UNITEDSTATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549



ANNUAL AUDITED REPORT FORM X-17A-5 PART III

OMB APPROVAL

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FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

| REPORT FOR THE PERIOD BEGINNING 05 | 6/01/2006 AND E | NDING | 30/2007 |
|---|--|---------------------------------------|--------------------------------------|
| | MM/DD/YY | | MM/DD/YY |
| A. REGIS | TRANT IDENTIFICATION | | |
| NAME OF BROKER-DEALER: LAUVER AND | COMPANY, INC. | | OFFICIAL USE ONLY |
| ADDRESS OF PRINCIPAL PLACE OF BUSINE | SS: (Do not use P.O. Box No.) | | FIRM I.D. NO. |
| 2040 EAST M | ARKET STREET | | |
| | (No. and Street) | · · · · · · · · · · · · · · · · · · · | |
| YORK | PA | 174 | 02-2840 |
| (City) | (State) | (Zip | Code) |
| NAME AND TELEPHONE NUMBER OF PERSORVILLE H. LAUVER | ON TO CONTACT IN REGARD T | | RT 17-755-2891 |
| ORVILLE H. LAUVER | | | rea Code - Telephone Number |
| B. ACCOU | NTANT IDENTIFICATION | | |
| | e opinion is contained in this Report CERTIFIED PUBLIC ACCOUNTAN one - if individual, state last, first, middle na | ITS | |
| 3540 N. PROGRESS AVENUE, SUITE | 107, HARRISBURG | PA | 17110 |
| (Address) CHECK ONE: Certified Public Accountant Public Accountant | JUL 1 2 2007 THOMSON FINANCIAL | J | AND EXCHANGE COMMISSION RECEIVED |
| Accountant not resident in United S | States or any of its possessions. | | CH OF REGISTRATIONS AND EXAMINATIONS |
| FO | R OFFICIAL USE ONLY | 04 | EXAMINATIONS |
| | | | |
| | | | |

^{*}Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

OATH OR AFFIRMATION

| I, | | ORVILLE H. LAUVER , swear (or affirm) that, to the best of |
|-----|-------|--|
| my | / kno | wledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of LAUVER AND COMPANY, INC. |
| of | | APRIL 30 , 20 07 , are true and correct. I further swear (or affirm) that |
| nei | ither | the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account |
| | | ed solely as that of a customer, except as follows: |
| | | |
| _ | | NONE |
| | | S S |
| | | ORVILLE H. LAUVER PRESIDENT Title |
| | | Notary Public 2 to 18 18 18 18 18 18 18 18 18 18 18 18 18 |
| | | port ** contains (check all applicable bases): |
| | | Facing Page. Statement of Financial Condition. |
| | ٠, | Statement of Financial Condition. Statement of Income (Loss). |
| | • • | Statement of Changes in Financial Condition. |
| | | Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital. |
| | | Statement of Changes in Liabilities Subordinated to Claims of Creditors. |
| | ` ' | Computation of Net Capital. |
| | | Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3. |
| | | Information Relating to the Possession or Control Requirements Under Rule 15c3-3. |
| | | A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the |
| | | Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3. |
| | (k) | A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of |
| | | consolidation. |
| | | An Oath or Affirmation. |
| | | A copy of the SIPC Supplemental Report. |
| | (n) | A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit. |

^{**}For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

LAUVER AND COMPANY, INC. STATEMENT OF FINANCIAL CONDITION - BALANCE SHEET APRIL 30, 2007

ASSETS

| | | | Allowable 31,524 | Non-Allowable | Total 31 , 524 |
|------------|----------------------|--|---------------------|---------------|---------------------------------|
| 1. | Cash | | [0200] | | [0750] |
| 2. | Receiva dealers | ables from brokers or : | | | |
| | A. | Clearance account | [0295] | | / 212 |
| | В. | Other | <u>4,213</u> [0300] | [0550] | 4,213 [0810] |
| 3. | Receiva | ables from non- ers | (0355) | [0600] | [0830] |
| 4. | | es and spot dities owned, at value: | | | |
| | A. | Exempted securities | [0418] | | |
| | В. | Debt securities | [0419] | | |
| | C. | Options | [0420] | | |
| | D. | Other securities | [0424] | | |
| | E. | Spot commodities | [0430] | | [0850] |
| 5. | | es and/or other ents not readily ble: | | | |
| | Α. | At cost | | | |
| | **** | [0130] | | | |
| | В. | At estimated fair value | [0440] | [0610] | [0860] |
| 6. | subordin and part | es borrowed under ation agreements ners' individual and ecurities accounts, at alue: | [0460] | [0630] | [0880] |
| | A. | Exempted securities | | | |
| | | [0150] | | | |
| | В. | Other securities | | | |
| | | [0160] | | | |
| 7 . | | demand notes alue of collateral: | [0470] | [0640] | [0890] |

| | Α., | Exempted securities | | | |
|-----|--|--|------------------|-----------------|------------------|
| | | [0170] | | | |
| | В. | Other securities | | | |
| | | [0180] | | | |
| 8. | Member | ships in exchanges: | | | |
| | Α. | Owned, at market | | | |
| | | [0190] | | | |
| | В. | Owned, at cost | | [0650] | |
| | C. | Contributed for use of the company, at market value | | [0660] | [0900] |
| 9. | receivab | ent in and les from affiliates, ries and associated hips | [0480] | [0670] | [0910] |
| 10. | equipme improver under les cost-net | , furniture, nt, leasehold ments and rights ase agreements, at of accumulated tion and amortization | [0490] | [0680] | [0920] |
| | • | | | 1.215 | 1,215 |
| 11. | Other as | sets | [0535] 35,737 | [0735] 1,215 | [0930] 36,952 |
| 12. | TOTAL A | ASSETS | [0540] | [0740] | [0940] |

TOTAL ASSETS

LIABILITIES AND OWNERSHIP EQUITY

2. Includes equity

| | Liabilities | | A.I. Liabilities | Non-A.I. Liabilities | Total |
|-----|---|---|------------------|---|--------|
| 13. | 3. Bank Ipans payable | | [1045] | [1255] | [1470] |
| 14. | 4. Payable to brokers or dealers: | | | | |
| | A. Clearance | account | [1114] | [1315] | [1560] |
| | B. Other | | [1115] | [1305] | [1540] |
| 15. | Payable to non-custo | mers | [1155] | [1355] | [1610] |
| 16. | Securities sold not ye at market value | t purchased, | 4 000 | [1360] | [1620] |
| 17. | Accounts payable, ac liabilities, expenses a | crued nd other | 4,938 | [1385] | 4,938 |
| 18. | | | () | • | |
| | A. Unsecured | | [1210] | | [1690] |
| | B. Secured | | [1211] | [1390] | [1700] |
| 19. | Liabilities subordinate of general creditors: | d to claims | , , | | |
| | A. Cash borro | wings: | | [1400] | [1710] |
| | | om itsiders | | | |
| | | [0970] | | | · |
| | eq su | cludes uity bordination 5c3-1(d)) of | | | |
| | | [0980] | | | |
| | B. Securities b | | | [1410] | [1720] |
| | from outsid | ers | | | |
| | | [0990] | | | |
| | C. Pursuant to demand not agreements | e collateral | | [1420] | [1730] |
| | 1. fro | m tsiders | | | |
| | <u></u> | [1000] | | | |

| | | [1010] | | | |
|-----|-------|--|--------|--------|--------|
| | D. | Exchange memberships contributed for use of company, at market value | | [1430] | (1740) |
| | E. | Accounts and other borrowings not qualified for net capital | | | |
| | | purposes | [1220] | [1440] | [1750] |
| 20. | | | 4,938 | | 4,938 |
| 20. | TOTAL | LIABLITIES | [1230] | [1450] | [1760] |

Ownership Equity

| | | lotai |
|-----|--|-------------------|
| 21. | Sole proprietorship | [1770] |
| 22. | Partnership (limited partners | [1780] |
| 23. | Corporations: | |
| | A. Preferred stock | [1791] 35, 100 |
| | B. Common stock | [1792] 5,450 |
| | C. Additional paid-in capital | -8,536 [1793] |
| | D. Retained earnings | 32,014 |
| | E. Total | [1795] |
| | F. Less capital stock in treasury | [1796]. 32,014 |
| 24. | TOTAL CIWNERSHIP EQUITY | [1800] |
| 25. | | 36,952 |
| | TOTAL LIABILITIES AND OWNERSHIP EQUITY | [1810] |

