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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Tamara Schademann

Title: Chief Regulatory Officer

Telephone Number: (913) 815-7113

Manual Signature of Official Responsible for Form:

Tamara Schademann

Date: November 12, 2008

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Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 19 2008

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON NOVEMBER 6, 2008

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer Of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(5) If Index, Broad or Narrow</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>
DAG	Corporation	Exchange traded note; commodity linked securities	Deutsche Bank Liquid Commodity Index – Optimum Yield Agriculture	Narrow	N/A (futures trade on CBOT)
DAX	Trust	Investment company units	DAX Index	Broad	Deutsche Borse and other markets in Germany
DBA	Trust	Commodity linked securities	Deutsche Bank liquid Commodity Index – Optimum Yield Agriculture Excess Return	Narrow	N/A (futures trade on CBOT; ICE Futures U.S.)
DBB	Trust	Commodity linked securities	Deutsche Bank Liquid Commodity Index – Optimum Yield Industrial Metals Excess Return	Narrow	N/A (futures trade on LME)
DBC	Trust	Commodity linked securities	Deutsche Bank Liquid Commodity Index –	Narrow	N/A (futures trade on CBOT, LME and NYMEX)
DBE	Trust	Commodity linked securities	Deutsche Bank Liquid Commodity Index –Optimum Yield Energy Excess Return	Narrow	N/A (futures trade on NYMEX; ICE Futures U.S.)
DBN	Trust	Investment company units	S&P/Citigroup BMI World ex-US Materials Sector Index	Broad	Various foreign markets.
DBO	Trust	Commodity linked securities	Deutsche Bank Liquid Commodity Index –Optimum Yield Crude Oil Excess Return	Narrow	N/A (futures trade on NYMEX)
DBP	Trust	Commodity linked securities	Deutsche Bank Liquid Commodity Index –Optimum Yield Precious Metals Excess Return	Narrow	N/A (futures trade on NYMEX (COMEX division))
DBR	Trust	Investment company units	S&P/Citigroup BMI World ex-US Health Care Sector Index	Broad	Various foreign markets.
DBS	Trust	Commodity linked securities	Deutsche Bank liquid Commodity Index –Optimum Yield Silver Excess Return	Narrow	N/A (futures trade on NYMEX (COMEX division))
DBT	Trust	Investment company units	S&P/Citigroup BMI World ex-US Information Technology Sector Index	Broad	Various foreign markets.
DBU	Trust	Investment company units	S&P/Citigroup BMI World ex-US Utilities Sector Index	Broad	Various foreign markets.

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DBV	Trust	Commodity linked securities	Deutsche Bank G10 Currency Future Harvest Index	Narrow	N/A (futures trade on CME)
DDI	Trust	Investment company units	S&P/Citigroup BMI World ex-US Industrial Sector Index	Broad	Various foreign markets.
DDM	Trust	Investment company units	Dow Jones Industrial Average Index	Broad	Various U.S. markets.
DEB	Trust	Investment company units	MSCI Europe Index	Broad	Various European markets.
DEF	Trust	Investment company units	Sabrient Defensive Equity Index	Broad	Various U.S. markets.
DEM	Trust	Investment company units	WisdomTree Emerging Markets High-Yielding Equity Index	Broad	Various U.S. and foreign markets.
DES	Trust	Investment company units	Russell 2000 Index	Broad	Various U.S. markets.
DEW	Trust	Investment company units	MSCI Europe Value Index	Broad	Various European markets.
DFE	Trust	Investment company units	MSCI Europe SmallCap Index	Broad	Various European markets.
DFJ	Trust	Investment company units	MSCI Japan SmallCap Index	Broad	Tokyo Stock Exchange; Osaka Stock Exchange
DGG	Trust	Investment company units	S&P/Citigroup BMI World ex-US Telecommunication Services Sector Index	Broad	Various foreign markets.
DGL	Trust	Commodity linked securities	Deutsche Bank liquid Commodity Index -Optimum Yield Gold Excess Return	Narrow	N/A (futures trade on NYMEX (COMEX division))
DGP	Corporation	Exchange traded note; commodity linked securities	Deutsche Bank Liquid Commodity Index - Optimum Yield Gold	Narrow	N/A (futures trade on NYMEX (COMEX division))
DGS	Trust	Investment company units	WisdomTree Emerging Markets SmallCap Dividend Index	Broad	Various foreign emerging markets.
DGT	Trust	Investment company units	Dow Jones Global Titans Index	Broad	Various U.S. and foreign markets.
DGZ	Corporation	Exchange traded note; commodity linked securities	Deutsche Bank Liquid Commodity Index - Optimum Yield Gold	Narrow	N/A (futures trade on NYMEX (COMEX division))
DHS	Trust	Investment company units	Russell 1000 Value Index	Broad	Various U.S. markets.
DIA	Trust	Investment company units	Dow Jones Industrial Average	Broad	Various U.S. Markets

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DIG	Trust	Investment company units	Dow Jones U.S. Oil & Gas Index	Broad	Various U.S. markets.
DIM	Trust	Investment company units	MSCI EAFE Mid Cap Index	Broad	Various U.S. and foreign markets.
DJP	Corporation	Exchange traded note; commodity linked securities	Dow Jones - AIG Commodity Index Total Return	Broad	N/A (futures trade on NYMEX, ICE Futures U.S., CBOT, CME and other futures exchanges)
DKA	Trust	Investment company units	S&P/Citigroup BMI World ex-US Energy Sector Index	Broad	Various foreign markets.
DLN	Trust	Investment company units	S&P 500 Index	Broad	Various U.S. markets.
DLS	Trust	Investment company units	MSCI EAFE SmallCap Index	Broad	Various U.S. and foreign markets.
DND	Trust	Investment company units	MSCI Pacific ex-Japan Index	Broad	Various Asian markets.
DNH	Trust	Investment company units	MSCI Pacific ex-Japan Value Index	Broad	Various Asian markets.
DNL	Trust	Investment company units	MSCI Japan Value Index	Broad	Tokyo Stock Exchange; Osaka Stock Exchange
DOD	Corporation	Exchange traded note; equity index linked securities	Dow Jones High Yield Select 10 Total Return Index	Broad	N/A
DOG	Trust	Investment company units	Dow Jones Industrial Average Index	Broad	Various U.S. markets.
DOL	Trust	Investment company units	MSCI EAFE Index	Broad	Various U.S. and foreign markets.
DON	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
DOO	Trust	Investment company units	MSCI EAFE Value Index	Broad	Various U.S. and foreign markets.
DPC	Trust	Investment company units	S&P/Citigroup BMI World ex-US Consumer Discretionary Sector Index	Broad	Various foreign markets.
DPN	Trust	Investment company units	S&P/Citigroup BMI World ex-US Consumer Staples Sector Index	Broad	Various foreign markets.
DRF	Trust	Investment company units	S&P/Citigroup BMI World ex-US Consumer Staples Sector Index	Broad	Various foreign markets.
DRW	Trust	Investment company units	Dow Jones Wilshire ex-US RESI Index	Broad	Various foreign markets.
DSC	Trust	Investment company units	Dow Jones Wilshire Small Cap Index	Broad	Various U.S. markets.

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DSG	Trust	Investment company units	Dow Jones Wilshire Small Cap Growth Index	Broad	Various U.S. markets.
DSI	Trust	Investment company units	iShares KLD 400 Social Index	Broad	Various U.S. markets.
DSV	Trust	Investment company units	Dow Jones Wilshire Small Cap Value Index	Broad	Various U.S. markets.
DTD	Trust	Investment company units	Russell 3000 Index	Broad	Various U.S. markets.
DTH	Trust	Investment company units	MSCI EAFE Value Index	Broad	Various U.S and foreign markets.
DTN	Trust	Investment company units	Dow Jones Select Dividend Index	Broad	Various U.S. markets.
DUG	Trust	Investment company units	Dow Jones U.S. Oil & Gas Index	Broad	Various U.S. markets.
DVY	Trust	Investment company units	Dow Jones U.S. Select Dividend Index	Broad	Various U.S. markets.
DWM	Trust	Investment company units	MSCI EAFE Index	Broad	Various U.S and foreign markets.
DWX	Trust	Investment company units	S&P International Dividend Opportunities Index	Broad	Various foreign markets.
DXD	Trust	Investment company units	Dow Jones Industrial Average Index	Broad	Various U.S. markets.
DXJ	Trust	Investment company units	MSCI Japan Index	Broad	Tokyo Stock Exchange; Osaka Stock Exchange
DZZ	Corporation	Exchange traded note; commodity linked securities	Deutsche Bank Liquid Commodity Index – Optimum Yield Gold	Narrow	N/A (futures trade on NYMEX (COMEX division))
ECH	Trust	Investment company units	MSCI Chile Investable Market Index	Broad	Santiago Stock Exchange
EDV	Trust	Investment company units	Lehman Brothers Treasury STRIPS 20–30 Year Equal Par Bond Index	Broad	N/A (U.S. fixed income markets)
EEB	Trust	Investment company units	The Bank of New York Mellon BRIC Select ADR Index	Broad	Various U.S. and foreign markets.
EEH	Corporation	Exchange traded note; equity index linked securities	SPECTRUM Large Cap U.S. Sector Momentum Index	Broad	Various U.S. markets.
EEM	Trust	Investment company units	MSCI Emerging Markets Index	Broad	Various U.S. and foreign markets.
EEN	Trust	Investment company units	Robeco Developed International Equity Index	Broad	Various foreign developed markets.

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EES	Trust	Investment company units	Russell 2000 Index	Broad	Various U.S. markets.
EEV	Trust	Investment company units	MSCI Emerging Markets Index	Broad	Various emerging markets.
EEZ	Trust	Investment company units	Russell 1000 Value Index	Broad	Various U.S. markets.
EFA	Trust	Investment company units	MSCI EAFE Index	Broad	Various U.S. and foreign markets.
EFG	Trust	Investment company units	MSCI EAFE Growth Index	Broad	Various foreign markets.
EFU	Trust	Investment company units	MSCI EAFE Index	Broad	Various developed countries, excluding U.S. and Canada
EFV	Trust	Investment company units	MSCI EAFE Value Index	Broad	Various foreign markets.
EFZ	Trust	Investment company units	MSCI EAFE Index	Broad	Various developed countries, excluding U.S. and Canada
EGB	Corporation	Exchange traded note; currency linked securities	DB GBP Overnight Index	Narrow	N/A
EKH	Trust	Trust Issued Receipts	Various European company securities	N/A	Various U.S. markets.
ELG	Trust	Investment company units	Dow Jones Wilshire Large Cap Growth Index	Broad	Various U.S. markets.
ELR	Trust	Investment company units	Dow Jones Wilshire Large Cap Index	Broad	Various U.S. markets.
ELV	Trust	Investment company units	Dow Jones Wilshire Large Cap Value Index	Broad	Various U.S. markets.
EMB	Trust	Investment company units	JPMorgan USD Emerging Markets Index	Broad	N/A (foreign debt securities)
EMG	Trust	Investment company units	Dow Jones Wilshire Mid Cap Growth Index	Broad	Various U.S. markets.
EMM	Trust	Investment company units	Dow Jones Wilshire Mid Cap Index	Broad	Various U.S. markets.
EMV	Trust	Investment company units	Dow Jones Wilshire Mid Cap Value Index	Broad	Various U.S. markets.
ENY	Trust	Investment company units	Sustainable Canadian Energy Income Index	Broad	Various U.S. markets and Toronto Stock Exchange.
EPI	Trust	Investment company units	MSCI India Index	Broad	National Stock Exchange of India
EPP	Trust	Investment company units	MSCI Pacific ex-Japan Index	Broad	Various Asian markets.
EPS	Trust	Investment company units	S&P 500 Index	Broad	Various U.S. markets.
ERE	Corporation	Exchange traded note; currency linked securities	DB EUR Overnight Index	Narrow	N/A

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ERO	Corporation	Exchange traded note; currency linked securities	Currency (performance linked to euro / U.S. dollar exchange rate)	N/A	N/A
EU	Trust	Trust Issued Receipts	Various short term instruments and securities (designed to earn current income reflective of money market rates in the European Union)	N/A	N/A
EUM	Trust	Investment company units	MSCI Emerging Markets Index	Broad	Various emerging markets.
EVX	Trust	Investment company units	Amex Environmental Services Index	Broad	Various U.S. markets.
EWA	Trust	Investment company units	MSCI Australia Index	Broad	Australian Stock Exchange
EWC	Trust	Investment company units	MSCI Canada Index	Broad	Toronto Stock Exchange
EWD	Trust	Investment company units	MSCI Sweden Index	Broad	Stockholm Stock Exchange; Oslo Stock Exchange
EWG	Trust	Investment company units	MSCI Germany Index	Broad	Deutsche Borse
EWH	Trust	Investment company units	MSCI Hong Kong Index	Broad	Hong Kong Stock Exchange
EWI	Trust	Investment company units	MSCI Italy Index	Broad	Italian Stock Exchange
EWJ	Trust	Investment company units	MSCI Japan Index	Broad	Tokyo Stock Exchange; Osaka Stock Exchange
EWK	Trust	Investment company units	MSCI Belgium Investable Market Index	Broad	Euronext Brussels
EWL	Trust	Investment company units	MSCI Switzerland Index	Broad	Swiss Exchange; Virtex
EWM	Trust	Investment company units	MSCI Malaysia Index	Broad	Bursa Malaysia
EWN	Trust	Investment company units	MSCI Netherlands Investable Market Index	Broad	Euronext Amsterdam
EWO	Trust	Investment company units	MSCI Austria Investable Market Index	Broad	Vienna Stock Exchange
EWP	Trust	Investment company units	MSCI Spain Index	Broad	Madrid Stock Exchange
EWQ	Trust	Investment company units	MSCI France Index	Broad	Euronext Paris
EWS	Trust	Investment company units	MSCI Singapore Index	Broad	Stock Exchange of Singapore
EWT	Trust	Investment company units	MSCI Taiwan Index	Broad	Taiwan Stock Exchange
EWU	Trust	Investment company units	MSCI United Kingdom Index	Broad	London Stock Exchange
EWV	Trust	Investment company units	MSCI Japan Index	Broad	U.S. and Japanese markets
EWV	Trust	Investment company units	MSCI Mexico Investable Market Index	Broad	Mexican Stock Exchange
EWY	Trust	Investment company units	MSCI South Korea Index	Broad	Korea Exchange

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EWZ	Trust	Investment company units	MSCI Brazil Index	Broad	Various U.S. markets; Sao Paulo Stock Exchange
EXB	Trust	Investment company units	Clear Global Exchanges, Brokers & Asset Managers Index	Broad	Various U.S. and foreign markets.
EXI	Trust	Investment company units	Global Industrials Sector Index	Broad	Various U.S. and foreign markets.
EXT	Trust	Investment company units	Russell 3000 Index	Broad	Various U.S. markets.
EZA	Trust	Investment company units	MSCI South Africa Index	Broad	JSE Securities Exchange
EZM	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
EZU	Trust	Investment company units	MSCI EMU Index	Broad	Various U.S. and foreign markets.
EZY	Trust	Investment company units	Russell 1000 Value Index	Broad	Various U.S. markets.
FAB	Trust	Investment company units	Defined Multi Cap Value Index	Broad	Various U.S. markets.
FAD	Trust	Investment company units	Defined Multi Cap Growth Index	Broad	Various U.S. markets.
FBT	Trust	Investment company units	AMEX Biotechnology Index	Broad	Various U.S. markets.
FCG	Trust	Investment company units	ISE-Revere Natural Gas Index	Broad	Various U.S. markets.
FDD	Trust	Investment company units	Dow Jones STOXX Select Dividend 30 Index	Broad	Various European markets.
FDL	Trust	Investment company units	Morningstar Dividend Leaders Index	Broad	Various U.S. markets.
FDM	Trust	Investment company units	Dow Jones Select Microcap Index	Broad	Various U.S. markets.
FDN	Trust	Investment company units	Dow Jones Internet Index	Broad	Various U.S. markets.
FDV	Trust	Investment company units	Deutsche Bank CROCI US+ Index	Broad	Various U.S. markets.
FEU	Trust	Investment company units	Dow Jones STOXX 50 Index	Broad	Various European markets.
FEX	Trust	Investment company units	Defined Large Cap Core Index	Broad	Various U.S. markets.
FEZ	Trust	Investment company units	Dow Jones EURO STOXX 50 Index	Broad	Various European markets.
FFR	Trust	Investment company units	FTSE EPRA/NAREIT Global Real Estate Index	Broad	Various markets in North America, Asia and Europe.
FGD	Trust	Investment company units	Dow Jones Global Select Dividend Index	Broad	Various markets in developed countries.
FIO	Trust	Investment company units	FTSE NAREIT Industrial/Office Index	Broad	Various U.S. markets.
FIW	Trust	Investment company units	ISE Water Index	Broad	Various U.S. markets.

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FLM	Trust	Investment company units	ISE Global Engineering and Construction Index	Broad	Various U.S. and foreign markets.
FNI	Trust	Investment company units	ISE ChIndia Index	Broad	Various U.S. markets.
FNX	Trust	Investment company units	Defined Mid Cap Core Index	Broad	Various U.S. markets.
FPX	Trust	Investment company units	IPOX-100 U.S. Index	Broad	Various U.S. markets.
FRI	Trust	Investment company units	S&P United States REIT Composite Index	Broad	Various U.S. markets.
FTA	Trust	Investment company units	Defined Large Cap Value Opportunities Index	Broad	Various U.S. markets.
FTC	Trust	Investment company units	Defined Large Cap Growth Opportunities Index	Broad	Various U.S. markets.
FTY	Trust	Investment company units	FTSE NAREIT Real Estate 50 Index	Broad	Various U.S. markets.
FUE	Corporation	Exchange traded note; commodity linked securities	MLCX Biofuels Index - Total Return Index	Broad	N/A (futures trade on NYMEX, ICE Futures U.S., CBOT and other futures exchanges)
FVD	Trust	Investment company units	Value Line Dividend Index	Broad	Various U.S. markets.
FVI	Trust	Investment company units	Value Line Equity Allocation Index	Broad	Various U.S. markets.
FVL	Trust	Investment company units	Value Line 100 Index	Broad	Various U.S. markets.
FXA	Trust	Currency trust shares	Australian Dollar	N/A	N/A
FXB	Trust	Currency trust shares	British Pound Sterling	N/A	N/A
FXC	Trust	Currency trust shares	Canadian Dollar	N/A	N/A
FXD	Trust	Investment company units	StrataQuant Consumer Discretionary Index	Broad	Various U.S. markets.
FXE	Trust	Currency trust shares	Euro	N/A	N/A
FXF	Trust	Currency trust shares	Swiss Franc	N/A	N/A
FXG	Trust	Investment company units	StrataQuant Consumer Staples Index	Broad	Various U.S. markets.
FXH	Trust	Investment company units	StrataQuant Health Care Index	Broad	Various U.S. markets.
FXI	Trust	Investment company units	FTSE/Xinhua China 25 Index	Broad	Hong Kong Stock Exchange; various U.S. markets.
FXL	Trust	Investment company units	StrataQuant Technology Index	Broad	Various U.S. markets.
FXM	Trust	Currency trust shares	Mexican Peso	N/A	N/A

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FXN	Trust	Investment company units	StrataQuant Energy Index	Broad	Various U.S. markets.
FXO	Trust	Investment company units	StrataQuant Financials Index	Broad	Various U.S. markets.
FXP	Trust	Investment company units	FTSE/Xinhua China 25 Index	Broad	Hong Kong Stock Exchange
FXR	Trust	Investment company units	StrataQuant Industrials Index	Broad	Various U.S. markets.
FXS	Trust	Currency trust shares	Swedish Krona	N/A	N/A
FXU	Trust	Investment company units	StrataQuant Utilities Index	Broad	Various U.S. markets.
FXY	Trust	Currency trust shares	Japanese Yen	N/A	N/A
FXZ	Trust	Investment company units	StrataQuant Materials Index	Broad	Various U.S. markets.
FYX	Trust	Investment company units	Defined Small Cap Core Index	Broad	Various U.S. markets.
GAF	Trust	Investment company units	S&P Mid-East and Africa BMI Index	Broad	Various U.S. and foreign markets.
GAZ	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Natural Gas Total Return Sub-Index	Narrow	N/A (futures trade on NYMEX)
GBB	Corporation	Exchange traded note; currency linked securities	Currency (performance linked to British pound / U.S. dollar exchange rate)	N/A	N/A
GBF	Trust	Investment company units	Lehman Government/Credit Index	Broad	N/A (U.S. fixed income market)
GCC	Trust	Exchange traded note; commodity linked securities	Continuous Commodity Total Return Index	Broad	N/A (futures trade on NYMEX, ICE Futures U.S., CBOT, CME and other futures exchanges)
GCE	Corporation	Exchange traded note; equity index linked securities	Claymore CEF Index	Broad	Various U.S. markets.
GDX	Trust	Investment company units	Amex Gold Miners Index	Broad	Various U.S. markets.
GEX	Trust	Investment company units	Ardour Global Index (Extra Liquid)	Broad	Various U.S. and foreign markets.
GII	Trust	Investment company units	Macquarie Global Infrastructure 100 Index	Broad	Various U.S. and foreign markets.
GLD	Trust	Commodity based trust shares	Gold	N/A	N/A (various global markets for gold)
GMF	Trust	Investment company units	S&P Asia Pacific Emerging BMI Index	Broad	Various Asian markets.
GML	Trust	Investment company units	S&P Latin America BMI Index	Broad	Various Latin American markets.

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GMM	Trust	Investment company units	S&P Emerging BMI Index	Broad	Various foreign markets.
GRN	Corporation	Exchange traded note; commodity linked securities	Barclays Capital Global Carbon Index Total Return	Narrow	N/A (index based on carbon emission credits)
GRU	Corporation	Exchange traded note; commodity linked securities	MLCX Grains Index - Total Return	Broad	N/A (futures trade on CBOT)
GSC	Corporation	Exchange traded note; commodity linked securities	S&P GSCI Enhanced Commodity Total Return Strategy Index	Broad	N/A (futures trade on NYMEX, ICE Futures U.S., CBOT, CME and other futures exchanges)
GSG	Trust	Investment company units	GSCI™ Commodity-Indexed Trust Index	Broad	N/A (futures trade on CME)
GSP	Corporation	Exchange traded note; commodity linked securities	GSCI Total Return Index Total Return	Broad	N/A (futures trade on NYMEX, ICE Futures U.S., CBOT, CME and other futures exchanges)
GUR	Trust	Investment company units	S&P European Emerging BMI Capped Index	Broad	Various foreign markets.
GVI	Trust	Investment company units	Lehman Intermediate Government/Credit Index	Broad	N/A (U.S. fixed income market)
GWL	Trust	Investment company units	S&P/Citigroup BMI World Ex-US Index	Broad	Various foreign markets.
GWX	Trust	Investment company units	S&P/Citigroup World Ex-US Cap Range < 2 billion USD Index	Broad	Various foreign markets.
GXC	Trust	Investment company units	S&P China BMI Index	Broad	Various Asian and U.S. markets.
HAO	Trust	Investment company units	AlphaShares China Small Cap Index		Chinese markets
HAP	Trust	Investment company units	The Rogers-Van Eck Hard Assets Producers Index	Broad	Various U.S. and foreign markets.
HGI	Trust	Investment company units	Zacks International Yield Hog Index	Broad	Various U.S. and foreign markets.
HHD	Trust	Investment company units	HealthShares Diagnostics Index	Broad	Various U.S. and foreign markets.
HHH	Trust	Trust Issued Receipts	Various internet industry securities	N/A	Various U.S. markets.
HHK	Trust	Investment company units	HealthShares Cancer Index	Broad	Various U.S. and foreign markets.
HHV	Trust	Investment company units	HealthShares Drug Discovery Tools Index	Broad	Various U.S. and foreign markets.
HKG	Trust	Investment company units	Hang Seng China Enterprises Index	Broad	Hong Kong Stock Exchange

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HRJ	Trust	Investment company units	HealthShares European Drugs Index	Broad	Various U.S. and foreign markets.
HYG	Trust	Investment company units	iBoxx \$ High Yield Corporate Index	Broad	N/A (U.S. fixed income market)
IAH	Trust	Trust Issued Receipts	Various internet industry (hardware) securities	N/A	Various U.S. markets.
IAI	Trust	Investment company units	Dow Jones U.S. Broker-Dealers Index	Broad	Various U.S. markets.
IAK	Trust	Investment company units	Dow Jones U.S. Insurance Index	Broad	Various U.S. markets.
IAT	Trust	Investment company units	Dow Jones U.S. Regional Banks Index	Broad	Various U.S. markets.
IAU	Trust	Commodity based trust shares	Gold	N/A	N/A (various global markets for gold)
IBB	Trust	Investment company units	Nasdaq Biotechnology Index	Broad	Various U.S. markets.
ICF	Trust	Investment company units	Cohen & Steers Realty Majors Index	Broad	Various U.S. markets.
ICN	Trust	Trust Issued Receipts	Various short term instruments and securities (designed to earn current income reflective of money market rates in India)	N/A	N/A
IDU	Trust	Investment company units	Dow Jones U.S. Utilities Sector Index	Broad	Various U.S. markets.
IDV	Trust	Investment company units	Dow Jones EPAC Select Dividend Index	Broad	Various foreign markets.
IEF	Trust	Investment company units	Lehman 7-10 Year Treasury Index	Broad	N/A (U.S. fixed income market)
IEI	Trust	Investment company units	Lehman 3-7 Year Treasury Index	Broad	N/A (U.S. fixed income market)
IEO	Trust	Investment company units	Dow Jones U.S. Oil & Gas Exploration & Production Index	Broad	Various U.S. markets.
IEV	Trust	Investment company units	S&P Europe 350 Index	Broad	Various European markets.
IEZ	Trust	Investment company units	Dow Jones U.S. Oil Equipment & Services Index	Broad	Various U.S. markets.
IFAS	Trust	Investment company units	FTSE EPRA/NAREIT Asia Index	Broad	Various Asian markets.
IFEU	Trust	Investment company units	FTSE EPRA/NAREIT Europe Index	Broad	Various European markets.
IFGL	Trust	Investment company units	FTSE EPRA/NAREIT Global Real Estate ex-U.S. Index	Broad	Various foreign markets.

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IFNA	Trust	Investment company units	FTSE EPRA/NAREIT North America Index	Broad	Various U.S. markets; Toronto Stock Exchange
IFSM	Trust	Investment company units	FTSE Developed Small Cap ex-North America Index	Broad	Various foreign markets.
IGE	Trust	Investment company units	S&P North American Natural Resources Sector Index	Broad	Various U.S. markets.
IGF	Trust	Investment company units	S&P Global Infrastructure Index	Broad	Various U.S. and foreign markets.
IGM	Trust	Investment company units	S&P North American Technology Sector Index	Broad	Various U.S. markets.
IGN	Trust	Investment company units	S&P North American Technology-Multimedia Networking Index	Broad	Various U.S. markets.
IGV	Trust	Investment company units	S&P North American Technology-Software Index	Broad	Various U.S. markets.
IGW	Trust	Investment company units	S&P North American Technology-Semiconductors Index	Broad	Various U.S. markets.
IHE	Trust	Investment company units	Dow Jones U.S. Pharmaceuticals Index	Broad	Various U.S. markets.
IHF	Trust	Investment company units	Dow Jones U.S. Healthcare Providers Index	Broad	Various U.S. markets.
IHI	Trust	Investment company units	Dow Jones U.S. Medical Devices Index	Broad	Various U.S. markets.
IIH	Trust	Trust Issued Receipts	Various internet industry (software) securities	N/A	Various U.S. markets.
IJH	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
IJJ	Trust	Investment company units	S&P MidCap 400 Value Index	Broad	Various U.S. markets.
IJK	Trust	Investment company units	S&P MidCap 400 Growth Index	Broad	Various U.S. markets.
IJR	Trust	Investment company units	S&P SmallCap 600 Index	Broad	Various U.S. markets.
IJS	Trust	Investment company units	S&P SmallCap 600 Value Index	Broad	Various U.S. markets.
IJT	Trust	Investment company units	S&P SmallCap 600 Growth Index	Broad	Various U.S. markets.
ILF	Trust	Investment company units	S&P Latin America 40 Index	Broad	Various U.S. markets; Mexican Stock Exchange
INP	Trust	Exchange traded note; equity index linked securities	MSCI India Total Return Index	Broad	National Stock Exchange of India

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INY	Trust	Investment company units	Lehman Brothers Managed Money Municipal New York Index	Broad	N/A (New York tax exempt bond market)
IOO	Trust	Investment company units	S&P Global 100 Index	Broad	Various U.S. markets.
IPD	Trust	Investment company units	S&P/Citigroup BMI World ex-US Consumer Discretionary Sector Index	Broad	Various foreign markets.
IPE	Trust	Investment company units	Barclays U.S. Government Inflation-linked Bond Index	Broad	N/A (U.S. fixed income market)
IPF	Trust	Investment company units	S&P/Citigroup BMI World ex-US Financials Sector Index	Broad	Various foreign markets.
IPK	Trust	Investment company units	S&P/Citigroup BMI World ex-US Information Technology Sector Index	Broad	Various foreign markets.
IPU	Trust	Investment company units	S&P/Citigroup BMI World ex-US Utilities Sector Index	Broad	Various foreign markets.
IPW	Trust	Investment company units	S&P/Citigroup Broad Market Index	Broad	Various foreign markets.
IQE	Trust	Investment company units	ISEQ 20 Index	Broad	Irish Stock Exchange
IRO	Trust	Investment company units	Zacks Dividend Rotation Index	Broad	Various U.S. markets.
IRV	Trust	Investment company units	S&P/Citigroup BMI World ex-US Materials Sector Index	Broad	Various foreign markets.
IRY	Trust	Investment company units	S&P/Citigroup BMI World ex-US Health Care Sector Index	Broad	Various foreign markets.
ISI	Trust	Investment company units	S&P 1500 Index	Broad	Various U.S. markets.
IST	Trust	Investment company units	S&P/Citigroup BMI World ex-US Telecommunication Services Sector Index	Broad	Various foreign markets.
ITA	Trust	Investment company units	Dow Jones U.S. Aerospace & Defense Index	Broad	Various U.S. markets.
ITB	Trust	Investment company units	Dow Jones U.S. Home Construction Index	Broad	Various U.S. markets.
ITE	Trust	Investment company units	Lehman Brothers Intermediate U.S. Treasury Index	Broad	N/A (U.S. fixed income market)
ITF	Trust	Investment company units	S&P/TOPIX 150 Index	Broad	Tokyo Stock Exchange; Osaka Stock Exchange

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ITL	Trust	Investment company units	S&P/MIB Index	Broad	Italian Stock Exchange
ITM	Trust	Investment company units	Lehman Brothers AMT-Free Intermediate Continuous Municipal Index	Broad	N/A (U.S. fixed income market)
IVE	Trust	Investment company units	S&P 500 Value Index	Broad	Various U.S. markets.
IVV	Trust	Investment company units	S&P Index	Broad	Various U.S. markets.
IWW	Trust	Investment company units	S&P 500 Growth Index	Broad	Various U.S. markets.
IWB	Trust	Investment company units	Russell 1000 Index	Broad	Various U.S. markets.
IWC	Trust	Investment company units	Russell Microcap Index	Broad	Various U.S. markets.
IWD	Trust	Investment company units	Russell 1000 Value Index	Broad	Various U.S. markets.
IWF	Trust	Investment company units	Russell 1000 Growth Index	Broad	Various U.S. markets.
IWM	Trust	Investment company units	Russell 2000 Index	Broad	Various U.S. markets.
IWN	Trust	Investment company units	Russell 2000 Value Index	Broad	Various U.S. markets.
IWO	Trust	Investment company units	Russell 2000 Growth Index	Broad	Various U.S. markets.
IWP	Trust	Investment company units	Russell Midcap Growth Index	Broad	Various U.S. markets.
IWR	Trust	Investment company units	Russell Midcap Index	Broad	Various U.S. markets.
IWS	Trust	Investment company units	Russell Midcap Value Index	Broad	Various U.S. markets.
IWV	Trust	Investment company units	Russell 3000 Index	Broad	Various U.S. markets.
IWW	Trust	Investment company units	Russell 3000 Value Index	Broad	Various U.S. markets.
IWZ	Trust	Investment company units	Russell 3000 Growth Index	Broad	Various U.S. markets.
IXC	Trust	Investment company units	S&P Global Energy Sector Index	Broad	Various U.S. and foreign markets.
IXG	Trust	Investment company units	S&P Global Financials Sector Index	Broad	Various U.S. and foreign markets.
IXJ	Trust	Investment company units	S&P Global Healthcare Sector Index	Broad	Various U.S. and foreign markets.
IXN	Trust	Investment company units	S&P Global Technology Sector Index	Broad	Various U.S. and foreign markets.
IXP	Trust	Investment company units	S&P Global Telecommunications Sector Index	Broad	Various U.S. and foreign markets.
IYC	Trust	Investment company units	Dow Jones U.S. Consumer Services Sector Index	Broad	Various U.S. markets.
IYE	Trust	Investment company units	Dow Jones U.S. Energy Sector Index	Broad	Various U.S. markets.
IYF	Trust	Investment company units	Dow Jones U.S. Financial Sector Index	Broad	Various U.S. markets.

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IYG	Trust	Investment company units	Dow Jones U.S. Financial Services Index	Broad	Various U.S. markets.
IYH	Trust	Investment company units	Dow Jones U.S. Healthcare Sector Index	Broad	Various U.S. markets.
IYJ	Trust	Investment company units	Dow Jones U.S. Industrial Sector Index	Broad	Various U.S. markets.
IYK	Trust	Investment company units	Dow Jones U.S. Consumer Goods Sector Index	Broad	Various U.S. markets.
IYM	Trust	Investment company units	Dow Jones U.S. Basic Materials Sector Index	Broad	Various U.S. markets.
IYR	Trust	Investment company units	Dow Jones U.S. Real Estate Index	Broad	Various U.S. markets.
IYT	Trust	Investment company units	Dow Jones U.S. Telecommunications Sector Index	Broad	Various U.S. markets.
IYW	Trust	Investment company units	Dow Jones U.S. Technology Sector Index	Broad	Various U.S. markets.
IYY	Trust	Investment company units	Dow Jones U.S. Index	Broad	Various U.S. markets.
IYZ	Trust	Investment company units	Dow Jones U.S. Telecommunications Sector Index	Broad	Various U.S. markets.
JJA	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Agriculture Total Return Sub-Index	Narrow	N/A (futures trade on CBOT and ICE Futures U.S.)
JJC	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Copper Total Return Sub-Index	Narrow	N/A (futures trade on NYMEX (COMEX division)).
JJE	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Energy Total Return Sub-Index	Narrow	N/A (futures trade on NYMEX).
JJG	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Grains Total Return Sub-Index	Narrow	N/A (futures trade on CBOT).
JJM	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Industrial Metals Total Return Sub-Index	Narrow	N/A (futures trade on London Metals Exchange and NYMEX (COMEX division)).
JJN	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Nickel Total Return Sub-Index	Narrow	N/A (futures trade on London Metals Exchange)
JJP	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Precious Metals Total Return Sub-Index	Narrow	N/A (futures trade on NYMEX (COMEX division)).
JJS	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Softs Total Return Sub-Index	Narrow	N/A (futures trade on ICE Futures U.S.)

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JJT	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Tin Total Return Sub-Index	Narrow	N/A (futures trade on London Metals Exchange)
JJU	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Aluminum Total Return Sub-Index	Narrow	N/A (futures trade on London Metals Exchange)
JKD	Trust	Investment company units	Morningstar Large Core Index	Broad	Various U.S. markets.
JKE	Trust	Investment company units	Morningstar Large Growth Index	Broad	Various U.S. markets.
JKF	Trust	Investment company units	Morningstar Large Value Index	Broad	Various U.S. markets.
JKG	Trust	Investment company units	Morningstar Mid Core Index	Broad	Various U.S. markets.
JKH	Trust	Investment company units	Morningstar Mid Growth Index	Broad	Various U.S. markets.
JKI	Trust	Investment company units	Morningstar Mid Value Index	Broad	Various U.S. markets.
JKJ	Trust	Investment company units	Morningstar Small Core Index	Broad	Various U.S. markets.
JKK	Trust	Investment company units	Morningstar Small Growth Index	Broad	Various U.S. markets.
JKL	Trust	Investment company units	Morningstar Small Value Index	Broad	Various U.S. markets.
JNK	Trust	Investment company units	Lehman Brothers High Yield Very Liquid Index	Broad	N/A (U.S. fixed income market)
JPP	Trust	Investment company units	Russell/Nomura PRIME Index	Broad	Tokyo Stock Exchange; various U.S. markets.
JRE	Trust	Investment company units	Tokyo Stock Exchange REIT Index	Broad	Tokyo Stock Exchange
JSC	Trust	Investment company units	Russell/Nomura Small Cap Japan	Broad	Tokyo Stock Exchange; Osaka Stock Exchange; various U.S. markets.
JXI	Trust	Investment company units	S&P Global Utilities Sector Index	Broad	Various U.S. and foreign markets.
JYN	Corporation	Exchange traded note; currency linked securities	Currency (performance linked to Japanese Yen / U.S. dollar exchange rate)	N/A	N/A
KBE	Trust	Investment company units	KBW Bank Index	Broad	Various U.S. markets.
KCE	Trust	Investment company units	KBW Capital Markets Index	Broad	Various U.S. markets.
KIE	Trust	Investment company units	KBW Insurance Index	Broad	Various U.S. markets.
KLD	Trust	Investment company units	KLD Select Social Index	Broad	Various U.S. markets.
KOL	Trust	Investment company units	Stowe Coal Index	Broad	Various markets in US and abroad.

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KRE	Trust	Investment company units	KBW Regional Banking Index	Broad	Various U.S. markets.
KXI	Trust	Investment company units	S&P Global Consumer Staples Sector Index	Broad	Various U.S. and foreign markets.
LAG	Trust	Investment company units	Lehman Brothers U.S. Aggregate Index	Broad	N/A (U.S. fixed income market)
LD	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Lead Total Return Sub-Index	Narrow	N/A (futures trade on London Metals Exchange)
LDN	Trust	Investment company units	FTSE 100 Index	Broad	London Stock Exchange and other markets in United Kingdom
LIS	Trust	Investment company units	PSI 20 Index	Broad	Portuguese Main Market
LQD	Trust	Investment company units	iBoxx \$ Investment Grade Corporate Index	Broad	N/A (U.S. fixed income market)
LVL	Trust	Investment company units	Benchmarks By Design High Income Index	Broad	Various U.S. markets.
MBB	Trust	Investment company units	Lehman MBS Index	Broad	N/A (U.S. fixed income market)
MDY	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
MGC	Trust	Investment company units	MSCI US Large Cap 300 Index	Broad	Various U.S. markets.
MGK	Trust	Investment company units	MSCI US Large Cap Growth Index	Broad	Various U.S. markets.
MGV	Trust	Investment company units	MSCI US Large Cap Value Index	Broad	Various U.S. markets.
MKH	Trust	Trust Issued Receipts	Various securities of larger listed companies	N/A	Various U.S. markets.
MLN	Trust	Investment company units	Lehman Brothers AMT-Free Long Continuous Municipal Index	Broad	N/A (U.S. fixed income market)
MOO	Trust	Investment company units	DAXglobal Agribusiness Index	Broad	Various U.S. and foreign markets.
MTK	Trust	Investment company units	Morgan Stanley Technology Index	Broad	Various U.S. and foreign markets.
MUB	Trust	Investment company units	S&P National Municipal Index	Broad	N/A (U.S. fixed income market)
MVV	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
MXI	Trust	Investment company units	S&P Global Materials Sector Index	Broad	Various U.S. and foreign markets.
MYY	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
MZG	Trust	Investment company units	Morningstar Manufacturing Super Sector Index	Broad	Various U.S. and foreign markets.
MZN	Trust	Investment company units	Morningstar Information Super Sector Index	Broad	Various U.S. and foreign markets.

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MZO	Trust	Investment company units	Morningstar Services Super Sector Index	Broad	Various U.S. and foreign markets.
MZZ	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
NFO	Trust	Investment company units	Sabrient Insider Sentiment Index	Broad	Various U.S. markets.
NLR	Trust	Investment company units	DAXglobal Nuclear Energy Index	Broad	Various U.S. and foreign markets.
NXT	Trust	Investment company units	NYSE Arca Tech 100 Index	Broad	Various U.S. Markets
NY	Trust	Investment company units	NYSE 100 Index	Broad	Various U.S. markets.
NYC	Trust	Investment company units	NYSE Composite Index	Broad	Various U.S. markets.
NYF	Trust	Investment company units	S&P New York Municipal Index	Broad	N/A (New York tax exempt bond market)
OEF	Trust	Investment company units	S&P 100 Index	Broad	Various U.S. markets.
OIH	Trust	Trust Issued Receipts	Various oil industry securities	N/A	Various U.S. markets.
OIL	Corporation	Exchange traded note;	Goldman Sachs Crude Oil	Narrow	N/A (futures trade on
ONEQ	Trust	Investment company units	Nasdaq Composite Index	Broad	Various U.S. markets.
OTP	Trust	Investment company units	Ocean Tomo 300 Patent Index	Broad	Various U.S. markets.
OTR	Trust	Investment company units	Ocean Tomo 300 Patent Growth Index	Broad	Various U.S. markets.
PAF	Trust	Investment company units	FTSE RAFI Asia Pacific ex-Japan Index	Broad	Various Asian markets, excluding Japan.
PAGG	Trust	Investment company units	NASDAQ OMX Global Agriculture Index	Broad	Various U.S. and foreign markets.
PBD	Trust	Investment company units	WilderHill New Energy Global Innovation Index	Broad	Various U.S. and foreign markets.
PBE	Trust	Investment company units	Dynamic Biotechnology & Genome Intellidex Index	Broad	Various U.S. markets.
PBJ	Trust	Investment company units	Dynamic Food & Beverage Intellidex Index	Broad	Various U.S. markets.
PBP	Trust	Investment company units	CBOE S&P 500 BuyWrite Index	Broad	CBOE
PBS	Trust	Investment company units	Dynamic Media Intellidex Index	Broad	Various U.S. markets.
PBTQ	Trust	Investment company units	NASDAQ OMX Global Biotechnology Index	Broad	Various U.S. and foreign markets.
PBW	Trust	Investment company units	WilderHill Clean Energy Index	Broad	Various U.S. markets.
PCY	Trust	Investment company units	DB Emerging Market USD Liquid Balanced Index	Broad	N/A (sovereign debt of foreign emerging market countries)

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PDN	Trust	Investment company units	FTSE RAFI Developed Markets ex-U.S. Mid-Small 1500 Index	Broad	Various foreign markets.
PDP	Trust	Investment company units	Dorsey Wright Technical Leaders Index	Broad	Various U.S. markets.
PDQ	Trust	Investment company units	FTSE RAFI Developed Asia Pacific ex-Japan Mid-Small Index	Broad	Various Asian markets, excluding Japan.
PEF	Trust	Investment company units	FTSE RAFI Europe Index	Broad	Various European markets.
PEH	Trust	Investment company units	QSG Active Europe Index	Broad	Various U.S. and European markets.
PEJ	Trust	Investment company units	Dynamic Leisure & Entertainment Intellidex Index	Broad	Various U.S. markets.
PEY	Trust	Investment company units	Mergent Dividend Achievers 50 Index	Broad	Various U.S. markets.
PEZ	Trust	Investment company units	Dynamic Consumer Discretionary Intellidex Index	Broad	Various U.S. markets.
PFA	Trust	Investment company units	QSG Developed International Opportunities Index	Broad	Various U.S. and foreign markets.
PFF	Trust	Investment company units	S&P U.S. Preferred Stock Index	Broad	Various U.S. markets.
PFI	Trust	Investment company units	Dynamic Financials Intellidex Index	Broad	Various U.S. markets.
PFM	Trust	Investment company units	Broad Dividend Achievers Index	Broad	Various U.S. markets.
PFP	Trust	Investment company units	Red Rocks Listed Private Equity Index	Broad	Various U.S. markets.
PGF	Trust	Investment company units	Wachovia Hybrid & Preferred Securities Financial Index	Broad	Various U.S. markets.
PGJ	Trust	Investment company units	Halter USX China Index	Broad	Various U.S. markets.
PGM	Corporation	Exchange traded note; commodity linked securities	Dow Jones-AIG Platinum Total Return Sub-Index	Narrow	N/A (futures trade on NYMEX (COMEX division)).
PGX	Trust	Investment company units	Merrill Lynch Fixed Rate Preferred Securities Index	Broad	Various U.S. and foreign markets.
PGZ	Trust	Investment company units	Dynamic Aggressive Growth Intellidex Index	Broad	Various U.S. markets.
PHB	Trust	Investment company units	Wachovia High Yield Bond Index	Broad	N/A (tax exempt bond market)
PHJ	Trust	Investment company units	High Growth Rate Dividend Achievers Index	Broad	Various U.S. markets.

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON NOVEMBER 6, 2008

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer Of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(5) If Index, Broad or Narrow</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>
PHO	Trust	Investment company units	Palisades Water Index	Broad	Various U.S. markets.
PHW	Trust	Investment company units	Dynamic Hardware & Consumer Electronics Intellidex Index	Broad	Various U.S. markets.
PIC	Trust	Investment company units	Dynamic MagniQuant Intellidex Index	Broad	Various U.S. markets.
PID	Trust	Investment company units	International Dividend Achievers Index	Broad	Various U.S. markets.
PIE	Trust	Investment company units	Dorsey Wright Emerging Markets Technical Leaders Index	Broad	Various foreign markets.
PIO	Trust	Investment company units	Palisades Global Water Index	Broad	Various U.S. and foreign markets.
PIQ	Trust	Investment company units	Dynamic MagniQuant Intellidex Index	Broad	Various U.S. markets.
PIV	Trust	Investment company units	Value Line Timeliness Select Index	Broad	Various U.S. markets.
PIZ	Trust	Investment company units	Dorsey Wright Developed Markets Technical Leaders Index	Broad	Various foreign markets.
PJB	Trust	Investment company units	Dynamic Banking Intellidex Index	Broad	Various U.S. markets.
PJF	Trust	Investment company units	Dynamic Large Cap Intellidex	Broad	Various U.S. markets.
PJG	Trust	Investment company units	Dynamic Mid Cap Intellidex	Broad	Various U.S. markets.
PJM	Trust	Investment company units	Dynamic Small Cap Intellidex	Broad	Various U.S. markets.
PJO	Trust	Investment company units	FTSE RAFI Japan Index	Broad	Tokyo Stock Exchange; Osaka Stock Exchange
PJP	Trust	Investment company units	Dynamic Pharmaceuticals Intellidex Index	Broad	Various U.S. markets.
PKB	Trust	Investment company units	Dynamic Building & Construction Intellidex Index	Broad	Various U.S. markets.
PKOL	Trust	Investment company units	NASDAQ OMX Global Coal Index	Broad	Various U.S. and foreign markets.
PKW	Trust	Investment company units	Share BuyBack Achievers Index	Broad	Various U.S. markets.
PLK	Trust	Investment company units	Lehman Brothers 1-3 Year U.S. Treasury Index	Broad	N/A (U.S. fixed income market)
PLW	Trust	Investment company units	Ryan/Mergent 1-30 Year Treasury Laddered Index	Broad	N/A (US Treasuries securities)
PMR	Trust	Investment company units	Dynamic Retail Intellidex Index	Broad	Various U.S. markets.

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PNQI	Trust	Investment company units	NASDAQ Internet Index	Broad	Various U.S. markets.
PNXQ	Trust	Investment company units	NASDAQ Next Q Index	Broad	Various U.S. markets.
PPA	Trust	Investment company units	SPADEF Defense Index	Broad	Various U.S. markets.
PPH	Trust	Trust Issued Receipts	Various pharmaceutical industry securities	N/A	Various U.S. markets.
PQBW	Trust	Investment company units	CBOE NASDAQ-100 BuyWrite Index	Broad	CBOE
PQSC	Trust	Investment company units	FTSE NASDAQ Small Cap Index	Broad	Various U.S. markets.
PRF	Trust	Investment company units	FTSE RAFI US 1000 Index	Broad	Various U.S. markets.
PRFE	Trust	Investment company units	FTSE Research Affiliates Fundamentals Oil & Gas Sector Index	Broad	Various U.S. markets.
PRFF	Trust	Investment company units	FTSE Research Affiliates Fundamentals Financials Sector Index	Broad	Various U.S. markets.
PRFG	Trust	Investment company units	FTSE Research Affiliates Fundamentals Consumer Goods Sector Index	Broad	Various U.S. markets.
PRFH	Trust	Investment company units	FTSE Research Affiliates Fundamentals Health Care Sector Index	Broad	Various U.S. markets.
PRFM	Trust	Investment company units	FTSE Research Affiliates Fundamentals Basic Materials Sector Index	Broad	Various U.S. markets.
PRFN	Trust	Investment company units	FTSE Research Affiliates Fundamentals Industrials Sector Index	Broad	Various U.S. markets.
PRFQ	Trust	Investment company units	FTSE Research Affiliates Fundamentals Telecommunications & Technology Sector Index	Broad	Various U.S. markets.
PRFS	Trust	Investment company units	FTSE Research Affiliates Fundamentals Consumer Services Sector Index	Broad	Various U.S. markets.
PRFU	Trust	Investment company units	FTSE Research Affiliates Fundamentals Utilities Sector Index	Broad	Various U.S. markets.
PRFZ	Trust	Investment company units	FTSE RAFI US 1500 Small-Mid Index	Broad	Various U.S. markets.
PRN	Trust	Investment company units	Dynamic Industrials Sector Intellidex Index	Broad	Various U.S. markets.
PSAU	Trust	Investment company units	NASDAQ OMX Global Gold and Precious Metals Index	Broad	Various U.S. and foreign markets.

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PSI	Trust	Investment company units	Dynamic Semiconductors Index	Broad	
PSJ	Trust	Investment company units	Dynamic Software Intellidex Index	Broad	Various U.S. markets.
PSL	Trust	Investment company units	Dynamic Consumer Staples Sector Index	Broad	Various U.S. markets.
PSP	Trust	Investment company units	Red Rocks Capital Listed Private Equity Index	Broad	Various U.S. markets.
PSQ	Trust	Investment company units	NASDAQ-100 Index	Broad	Various U.S. markets.
PST	Trust	Investment company units	Lehman Brothers 7-10 Year U.S. Treasury Index	Broad	N/A (U.S. fixed income market)
PSTL	Trust	Investment company units	NASDAQ OMX Global Steel Index	Broad	Various U.S. and foreign markets.
PTD	Corporation	Exchange traded note; commodity linked securities	UBS Bloomberg CMCI Platinum Excess Return	Narrow	N/A (futures trade on NYMEX (COMEX division) and other futures exchanges)
PTE	Trust	Investment company units	Dynamic Telecommunications & Wireless Intellidex Index	Broad	Various U.S. markets.
PTF	Trust	Investment company units	Dynamic Technology Sector Intellidex Index	Broad	Various U.S. markets.
PTH	Trust	Investment company units	Dynamic Healthcare Sector Intellidex Index	Broad	Various U.S. markets.
PTJ	Trust	Investment company units	Dynamic Healthcare Services Intellidex Index	Broad	Various U.S. markets.
PTM	Corporation	Exchange traded note; commodity linked securities	UBS Bloomberg CMCI Platinum Excess Return	Narrow	N/A (futures trade on NYMEX (COMEX division) and other futures exchanges)
PTRP	Trust	Investment company units	Wilder NASDAQ OMX Global Energy Efficient Transport Index	Broad	Various U.S. and foreign markets.
PUA	Trust	Investment company units	QSG Asia-Pacific Opportunities Index	Broad	Various U.S. and Asian markets; markets in Australia and New Zealand.
PUI	Trust	Investment company units	Dynamic Utilities Intellidex	Broad	Various U.S. markets.
PUW	Trust	Investment company units	WilderHill Progressive Energy Index	Broad	Various U.S. markets.
PVI	Trust	Investment company units	Thomson Municipal Market Data VRDO Index	Broad	N/A (tax exempt bond market)

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PVM	Trust	Investment company units	Dynamic Deep Value Intellidex	Broad	Various U.S. markets.
PWB	Trust	Investment company units	Dynamic Large Cap Growth Intellidex Index	Broad	Various U.S. markets.
PWC	Trust	Investment company units	Dynamic Market Intellidex Index	Broad	Various U.S. markets.
PWD	Trust	Investment company units	FTSE RAFI Europe Small-Mid Index	Broad	Various European markets.
PWJ	Trust	Investment company units	Dynamic Mid Cap Growth Index	Broad	Various U.S. markets.
PWO	Trust	Investment company units	Dynamic OTC Intellidex Index	Broad	Various U.S. markets.
PWP	Trust	Investment company units	Dynamic Mid Cap Value Index	Broad	Various U.S. markets.
PWT	Trust	Investment company units	Dynamic Small Cap Growth Intellidex Index	Broad	Various U.S. markets.
PWV	Trust	Investment company units	Dynamic Large Cap Value Index	Broad	Various U.S. markets.
PWY	Trust	Investment company units	Dynamic Small Cap Value Intellidex Index	Broad	Various U.S. markets.
PWZ	Trust	Investment company units	Merrill Lynch California Insured Long-Term Core Municipal Securities Index	Broad	N/A (California tax exempt bond market)
PXE	Trust	Investment company units	Energy Exploration & Production Intellidex Index	Broad	Various U.S. markets.
PXF	Trust	Investment company units	FTSE RAFI Developed Markets ex-US Index	Broad	Various foreign markets.
PXH	Trust	Investment company units	FTSE RAFI Emerging Index	Broad	Various foreign markets.
PXI	Trust	Investment company units	Dynamic Energy Intellidex Index	Broad	Various U.S. markets.
PXJ	Trust	Investment company units	Oil & Gas Services Intellidex	Broad	Various U.S. markets.
PXN	Trust	Investment company units	Lux Nanotech Index	Broad	Various U.S. markets.
PXQ	Trust	Investment company units	Dynamic Networking Intellidex Index	Broad	Various U.S. markets.
PXR	Trust	Investment company units	S-Network Emerging Infrastructure Builders	Broad	Various U.S. markets.
PYH	Trust	Investment company units	Value Line Industry Rotation Index	Broad	Various U.S. markets.
PYZ	Trust	Investment company units	Dynamic Basic Materials Intellidex Index	Broad	Various U.S. markets.

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PZA	Trust	Investment company units	Merrill Lynch Insured National Long-Term Core Municipal Securities Index	Broad	N/A (US states tax exempt bond markets)
PZD	Trust	Investment company units	Cleantech Index	Broad	Various U.S. markets.
PZI	Trust	Investment company units	Zacks Micro Cap Index	Broad	Various U.S. markets.
PZJ	Trust	Investment company units	Zacks Small Cap Index	Broad	Various U.S. markets.
PZT	Trust	Investment company units	Merrill Lynch New York Insured Long-Term Core Municipal Securities Index	Broad	N/A (New York tax exempt bond market)
QCLN	Trust	Investment company units	NASDAQ Clean Edge U.S. Liquid Series Index	Broad	Various U.S. markets.
QID	Trust	Investment company units	NASDAQ-100 Index	Broad	Various U.S. markets.
QLD	Trust	Investment company units	NASDAQ-100 Index	Broad	Various U.S. markets.
QQEW	Trust	Investment company units	NASDAQ-100 Equal Weighted Index	Broad	Various U.S. markets.
QQQQ	Trust	Investment company units	NASDAQ-100 Index	Broad	Various U.S. markets.
QQXT	Trust	Investment company units	NASDAQ-100 Ex-Tech Sector Index	Broad	Various U.S. markets.
QTEC	Trust	Investment company units	NASDAQ-100 Technology Sector Index	Broad	Various U.S. markets.
RCD	Trust	Investment company units	S&P Equal Weight Index Consumer Discretionary	Broad	Various U.S. markets.
REM	Trust	Investment company units	FTSE NAREIT Mortgage REITs Index	Broad	Various U.S. markets.
REW	Trust	Investment company units	Dow Jones U.S. Technology Index	Broad	Various U.S. markets.
REZ	Trust	Investment company units	FTSE NAREIT Residential Index	Broad	Various U.S. markets.
RFG	Trust	Investment company units	S&P MidCap 400/Citigroup Pure Growth Index	Broad	Various U.S. markets.
RFV	Trust	Investment company units	S&P MidCap 400/Citigroup Pure Value Index	Broad	Various U.S. markets.
RGI	Trust	Investment company units	S&P Equal Weight Index Industrials	Broad	Various U.S. markets.
RHO	Trust	Investment company units	Health Care Select Sector Index	Broad	Various U.S. markets.
RHS	Trust	Investment company units	S&P Equal Weight Index Consumer Staples	Broad	Various U.S. markets.
RJA	Corporation	Exchange traded note; commodity linked securities	Rogers International Commodity Index – Agriculture Total Return	Broad	N/A (futures trade on NYMEX, ICE Futures U.S., CBOT, CME and other futures exchanges)

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RJI	Corporation	Exchange traded note; commodity linked securities	Rogers International Commodity Index – Total Return	Broad	N/A (futures trade on NYMEX, ICE Futures U.S., CBOT, CME and other futures exchanges)
RJN	Corporation	Exchange traded note; commodity linked securities	Rogers International Commodity Index – Energy Total Return Index	Broad	N/A (futures trade on NYMEX, ICE Futures U.S. and other futures exchanges)
RJZ	Corporation	Exchange traded note; commodity linked securities	Rogers International Commodity Index – Metals Total Return Index	Broad	N/A (futures trade on NYMEX (COMEX division) and other futures exchanges)
RKH	Trust	Trust Issued Receipts	Various regional banking industry securities	N/A	Various U.S. markets.
RMM	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
RMS	Trust	Investment company units	S&P MidCap 400 Index	Broad	Various U.S. markets.
ROB	Trust	Investment company units	Robb Report Global Luxury Index	Broad	Various U.S. and foreign markets.
ROM	Trust	Investment company units	Dow Jones U.S. Technology Index	Broad	Various U.S. markets.
RPG	Trust	Investment company units	S&P 500/Citigroup Pure Growth Index	Broad	Various U.S. markets.
RPV	Trust	Investment company units	S&P 500/Citigroup Pure Value Index	Broad	Various U.S. markets.
RRY	Trust	Investment company units	Russell 2000 Index	Broad	Various U.S. markets.
RRZ	Trust	Investment company units	Russell 2000 Index	Broad	Various U.S. markets.
RSP	Trust	Investment company units	S&P Equal Weight Index	Broad	Various U.S. markets.
RSU	Trust	Investment company units	S&P 500 Index	Broad	Various U.S. markets.
RSW	Trust	Investment company units	S&P 500 Index	Broad	Various U.S. markets.
RSX	Trust	Investment company units	DAXglobal Russia+ Index	Broad	Various U.S. and foreign markets.
RTH	Trust	Trust Issued Receipts	Various retail industry securities	N/A	Various U.S. markets.
RTL	Trust	Investment company units	FTSE NAREIT Retail Index	Broad	Various U.S. markets.
RTM	Trust	Investment company units	S&P Equal Weight Index Materials	Broad	Various U.S. markets.
RTW	Trust	Investment company units	Technology Select Sector Index	Broad	Various U.S. markets.
RWJ	Trust	Investment company units	RevenueShares Small Cap Index	Broad	Various U.S. markets.
RWK	Trust	Investment company units	RevenueShares Mid Cap Index	Broad	Various U.S. markets.
RWL	Trust	Investment company units	RevenueShares Large Cap Index	Broad	Various U.S. markets.
RWM	Trust	Investment company units	Russell 2000 Index	Broad	Various U.S. markets.

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RWR	Trust	Investment company units	Dow Jones Wilshire REIT Index	Broad	Various U.S. markets.
RWX	Trust	Investment company units	Dow Jones Wilshire Ex-US Real Estate Securities Index	Broad	Various foreign markets.
RXD	Trust	Investment company units	Dow Jones U.S. Health Care Index	Broad	Various U.S. markets.
RXI	Trust	Investment company units	S&P Global Consumer Discretionary Sector Index	Broad	Various U.S. and foreign markets.
RXL	Trust	Investment company units	Dow Jones U.S. Health Care Index	Broad	Various U.S. markets.
RYE	Trust	Investment company units	S&P Equal Weight Index Energy	Broad	Various U.S. markets.
RYF	Trust	Investment company units	S&P Equal Weight Index Financials	Broad	Various U.S. markets.
RYH	Trust	Investment company units	S&P Equal Weight Index Health Care	Broad	Various U.S. markets.
RYJ	Trust	Investment company units	Raymond James SB-1 Equity Index	Broad	Various U.S. markets.
RYT	Trust	Investment company units	S&P Equal Weight Index Information Technology	Broad	Various U.S. markets.
RYU	Trust	Investment company units	S&P Equal Weight Index Telecommunication Services & Utilities	Broad	Various U.S. markets.
RZG	Trust	Investment company units	S&P SmallCap 600/Citigroup Pure Growth Index	Broad	Various U.S. markets.
RZV	Trust	Investment company units	S&P SmallCap 600/Citigroup Pure Value Index	Broad	Various U.S. markets.
SAA	Trust	Investment company units	S&P SmallCap 600 Index	Broad	Various U.S. markets.
SBB	Trust	Investment company units	S&P SmallCap 600 Index	Broad	Various U.S. markets.
SCC	Trust	Investment company units	Dow Jones U.S. Consumer Services Index	Broad	Various U.S. markets.
SCJ	Trust	Investment company units	MSCI Japan Small Cap Index	Broad	Tokyo Stock Exchange; Osaka Stock Exchange
SCZ	Trust	Investment company units	MSCI EAFE Small Cap Index	Broad	Various U.S. and foreign markets.
SDD	Trust	Investment company units	S&P SmallCap 600 Index	Broad	Various U.S. markets.
SDK	Trust	Investment company units	Russell Mid-Cap Growth Index	Broad	Various U.S. markets.

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SDP	Trust	Investment company units	Dow Jones U.S. Utilities Index	Broad	Various U.S. markets.
SDS	Trust	Investment company units	S&P 500 Index	Broad	Various U.S. markets.
SDY	Trust	Investment company units	S&P High Yield Dividend Aristocrats Index	Broad	Various U.S. markets.
SEA	Trust	Investment company units	Delta Global Shipping Index	Broad	Various U.S. and foreign markets.
SFK	Trust	Investment company units	Russell 1000 Growth Index	Broad	Various U.S. markets.
SFV	Trust	Investment company units	MarketGrader 40 Index	Broad	Various U.S. markets.
SGT	Trust	Investment company units	Straits Times Index	Broad	Singapore Stock Exchange
SH	Trust	Investment company units	S&P 500 Index	Broad	Various U.S. markets.
SHM	Trust	Investment company units	Lehman Brothers Managed Money Municipal Short Term Index	Broad	N/A (tax exempt bond market)
SHV	Trust	Investment company units	Lehman Short Treasury Index	Broad	N/A (U.S. fixed income market)
SHY	Trust	Investment company units	Lehman 1-3 Year Treasury Index	Broad	N/A (U.S. fixed income market)
SIH	Trust	Investment company units	MarketGrader 100 Index	Broad	Various U.S. markets.
SIJ	Trust	Investment company units	Dow Jones U.S. Industrials Index	Broad	Various U.S. markets.
SJF	Trust	Investment company units	Russell 1000 Value Index	Broad	Various U.S. markets.
SJH	Trust	Investment company units	Russell 2000 Value Index	Broad	Various U.S. markets.
SJL	Trust	Investment company units	Russell MidCap Value Index	Broad	Various U.S. markets.
SKF	Trust	Investment company units	Dow Jones U.S. Financials Index	Broad	Various U.S. markets.
SKK	Trust	Investment company units	Russell 2000 Growth Index	Broad	Various U.S. markets.
SLV	Trust	Commodity based trust shares	Silver	N/A	N/A (various global markets for silver)
SLX	Trust	Investment company units	Amex Steel Index	Broad	Various U.S. markets.
SMB	Trust	Investment company units	Market Vector - Lehman Brothers AMT-Free Short Municipal Index ETF	Broad	N/A (U.S. fixed income market)
SMH	Trust	Trust Issued Receipts	Various semiconductor industry securities	N/A	Various U.S. markets.
SMN	Trust	Investment company units	Dow Jones U.S. Basic Materials Index	Broad	Various U.S. markets.
SNB	Trust	Investment company units	MarketGrader 200 Index	Broad	Various U.S. markets.
SPY	Trust	Investment company units	S&P 500 Index	Broad	Various U.S. markets.

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SRS	Trust	Investment company units	Dow Jones U.S. Real Estate Index	Broad	Various U.S. markets.
SSG	Trust	Investment company units	Dow Jones U.S. Semiconductors Index	Broad	Various U.S. markets.
SSK	Trust	Investment company units	MarketGrader Small Cap 100 Index	Broad	Various U.S. markets.
SSO	Trust	Investment company units	S&P 500 Index	Broad	Various U.S. markets.
STH	Trust	Investment company units	Sabrient Stealth Index	Broad	Various U.S. markets.
SVD	Trust	Investment company units	MarketGrader Mid Cap 100 Index	Broad	Various U.S. markets.
SWH	Trust	Trust Issued Receipts	Various software industry securities	N/A	Various U.S. markets.
SZE	Corporation	Exchange traded note; currency linked securities	DB CHF Overnight Index	Narrow	N/A
SZG	Trust	Investment company units	MarketGrader Large Cap 100 Index	Broad	Various U.S. markets.
SZK	Trust	Investment company units	Dow Jones U.S. Consumer Goods Index	Broad	Various U.S. markets.
TAN	Trust	Investment company units	MAC Global Solar Energy Index	Broad	Various U.S. and foreign markets.
TAO	Trust	Investment company units	AlphaShares China Real Estate Index	Broad	Hong Kong Stock Exchange; various U.S. markets.
TDD	Trust	Investment company units	Zacks 2010 Lifecycle Index	Broad	Various U.S. and foreign markets.
TDH	Trust	Investment company units	Zacks 2020 Lifecycle Index	Broad	Various U.S. and foreign markets.
TDN	Trust	Investment company units	Zacks 2030 Lifecycle Index	Broad	Various U.S. and foreign markets.
TDV	Trust	Investment company units	Zacks 2040 Lifecycle Index	Broad	Various U.S. and foreign markets.
TDX	Trust	Investment company units	Zacks In-Target Lifecycle Index	Broad	Various U.S. and foreign markets.
TFI	Trust	Investment company units	Lehman Brothers Municipal Managed Money Index	Broad	N/A (U.S. fixed income market)
TIP	Trust	Investment company units	Lehman TIPS Index	Broad	N/A (U.S. fixed income market)
TLH	Trust	Investment company units	Lehman 10-20 Year Treasury Index	Broad	N/A (U.S. fixed income market)
TLL	Trust	Investment company units	Dow Jones U.S. Select Telecommunications Index	Broad	Various U.S. markets.
TLO	Trust	Investment company units	Lehman Brothers Long U.S. Treasury Index	Broad	N/A (U.S. fixed income market)
TLT	Trust	Investment company units	Lehman 20+ Year Treasury Index	Broad	N/A (U.S. fixed income market)

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TMW	Trust	Investment company units	Dow Jones Wilshire 5000 Composite Index	Broad	Various U.S. markets.
TOK	Trust	Investment company units	MSCI Kokusai Index	Broad	Various U.S. and foreign markets.
TTH	Trust	Trust Issued Receipts	Various telecom industry securities	N/A	Various U.S. markets.
TWM	Trust	Investment company units	Russell 2000 Index	Broad	Various U.S. markets.
UBD	Trust	Investment company units	CPMKTB - The Capital Markets Bond Index	Broad	N/A (U.S. fixed income market)
UCC	Trust	Investment company units	Dow Jones U.S. Consumer Services Index	Broad	Various U.S. markets.
UCI	Corporation	Exchange traded note; commodity linked securities	UBS Bloomberg Constant Maturity Commodity Index (CMCI) Total Return	Broad	N/A (futures trade on NYMEX, ICE Futures U.S., CBOT, CME and other futures exchanges)
UDN	Trust	Commodity linked securities	Deutsche Bank Short US Dollar Index (USDXX) Futures Index	Narrow	N/A (futures trade on ICE Futures U.S.)
UEM	Trust	Investment company units	CPMKTS - The Capital Markets Index	Broad	Various U.S. markets (including fixed income markets)
UGA	Partnership	Partnership Units	United States Gasoline Fund LP (commodity pool primarily investing in RBOB gasoline delivered to New York Harbor)	N/A	N/A (futures trade on NYMEX, ICE Futures U.S., and other futures exchanges)
UGE	Trust	Investment company units	Dow Jones U.S. Consumer Goods Index	Broad	Various U.S. markets.
UHN	Partnership	Partnership Units	United States Heating Oil Fund, LP (commodity pool primarily investing in heating oil contracts)	N/A	N/A (futures trade on NYMEX and other futures exchanges)
UKF	Trust	Investment company units	Russell 1000 Growth Index	Broad	Various U.S. markets.
UKK	Trust	Investment company units	Russell 2000 Growth Index	Broad	Various U.S. markets.
UKW	Trust	Investment company units	Russell MidCap Growth Index	Broad	Various U.S. markets.
ULQ	Trust	Investment company units	CPMKTL - The Capital Markets Liquidity Index	Broad	N/A (U.S. fixed income market)
UNG	Partnership	Partnership Units	United States Natural Gas Fund, LP (commodity pool primarily investing in natural gas delivered at Henry Hub, Louisiana)	N/A	N/A (futures trade on NYMEX and other futures exchanges)

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON NOVEMBER 6, 2008

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer Of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(5) If Index, Broad or Narrow</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>
UPW	Trust	Investment company units	Dow Jones U.S. Utilities Index	Broad	Various U.S. markets.
URE	Trust	Investment company units	Dow Jones U.S. Real Estate Index	Broad	Various U.S. markets.
USD	Trust	Investment company units	Dow Jones U.S. Semiconductors Index	Broad	Various U.S. markets.
USL	Partnership	Partnership Units	United States 12 Month Oil Fund, LP (commodity pool primarily investing in Cushing, Oklahoma light, sweet crude oil)	N/A	N/A (futures trade on NYMEX and other futures exchanges)
USO	Partnership	Partnership Units	United States Oil Fund, LP (commodity pool primarily investing in West Texas Intermediate light, sweet crude oil)	N/A	N/A (futures trade on NYMEX and other futures exchanges)
UTH	Trust	Trust Issued Receipts	Various utilities industry securities	N/A	Various U.S. markets.
UUP	Trust	Commodity linked securities	Deutsche Bank Long US Dollar Index (USDXX) Futures Index	Narrow	N/A (futures trade on ICE Futures U.S.)
UVG	Trust	Investment company units	Russell 1000 Value Index	Broad	Various U.S. markets.
UVT	Trust	Investment company units	Russell 2000 Value Index	Broad	Various U.S. markets.
UVU	Trust	Investment company units	Russell MidCap Value Index	Broad	Various U.S. markets.
UWM	Trust	Investment company units	Russell 2000 Index	Broad	Various U.S. markets.
UXI	Trust	Investment company units	Dow Jones U.S. Industrials Index	Broad	Various U.S. markets.
UYG	Trust	Investment company units	Dow Jones U.S. Financials Index	Broad	Various U.S. markets.
UYM	Trust	Investment company units	Dow Jones U.S. Basic Materials Index	Broad	Various U.S. markets.
VAW	Trust	Investment company units	MSCI US Investable Market Materials Index	Broad	Various U.S. markets.
VB	Trust	Investment company units	MSCI US Small Cap 1750 Index	Broad	Various U.S. markets.
VBK	Trust	Investment company units	MSCI US Small Cap Growth Index	Broad	Various U.S. markets.
VBR	Trust	Investment company units	MSCI US Small Cap Value Index	Broad	Various U.S. markets.
VCR	Trust	Investment company units	MSCI US Investable Market Consumer Discretionary Index	Broad	Various U.S. markets.

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VDC	Trust	Investment company units	MSCI US Investable Market Consumer Staples Index	Broad	Various U.S. markets.
VDE	Trust	Investment company units	MSCI US Investable Market Energy Index	Broad	Various U.S. markets.
VEA	Trust	Investment company units	MSCI EAFE Index	Broad	Various foreign markets.
VEU	Trust	Investment company units	FTSE All-World ex-US Index Fund	Broad	Various foreign markets.
VFH	Trust	Investment company units	MSCI US Investable Market Financials Index	Broad	Various U.S. markets.
VGK	Trust	Investment company units	MSCI Europe Index	Broad	Various European markets.
VGT	Trust	Investment company units	MSCI US Investable Market Information Technology Index	Broad	Various U.S. markets.
VHT	Trust	Investment company units	MSCI US Investable Market Health Care Index	Broad	Various U.S. markets.
VIG	Trust	Investment company units	Dividend Achievers Select Index	Broad	Various U.S. markets.
VIS	Trust	Investment company units	MSCI US Investable Market Industrials Index	Broad	Various U.S. markets.
VNQ	Trust	Investment company units	MSCI US REIT Index	Broad	Various U.S. markets.
VO	Trust	Investment company units	MSCI US Mid Cap 450 Index	Broad	Various U.S. markets.
VOE	Trust	Investment company units	MSCI US Mid Cap Value Index	Broad	Various U.S. markets.
VOT	Trust	Investment company units	MSCI US Mid Cap Growth Index	Broad	Various U.S. markets.
VOX	Trust	Investment company units	MSCI US Investable Market Telecommunication Services Index	Broad	Various U.S. markets.
VPL	Trust	Investment company units	MSCI Pacific Index	Broad	Various Asian markets, Australia Stock Exchange, New Zealand Stock Exchange
VPU	Trust	Investment company units	MSCI US Investable Market Utilities Index	Broad	Various U.S. markets.
VTI	Trust	Investment company units	MSCI US Broad Market Index	Broad	Various U.S. markets.
VTV	Trust	Investment company units	MSCI US Prime Market Value Index	Broad	Various U.S. markets.
VUG	Trust	Investment company units	MSCI US Prime Market Growth Index	Broad	Various U.S. markets.
VV	Trust	Investment company units	MSCI US Prime Market 750 Index	Broad	Various U.S. markets.

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 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
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<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer Of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(5) If Index, Broad or Narrow</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>
VWO	Trust	Investment company units	MSCI Emerging Markets Index	Broad	Various foreign markets.
VXF	Trust	Investment company units	S&P Completion Index	Broad	Various U.S. markets.
VYM	Trust	Investment company units	FTSE High Dividend Yield Index	Broad	Various U.S. markets.
WMH	Trust	Trust Issued Receipts	Various wireless industry securities	N/A	Various U.S. markets.
WMW	Corporation	Exchange traded note; equity index linked securities	Morningstar Wide Moat Focus Total Return Index	Broad	Various U.S. markets.
WPS	Trust	Investment company units	S&P Developed ex-U.S. Property Index	Broad	Various foreign markets.
XBI	Trust	Investment company units	S&P Biotechnology Select Industry Index	Broad	Various U.S. markets.
XES	Trust	Investment company units	S&P Oil & Gas Equipment & Services Select Industry Index	Broad	Various U.S. markets.
XGC	Trust	Investment company units	Great Companies Large-Cap Growth Index	Broad	Various U.S. markets.
XHB	Trust	Investment company units	S&P Homebuilders Select Industry Index	Broad	Various U.S. markets.
XLB	Trust	Investment company units	Materials Select Sector Index	Broad	Various U.S. markets.
XLE	Trust	Investment company units	Energy Select Sector Index	Broad	Various U.S. markets.
XLF	Trust	Investment company units	Financial Select Sector Index	Broad	Various U.S. markets.
XLG	Trust	Investment company units	Russell Top 50 Index	Broad	Various U.S. markets.
XLI	Trust	Investment company units	Industrial Select Sector Index	Broad	Various U.S. markets.
XLK	Trust	Investment company units	Technology Select Sector Index	Broad	Various U.S. markets.
XLP	Trust	Investment company units	Consumer Staples Select Sector Index	Broad	Various U.S. markets.
XLU	Trust	Investment company units	Utilities Select Sector Index	Broad	Various U.S. markets.
XLV	Trust	Investment company units	Health Care Select Sector Index	Broad	Various U.S. markets.
XLY	Trust	Investment company units	Cyclical Transportation Select Sector Index	Broad	Various U.S. markets.
XME	Trust	Investment company units	S&P Metals & Mining Select Industry Index	Broad	Various U.S. markets.
XOP	Trust	Investment company units	S&P Oil & Gas Exploration & Production Select Industry Index	Broad	Various U.S. markets.

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<u>(6)</u> <u>Ticker</u> <u>Symbol</u>	<u>(2) Type of</u> <u>Issuer Of</u> <u>NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying</u> <u>Instrument</u>	<u>(5) If</u> <u>Index,</u> <u>Broad or</u> <u>Narrow</u>	<u>(7) Market(s) upon</u> <u>Which Securities</u> <u>Comprising Underlying</u> <u>Instrument Trades</u>
XPH	Trust	Investment company units	S&P Pharmaceuticals Select Industry Index	Broad	Various U.S. markets.
XRO	Trust	Investment company units	Zacks Sector Rotation Index	Broad	Various U.S. markets.
XRT	Trust	Investment company units	S&P Retail Select Industry Index	Broad	Various U.S. markets.
XSD	Trust	Investment company units	S&P Semiconductor Select Industry Index	Broad	Various U.S. markets.



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November 12, 2008

Ms. Florence E. Harmon
Acting Secretary
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

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OFFICE OF THE SECRETARY

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Harmon,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. As you may know, BATS Exchange has been approved by the United States Securities and Exchange Commission as a national securities exchange and has begun trading on a UTP basis certain securities that were previously traded on the BATS ECN. The enclosed Form 19b-4(e) contains information regarding certain derivative securities products that transitioned to BATS Exchange on November 6, 2008. Please contact me or Anders Franzon (913.815.7154) if you have any questions in connection with this matter.

Sincerely,

Tamara Schademann
Chief Regulatory Officer

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 19 2008

END