APPROVAL OMB Number: 3235-0076 Expires: March 31, 2008 Estimated average burden hours per response: 4.00

Item 1. Issuer's Identity

Name of Issuer: ARC Income Properties II, LLC
Jurisdiction of Incorporation/Organization: Delaware
Year of Incorporation/Organization (Select one):

Previous Name(s): [Redacted]
None
Barcode: 09038656

Entity Type (Select one)
[] Corporation
[] Limited Partnership
[X] Limited Liability Company
[] General Partnership
[] Business Trust
[] Other (Specify)

[] Over Five Years Ago
[X] Within Last Five Years (specify year) 2008
[] Yet to Be Formed

(If more than one issuer is filing this notice, check this box [X] and identify additional issuer(s) by attaching Items 1 and 2 Continuation Page(s).)

Item 2. Principal Place of Business and Contact Information

Street Address 1: 405 Park Avenue
Street Address 2:
City: New York State/Province/Country: NY ZIP/Postal Code: 10022 Phone No.: (212) 415-6500

Item 3. Related Persons

Last Name: Schorsch First Name: Nicholas Middle Name: S.
Street Address 1: 106 York Road Street Address 2:
City: Jenkintown State/Province/Country: PA ZIP/Postal Code: 19046
Relationship(s): [X] Executive Officer [X] Director [X] Promoter

Clarification of Response (if Necessary): He serves as an officer of ARC Income Properties II, LLC and on the board of directors of American Realty Capital Trust, Inc.

(Identify additional related persons by checking this box [X] and attaching Item 3 Continuation Page(s).)

Item 4. Industry Group (Select one)

[X] Agriculture
[] Banking and Financial Services
[] Business Services
[] Construction
[] Energy
[] REITS & Finance
[] Health Care
[] Manufacturing
[] Real Estate
[] Retailing
[] Restaurants
[] Technology
[] Travel
[] Other

Item 5. Issuer Size (Select one)

Revenue Range (for issuer not specifying "hedge" or "other investment" fund in Item 4 above)

- No Revenues
\$1 - \$1,000,000
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$100,000,000
Over \$100,000,000
Decline to Disclose
Not Applicable

OR

Aggregate Net Asset Value Range (for issuer specifying "hedge" or "other investment" fund in Item 4 above)

- No Aggregate Net Asset Value
\$1 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
\$50,000,001 - \$100,000,000
Over \$100,000,000
Decline to Disclose
Not Applicable

Item 6. Federal Exemptions and Exclusions Claimed (Select all that apply)

- Rule 504(b)(1) (not (i), (ii) or (iii))
Rule 504(b)(1)(i)
Rule 504(b)(1)(ii)
Rule 504(b)(1)(iii)
Rule 505
Rule 506
Securities Act Section 4(6)
Investment Company Act Section 3(c)
Section 3(c)(1)
Section 3(c)(2)
Section 3(c)(3)
Section 3(c)(4)
Section 3(c)(5)
Section 3(c)(6)
Section 3(c)(7)
Section 3(c)(9)
Section 3(c)(10)
Section 3(c)(11)
Section 3(c)(12)
Section 3(c)(13)
Section 3(c)(14)

Item 7. Type of Filing

New Notice OR Amendment

Date of First Sale in this Offering: 11/25/08 OR First Sale Yet to Occur

Item 8. Duration of Offering

Does the issuer intend this offering to last more than one year? Yes No

Item 9. Type(s) of Securities Offered (Select all that apply)

- Equity
Debt
Option, Warrant or Other Right to Acquire Another Security
Security to be Acquired Upon Exercise of Option, Warrant or Other Right to Acquire Security
Pooled Investment Fund Interests
Tenant-in-Common Securities
Mineral Property Securities
Other (Describe)

Item 10. Business Combination Transaction

Is this offering being made in connection with a business combination transaction, such as a merger, acquisition or exchange offer? Yes No

Clarification of Response (if Necessary)

Empty box for clarification of response.

Item 11. Minimum Investment

Minimum investment accepted from any outside investor \$

Item 12. Sales Compensation

Recipient

Recipient CRD Number

No CRD Number

(Associated) Broker or Dealer None

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address I

Street Address 2

City

State/Province/Country

ZIP/Postal Code

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

(Identify additional person(s) being paid compensation by checking this box and attaching Item 12 Continuation Page(s).)

Item 13. Offering and Sales Amounts

(a) Total Offering Amount \$ OR Indefinite

(b) Total Amount Sold \$

(c) Total Remaining to be Sold \$ OR Indefinite
(Subtract (a) from (b))

Clarification of Response (if Necessary)

Item 14. Investors

Check this box if securities in the offering have been or may be sold to persons who do not qualify as accredited investors, and enter the number of such non-accredited investors who already have invested in the offering:

Enter the total number of investors who already have invested in the offering: 128

Item 15. Sales Commissions and Finders' Fees Expenses

Provide separately the amounts of sales commissions and finders' fees expenses, if any. If an amount is not known, provide an estimate and check the box next to the amount.

Sales Commission \$ Estimate

Finder's Fee \$ Estimate

Clarification of Response (if Necessary)

Item 16. Use of Proceeds

Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.

\$

Estimate

Clarification of Response (if Necessary)

Signature and Submission

Please verify the information you have entered and review the Terms of Submission below before signing and submitting this notice.

Terms of Submission. In Submitting this notice, each identified issuer is:

Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in accordance with applicable law, the information furnished to offerees.*

Irrevocably appointing each of the Secretary of the SEC and the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes; or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.

Certifying that, if the issuer is claiming a Rule 505 exemption, the issuer is not disqualified from relying on Rule 505 for one of the reasons stated in Rule 505(b)(2)(iii).

*This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.

Each identified issuer has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person. (Check this box and attach Signature Continuation Pages for signatures of issuers identified in Item 1 above but not represented by signer below.)

Issuer(s)

All

Name of Signer

Nicholas S. Schorsch

Signature

Title

Chief Executive Officer

Number of continuation pages attached:

0

Date

3/13/09

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Item 1 and 2. Issuer's Identity and Contact Information (Continued)

Name of Issuer

American Realty Capital Operating Partnership, L.P.

Jurisdiction of Incorporation/Organization

Delaware

Year of Incorporation/Organization (Select one)

Over Five Years Ago Within Last Five Years (specify year) 2007 Yet to Be Formed

At your option, supply separate contact information for this issuer:

Street Address 1

Street Address 2

City

State/Province/Country

ZIP/Postal Code

Phone No.

Name of Issuer

American Realty Capital Trust, Inc.

Jurisdiction of Incorporation/Organization

Maryland

Year of Incorporation/Organization (Select one)

Over Five Years Ago Within Last Five Years (specify year) 2007 Yet to Be Formed

At your option, supply separate contact information for this issuer:

Street Address 1

Street Address 2

City

State/Province/Country

ZIP/Postal Code

Phone No.

Name of Issuer

Jurisdiction of Incorporation/Organization

Year of Incorporation/Organization (Select one)

Over Five Years Ago Within Last Five Years (specify year) Yet to Be Formed

At your option, supply separate contact information for this issuer:

Street Address 1

Street Address 2

City

State/Province/Country

ZIP/Postal Code

Phone No.

Previous Name(s) None

Entity Type (Select one)

- Corporation
- Limited Partnership
- Limited Liability Company
- General Partnership
- Business Trust
- Other (Specify)

Previous Name(s) None

Entity Type (Select one)

- Corporation
- Limited Partnership
- Limited Liability Company
- General Partnership
- Business Trust
- Other (Specify)

Previous Name(s) None

Entity Type (Select one)

- Corporation
- Limited Partnership
- Limited Liability Company
- General Partnership
- Business Trust
- Other (Specify)

(Copy and use additional copies of this page as necessary.)

Item 3 Continuation Page

Item 3. Related Persons (Continued)

Last Name	First Name	Middle Name
Kahane	William	M.
Street Address 1	Street Address 2	
405 Park Ave.		
City	State/Province/Country	ZIP/Postal Code
New York	NY	10022
Relationship(s): <input checked="" type="checkbox"/> Executive Officer <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> Promoter		
Clarification of Response (if Necessary)		
He serves as executive officer of ARC Income Properties II, LLC and American Realty Capital Trust, Inc. and also serves on the board of directors of American Realty Capital Trust, Inc.		

Last Name	First Name	Middle Name
Block	Brian	S.
Street Address 1	Street Address 2	
106 York Road		
City	State/Province/Country	ZIP/Postal Code
Jenkintown	PA	19046
Relationship(s): <input checked="" type="checkbox"/> Executive Officer <input type="checkbox"/> Director <input type="checkbox"/> Promoter		
Clarification of Response (if Necessary)		

Last Name	First Name	Middle Name
Weil	Michael	
Street Address 1	Street Address 2	
106 York Road		
City	State/Province/Country	ZIP/Postal Code
Jenkintown	PA	19046
Relationship(s): <input checked="" type="checkbox"/> Executive Officer <input type="checkbox"/> Director <input type="checkbox"/> Promoter		
Clarification of Response (if Necessary)		

Last Name	First Name	Middle Name
Budko	Peter	M.
Street Address 1	Street Address 2	
405 Park Ave.		
City	State/Province/Country	ZIP/Postal Code
New York	NY	10022
Relationship(s): <input checked="" type="checkbox"/> Executive Officer <input type="checkbox"/> Director <input type="checkbox"/> Promoter		
Clarification of Response (if Necessary)		

Last Name	First Name	Middle Name

Item 3 Continuation Page

Item 3. Related Persons (Continued)

Michelson	Leslie	D.
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Street Address 1

Street Address 2

American Realty Capital, 106 York Road, ,

City

State/Province/Country

ZIP/Postal Code

Jenkintown

PA

19046

Relationship(s): Executive Officer Director Promoter

Clarification of Response (if Necessary)

He serves on the board of directors of American Realty Capital Trust.

Last Name

First Name

Middle Name

Stanley

William

G.

Street Address 1

Street Address 2

106 York Road

City

State/Province/Country

ZIP/Postal Code

Jenkintown

PA

19046

Relationship(s): Executive Officer Director Promoter

Clarification of Response (if Necessary)

He serves on the board of directors of American Realty Capital Trust.

Last Name

First Name

Middle Name

Burns

Robert

H.

Street Address 1

Street Address 2

106 York Road

City

State/Province/Country

ZIP/Postal Code

Jenkintown

PA

19046

Relationship(s): Executive Officer Director Promoter

Clarification of Response (if Necessary)

He serves on the board of directors of American Realty Capital Trust.

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

Recipient

Dennis Weiss

(Associated) Broker or Dealer None

American Investors Company

Street Address 1

Weiss Financial Servies, 8569 Cordes Circle

City

Germantown

State/Province/Country

TN

Recipient CRD Number

462338

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

ZIP/Postal Code

38139

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient

Craig Hubler

(Associated) Broker or Dealer None

Stephen A. Kohn & Associates

Street Address 1

6950 SW Hampton Street #100

City

Tigard

State/Province/Country

OR

Recipient CRD Number

1194371

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

ZIP/Postal Code

97223

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient

Dan Deighan

(Associated) Broker or Dealer None

Boogie Investment Group, Inc.

Recipient CRD Number

1029361

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

Street Address 1

47 W. New Haven Ave.

Street Address 2

City

Melbourne

State/Province/Country

FL

ZIP/Postal Code

32901

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient

David McAdams

Recipient CRD Number

2626940

No CRD Number

(Associated) Broker or Dealer

None

(Associated) Broker or Dealer CRD Number

No CRD Number

Investors Capital Corp

Street Address 1

98 Timber Creek Drive

Street Address 2

City

Cordova

State/Province/Country

TN

ZIP/Postal Code

38018

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient

Donald R. Tuffs

Recipient CRD Number

2009880

No CRD Number

(Associated) Broker or Dealer

None

(Associated) Broker or Dealer CRD Number

No CRD Number

Cue Financial Group

Street Address 1

13576 Camino Del Sol

Street Address 2

City

Sun City West

State/Province/Country

AZ

ZIP/Postal Code

85375

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient

Justin T. Kenney

(Associated) Broker or Dealer None

Brookstone Securities, Inc.

Street Address 1

705 West State Road Suite D

City

Longwood

State/Province/Country

FL

Recipient CRD Number

4165583

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

ZIP/Postal Code

32750

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient

Kevin Mickan

(Associated) Broker or Dealer None

Capital Financial Services

Street Address 1

20445 SH 249

City

Houston

State/Province/Country

TX

Recipient CRD Number

2871814

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

ZIP/Postal Code

77070

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

Recipient
Lee A. Siler

(Associated) Broker or Dealer None

Brookstone Securities, Inc.

Street Address 1

705D West Sate Road 434

City

Longwood

State/Province/Country

FL

Recipient CRD Number
1832058

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient
Louis Woolf

(Associated) Broker or Dealer None

Investors Capital Corp

Street Address 1

10 Fieldcrest Circle

City

So. Easton

State/Province/Country

MA

Recipient CRD Number
853827

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient
Mark Sitter

(Associated) Broker or Dealer None

Capital Financial Services

Street Address 1

Recipient CRD Number
1810591

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

20445 SH 249

City
Houston

State/Province/Country
TX

ZIP/Postal Code
77070

- States of Solicitation All States
- AL AK AZ AR CA CO CT DE DC FL GA HI ID
 - IL IN IA KS KY LA ME MD MA MI MN MS MO
 - MT NE NV NH NJ NM NY NC ND OH OK OR PA
 - RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient
Michael A. Montileone

Recipient CRD Number
2017882

No CRD Number

(Associated) Broker or Dealer None

(Associated) Broker or Dealer CRD Number

No CRD Number

Allegheny Investments, LTD

Street Address 1
811 Camp Home Road

Street Address 2

City
Pittsburgh

State/Province/Country
PA

ZIP/Postal Code
15237

- States of Solicitation All States
- AL AK AZ AR CA CO CT DE DC FL GA HI ID
 - IL IN IA KS KY LA ME MD MA MI MN MS MO
 - MT NE NV NH NJ NM NY NC ND OH OK OR PA
 - RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient
Michael Trier

Recipient CRD Number
1628954

No CRD Number

(Associated) Broker or Dealer None

(Associated) Broker or Dealer CRD Number

No CRD Number

Brookstone Securities, Inc.

Street Address 1
705 West State Road Suite D

Street Address 2

City
Longwood

State/Province/Country
FL

ZIP/Postal Code
32750

- States of Solicitation All States
- AL AK AZ AR CA CO CT DE DC FL GA HI ID

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient
 Noel R. Vincent

Recipient CRD Number
 1370987

No CRD Number

(Associated) Broker or Dealer None

(Associated) Broker or Dealer CRD Number

No CRD Number

Investors Capital Corp

Street Address 1
 13231 Champion Forest #200

Street Address 2

City

State/Province/Country
 TX

ZIP/Postal Code
 77069

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient
 P. Michael Valley, II

Recipient CRD Number
 2748315

No CRD Number

(Associated) Broker or Dealer None

(Associated) Broker or Dealer CRD Number

No CRD Number

Wall Street Financial Group

Street Address 1
 921 Eastwind Drive

Street Address 2

City
 Westerville

State/Province/Country
 OH

ZIP/Postal Code
 43081

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient
 Rafael Golan

Recipient CRD Number
 1074079

No CRD Number

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

(Associated) Broker or Dealer None

RSG Capital Corp.

Street Address 1

7499 W. Atlantic Ave

City State/Province/Country

DelRay Beach

FL

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

ZIP/Postal Code

33446

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID IL IN IA KS KY LA ME MD MA MI MN MS MO MT NE NV NH NJ NM NY NC ND OH OK OR PA RI SC SD TN TX UT VT VA WA WV WI WY PR

Recipient

Richard Kowalick

(Associated) Broker or Dealer None

Wall Street Financial Group

Street Address 1

347 Samantha Drive

City State/Province/Country

Sterling

VA

Recipient CRD Number

1273430

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

ZIP/Postal Code

20167

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID IL IN IA KS KY LA ME MD MA MI MN MS MO MT NE NV NH NJ NM NY NC ND OH OK OR PA RI SC SD TN TX UT VA WA WV WI WY PR

Recipient

Shawn Spellacy

(Associated) Broker or Dealer None

Calton & Associates

Street Address 1

8035 Madison Ave.

City State/Province/Country

Recipient CRD Number

2275119

No CRD Number

(Associated) Broker or Dealer CRD Number

No CRD Number

Street Address 2

ZIP/Postal Code

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

Citrus Heights	CA	95610
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States of Solicitation All States

- | | | | | | | | | | | | | |
|-----------------------------|-----------------------------|-----------------------------|-----------------------------|--|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input type="checkbox"/> AL | <input type="checkbox"/> AK | <input type="checkbox"/> AZ | <input type="checkbox"/> AR | <input checked="" type="checkbox"/> CA | <input type="checkbox"/> CO | <input type="checkbox"/> CT | <input type="checkbox"/> DE | <input type="checkbox"/> DC | <input type="checkbox"/> FL | <input type="checkbox"/> GA | <input type="checkbox"/> HI | <input type="checkbox"/> ID |
| <input type="checkbox"/> IL | <input type="checkbox"/> IN | <input type="checkbox"/> IA | <input type="checkbox"/> KS | <input type="checkbox"/> KY | <input type="checkbox"/> LA | <input type="checkbox"/> ME | <input type="checkbox"/> MD | <input type="checkbox"/> MA | <input type="checkbox"/> MI | <input type="checkbox"/> MN | <input type="checkbox"/> MS | <input type="checkbox"/> MO |
| <input type="checkbox"/> MT | <input type="checkbox"/> NE | <input type="checkbox"/> NV | <input type="checkbox"/> NH | <input type="checkbox"/> NJ | <input type="checkbox"/> NM | <input type="checkbox"/> NY | <input type="checkbox"/> NC | <input type="checkbox"/> ND | <input type="checkbox"/> OH | <input type="checkbox"/> OK | <input type="checkbox"/> OR | <input type="checkbox"/> PA |
| <input type="checkbox"/> RI | <input type="checkbox"/> SC | <input type="checkbox"/> SD | <input type="checkbox"/> TN | <input type="checkbox"/> TX | <input type="checkbox"/> UT | <input type="checkbox"/> VT | <input type="checkbox"/> VA | <input type="checkbox"/> WA | <input type="checkbox"/> WV | <input type="checkbox"/> WI | <input type="checkbox"/> WY | <input type="checkbox"/> PR |

Recipient Steven Arnold	Recipient CRD Number 710263	<input type="checkbox"/> No CRD Number
(Associated) Broker or Dealer Omni Brokerage, Inc.	(Associated) Broker or Dealer CRD Number	<input type="checkbox"/> No CRD Number
Street Address 1 9699 Bay Harbor Circle	Street Address 2	
City Ft. Myers	State/Province/Country FL	ZIP/Postal Code 33919

States of Solicitation All States

- | | | | | | | | | | | | | |
|-----------------------------|-----------------------------|-----------------------------|-----------------------------|--|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input type="checkbox"/> AL | <input type="checkbox"/> AK | <input type="checkbox"/> AZ | <input type="checkbox"/> AR | <input type="checkbox"/> CA | <input type="checkbox"/> CO | <input type="checkbox"/> CT | <input type="checkbox"/> DE | <input type="checkbox"/> DC | <input type="checkbox"/> FL | <input type="checkbox"/> GA | <input type="checkbox"/> HI | <input type="checkbox"/> ID |
| <input type="checkbox"/> IL | <input type="checkbox"/> IN | <input type="checkbox"/> IA | <input type="checkbox"/> KS | <input type="checkbox"/> KY | <input type="checkbox"/> LA | <input type="checkbox"/> ME | <input type="checkbox"/> MD | <input type="checkbox"/> MA | <input type="checkbox"/> MI | <input type="checkbox"/> MN | <input type="checkbox"/> MS | <input type="checkbox"/> MO |
| <input type="checkbox"/> MT | <input type="checkbox"/> NE | <input type="checkbox"/> NV | <input type="checkbox"/> NH | <input type="checkbox"/> NJ | <input type="checkbox"/> NM | <input type="checkbox"/> NY | <input type="checkbox"/> NC | <input type="checkbox"/> ND | <input type="checkbox"/> OH | <input type="checkbox"/> OK | <input type="checkbox"/> OR | <input type="checkbox"/> PA |
| <input type="checkbox"/> RI | <input type="checkbox"/> SC | <input type="checkbox"/> SD | <input type="checkbox"/> TN | <input checked="" type="checkbox"/> TX | <input type="checkbox"/> UT | <input type="checkbox"/> VT | <input type="checkbox"/> VA | <input type="checkbox"/> WA | <input type="checkbox"/> WV | <input type="checkbox"/> WI | <input type="checkbox"/> WY | <input type="checkbox"/> PR |

Recipient William Cronin	Recipient CRD Number 872542	<input type="checkbox"/> No CRD Number
(Associated) Broker or Dealer CapWest Securities, Inc.	(Associated) Broker or Dealer CRD Number	<input type="checkbox"/> No CRD Number
Street Address 1 11 Woodland Road	Street Address 2	
City Madison	State/Province/Country CT	ZIP/Postal Code 06443

States of Solicitation All States

- | | | | | | | | | | | | | |
|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|--|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input type="checkbox"/> AL | <input type="checkbox"/> AK | <input type="checkbox"/> AZ | <input type="checkbox"/> AR | <input type="checkbox"/> CA | <input type="checkbox"/> CO | <input checked="" type="checkbox"/> CT | <input type="checkbox"/> DE | <input type="checkbox"/> DC | <input type="checkbox"/> FL | <input type="checkbox"/> GA | <input type="checkbox"/> HI | <input type="checkbox"/> ID |
| <input type="checkbox"/> IL | <input type="checkbox"/> IN | <input type="checkbox"/> IA | <input type="checkbox"/> KS | <input type="checkbox"/> KY | <input type="checkbox"/> LA | <input type="checkbox"/> ME | <input type="checkbox"/> MD | <input type="checkbox"/> MA | <input type="checkbox"/> MI | <input type="checkbox"/> MN | <input type="checkbox"/> MS | <input type="checkbox"/> MO |
| <input type="checkbox"/> MT | <input type="checkbox"/> NE | <input type="checkbox"/> NV | <input type="checkbox"/> NH | <input type="checkbox"/> NJ | <input type="checkbox"/> NM | <input type="checkbox"/> NY | <input type="checkbox"/> NC | <input type="checkbox"/> ND | <input type="checkbox"/> OH | <input type="checkbox"/> OK | <input type="checkbox"/> OR | <input type="checkbox"/> PA |
| <input type="checkbox"/> RI | <input type="checkbox"/> SC | <input type="checkbox"/> SD | <input type="checkbox"/> TN | <input type="checkbox"/> TX | <input type="checkbox"/> UT | <input checked="" type="checkbox"/> VT | <input type="checkbox"/> VA | <input type="checkbox"/> WA | <input type="checkbox"/> WV | <input type="checkbox"/> WI | <input type="checkbox"/> WY | <input type="checkbox"/> PR |

Item 12 Continuation Page

Item 12. Sales Compensation (Continued)

Recipient
Thurman "Bo" Crawford

Recipient CRD Number
56786

No CRD Number

(Associated) Broker or Dealer None

(Associated) Broker or Dealer CRD Number

No CRD Number

Synergy Investments

Street Address 1
985 Interstate Highway 10 North

Street Address 2

City
Beaumont

State/Province/Country
TX

ZIP/Postal Code
77706

States of Solicitation All States

- AL AK AZ AR CA CO CT DE DC FL GA HI ID
- IL IN IA KS KY LA ME MD MA MI MN MS MO
- MT NE NV NH NJ NM NY NC ND OH OK OR PA
- RI SC SD TN TX UT VT VA WA WV WI WY PR

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