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U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

MAY 21 2007

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
American Stock Exchange LLC.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open-end management investment company.

DIVISION OF MARKET REGULATION

3. Class of New Derivative Securities Product:
Index Fund Shares listed under Amex Rules 1000A et seq.

4. Name of Underlying Instrument: Fund	Underlying Index
First Trust Large Cap Value Opportunities AlphaDex Fund	Defined Large Cap Value Opportunities Index
First Trust Multi Cap Growth AlphaDex Fund	Defined Multi Cap Growth Index
First Trust Multi Cap Value AlphaDex Fund	Defined Multi Cap Value Index
First Trust Large Cap Growth Opportunities AlphaDex Fund	Defined Large Cap Growth Opportunities Index
First Trust Large Cap Core AlphaDex Fund	Defined Large Cap Core Index
First Trust Small Cap Core AlphaDex Fund	Defined Small Cap Core Index
First Trust Mid Cap Core AlphaDex Fund	Defined Mid Cap Core Index
First Trust Utilities AlphaDex Fund	StrataQuant(TM) Utilities Index

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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow Based: All Broad-Based except for Utilities, which is Narrow- Based.

6. Ticker Symbol(s) of New Derivative Product:	First Trust Large Cap Value Opportunities	FTA
	First Trust Multi Cap Growth AlphaDex Fund	FAD
	First Trust Multi Cap Value AlphaDex Fund	FAB
	First Trust Large Cap Growth Opportunities AlphaDex Fund	FTC
	First Trust Large Cap Core AlphaDex Fund	FEX
	First Trust Small Cap Core AlphaDex Fund	FYX
	First Trust Mid Cap Core AlphaDex Fund	FNX
	First Trust Utilities AlphaDex Fund	FXU

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
The component securities trade either on the NYSE, AMEX, or NASDAQ

8. Settlement Methodology of New Derivative Securities Product:
Regular-way trades on the American Stock Exchange are settled through the National Securities Clearing Corporation ("NSCC") on T+3. Procedures for creation and redemption of Creation Unit Size Aggregations, including creations and redemptions through the Continuous Net Settlement System of NSCC, are described in the prospectus.

9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable. Securities Exchange Act of 1934

Part II Execution

The undersigned represents that the governing body of the above-referenced self-regulatory organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Dennis J. Meekins

Title: Vice President

Availability:

Telephone Number: (212) 306-1302

Manual Signature of Official Responsible for Form:

Date: May 18, 2007



**AMERICAN
STOCK EXCHANGE**
Equities Options ETFs

American Stock Exchange
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May 18, 2007

SECURITIES AND EXCHANGE COMMISSION
RECEIVED
MAY 21 2007
DIVISION OF MARKET REGULATION

**BY FACSIMILE AND OVERNIGHT COURIER
202/ 772-9273**

Securities and Exchange Commission
Division of Market Regulations
100 F. Street NE – Room 6628
Washington DC 20549
Attn: Gail Jackson – Paralegal Specialist

Re: Form 19b-4(e)

Dear Ms. Jackson:

The American Stock Exchange LLC hereby files Form 19b-4(e), with respect to the following:

First Trust Large Cap Value Opportunities AlphaDex Fund	FTA
First Trust Multi Cap Growth AlphaDex Fund	FAD
First Trust Multi Cap Value AlphaDex Fund	FAB
First Trust Large Cap Growth Opportunities AlphaDex Fund	FTC
First Trust Large Cap Core AlphaDex Fund	FEX
First Trust Small Cap Core AlphaDex Fund	FYX
First Trust Mid Cap Core AlphaDex Fund	FNX
First Trust Utilities AlphaDex Fund	FXU

All listed pursuant to Amex Rules 1000A *et seq.*

Sincerely,

Attachment

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 21 2007

END