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SECURIT



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ON

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**ANNUAL AUDITED REPORT  
FORM X-17A-5 (A) SEC Mail Processing  
PART III Section**

SEC FILE NUMBER
a-51278

APR 18 2008

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17(a) of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 1/1/2007 AND ENDING 12/31/2007  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: SYNERGY INVESTMENT GROUP, LLC

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

8320 UNIVERSITY - EXEC PARK DR. SUITE 112  
(No. and Street)  
CHARLOTTE NC 28262  
(City) (State) (Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

TIM BAIN 704.295-6699  
(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

FAULKNER & THOMPSON P.A.  
(Name - if individual, state last, first, middle name)  
226 NORTHMARK DR. SUITE 110 ROCK HILL SC 29732  
(Address) (City) (State) (Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

**PROCESSED**

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**THOMSON REUTERS**

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\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

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OATH OR AFFIRMATION

I, Tim BAIN, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of SYNERGY INVESTMENT GROUP LLC, as of 12/31, 20 07, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

[Signature]  
Signature  
COO/CFO  
Title  
4/15/07

[Signature] Jul 21, 2010  
Notary Public Comm. Exp.

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

FAULKNER AND THOMPSON, P.A.

Certified Public Accountants

226 NORTHPARK DRIVE, SUITE 110  
POST OFFICE BOX 2456  
ROCK HILL, SOUTH CAROLINA 29732  
TELEPHONE: 803-324-3160  
FACSIMILE: 803-324-2767

NORTH CAROLINA OFFICE:  
10800 SIKES PLACE, SUITE 300  
CHARLOTTE, NORTH CAROLINA 28277

TELEPHONE: 704-541-6180

ROBERT E. FAULKNER  
T. DALE THOMPSON

CERTIFIED IN S.C. AND N.C.

April 16, 2008

Mr. Timothy J. Bain, COO/CFO  
Synergy Investment Group, LLC  
Suite 110  
8320 University Executive Park Drive  
Charlotte, North Carolina 28262

Dear Mr. Bain:

Synergy Investment Group, LLC is exempt from SEC Rule 15c3-3 pursuant to a (k)(2)(ii) exemption as all customer transactions are cleared through another broker-dealer on a fully-disclosed basis. Those firms are Pershing, LLC SEC# 8-17574 and Penson Financial Services, Inc. SEC# 8-42095.

Please contact us if we can provide any additional information.

Very truly yours,

*Faulkner and Thompson, P.A.*

Faulkner and Thompson, P.A.

END