

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

091-02713 *M*

OMB APPROVAL

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
Hours per response: 3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)



08035276

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:

National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Trust

3. Class of New Derivative Securities Product:

Equity

4. Name of Underlying Instrument:

Wachovia High Yield Bond Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:

PHB

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Primarily over-the-counter

8. Settlement Methodology of New Derivative Securities Product:

Cash

9. Position Limits of New Derivative Securities Product (if applicable):

RECEIVED  
2008 MAR 24 PM 12:52  
SEC 11:11

PROCESSED

MAR 31 2008 *E*

THOMSON  
FINANCIAL

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8893

Manual Signature of Official Responsible for Form:

Date: March 19, 2008

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 24 2008

SEC 2449 (6-01)



James C. Yong  
Chief Regulatory Officer

RECEIVED  
2008 MAR 24 PM 12:45  
SEC / MR

March 19, 2008

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- |         |         |         |
|---------|---------|---------|
| 1. GSP  | 12. JJC | 23. EGB |
| 2. DGP  | 13. JJN | 24. ERE |
| 3. DGZ  | 14. JJM | 25. MYP |
| 4. DZZ  | 15. PHB | 26. WSI |
| 5. DCR  | 16. PVI | 27. SAW |
| 6. UCR  | 17. ERO | 28. PUF |
| 7. GAZ  | 18. JYN | 29. RWL |
| 8. JJA  | 19. GBB | 30. RWK |
| 9. JJG  | 20. SZE | 31. RWJ |
| 10. COW | 21. ADE |         |
| 11. JJE | 22. CUD |         |

If you have any questions on these materials, please contact me at 312/786-8058.

Very truly yours

Encls.

cc: Mitra Mehr (w/o Encl.)

**END**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 24 2008