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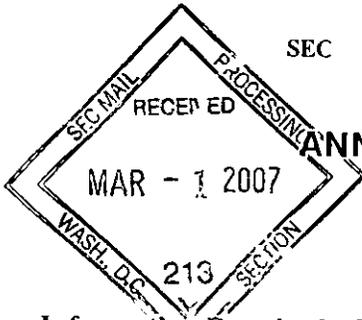


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**ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART III**

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/2006 AND ENDING 12/31/2006  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: Parker Global Investments, LLC

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

1177 Summer Street - 6th Floor

(No. and Street)

Stamford

(City)

CT

(State)

06905

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Lorraine Walls

(203) 358-4024

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

Rosen Seymour Shapss Martin & Company LLP

(Name - if individual, state last, first, middle name)

757 Third Avenue

(Address)

New York

(City)

NY

(State)

10017

(Zip Code)

CHECK ONE:

Certified Public Accountant

Public Accountant

Accountant not resident in United States or any of its possessions.

PROCESSED

APR 03 2007

FOR OFFICIAL USE ONLY

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

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OATH OR AFFIRMATION

I, Lorraine Walls, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Parker Global Investments, LLC, as of December 31, 2006, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

None

TERESE RICHARDS  
Notary Public  
My Commission Expires May 31, 2009

#138255

Lorraine Walls  
Signature

Managing Director  
Title

Therese Richards  
Notary Public

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**PARKER GLOBAL INVESTMENTS, LLC**

**FINANCIAL STATEMENT**

**Year Ended December 31, 2006**

**AND**

**INDEPENDENT AUDITORS' REPORT**

**CONTENTS**

Year Ended December 31, 2006

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**INDEPENDENT AUDITORS' REPORT**

Board of Directors  
Parker Global Investments, LLC:

We have audited the accompanying statement of financial condition of Parker Global Investments, LLC as of December 31, 2006. This financial statement is the responsibility of the Company's management. Our responsibility is to express an opinion on this financial statement based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statement is free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statement. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statement referred to above presents fairly, in all material respects, the financial position of Parker Global Investments, LLC as of December 31, 2006, in conformity with accounting principles generally accepted in the United States of America.

*Rosen Seymour Shapss Martin & Company LLP*  
CERTIFIED PUBLIC ACCOUNTANTS

New York, New York  
February 7, 2007

STATEMENT OF FINANCIAL CONDITION

December 31, 2006

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**Assets**

Cash	\$ 10,162
Commissions receivable	1,451
Prepaid expenses	<u>3,275</u>
Total assets	<u>\$ 14,888</u>

**Liabilities and Member's Equity**

**Liabilities:**

Accounts payable	<u>\$ 5,982</u>
Total liabilities	<u>5,982</u>

**Member's equity:**

Member's equity (Note 3)	<u>8,906</u>
Total member's equity	<u>8,906</u>

Total liabilities and member's equity	<u>\$ 14,888</u>
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*The accompanying notes are an integral part of this financial statement.*

NOTES TO FINANCIAL STATEMENT

December 31, 2006

**1. Nature of Operations and Summary of Significant Accounting Policies**

Nature of Operations

Parker Global Investments, LLC (the "Company") is a broker-dealer registered with the Securities and Exchange Commission and a member of the National Association of Securities ("NASD"). The Company is a wholly owned subsidiary of Parker Global Strategies, LLC ("Parent"). The Company's revenue is primarily derived from providing investment banking services through its participation in private placement offerings and commissions earned on the sale of private placement products.

The Company operates under the provisions of Paragraph (k)(2)(i) of Rule 15c3-3 of the Securities and Exchange Commission and, accordingly, is exempt from the remaining provisions of that rule. Essentially, the requirements of Paragraph (k)(2)(i) provide that a broker/dealer who carries no margin accounts promptly transmits all customer funds and delivers all securities received in connection with his activities as a broker or dealer, does not otherwise hold funds securities for or owe money or securities to customers and effectuates all financial transactions between the broker or dealer and his customers through one or more bank accounts, each to be designated as Special Account for the Exclusive Benefit of Customers of the Company. Broker/dealers operating under the provisions of (k)(2)(i) are also exempted from the remaining provisions of Rule 15c3-3, including the requirement to make the reserve computations under Rule 15c3-3.

Basis of Presentation

The financial statement includes the accounts of Parker Global Investments, LLC which reflects the Company's single business activity of investment banking. The accompanying financial statement has been presented on the accrual basis of accounting.

Revenue

The Company recognizes revenue from placement fees and commissions upon completion of the private placement offering or the sale of the private placement product.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimated.

Cash

Cash includes cash held in an interest bearing bank account.

Income Taxes

The Company files a consolidated tax return with its parent company and all items of income and deduction flow through to the members of the Parent. Accordingly, no provision for income taxes has been made in the financial statement.

**NOTES TO FINANCIAL STATEMENT**

December 31, 2006

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**2. Related Party Transactions**

The Company receives specific administrative services from its Parent at no cost. Under a written expense agreement between the Company and its Parent, the Parent has agreed to assume responsibility for these expenses and has indicated the Company is not directly or indirectly liable to the Parent for those expenses. The Parent has demonstrated it has adequate resources to pay these expenses. In addition, the Parent has separately agreed to provide additional capital, as required, for the Company to meet its minimum net capital requirements under Rule 15c3-1 of the Securities and Exchange Commission (see Note 3).

**3. Net Capital Requirement**

The Company is subject to the Securities and Exchange Commission Uniform Net Capital Rule (Rule 15c3-1), which requires the maintenance of minimum net capital and requires that the ratio of aggregate indebtedness to net capital, both as defined, shall not exceed 15 to 1 and that equity capital not be withdrawn or cash distributed if the resulting net capital ratio would exceed 10 to 1. At December 31, 2006 the Company had net capital of \$5,631 which was \$631 in excess of its required net capital of \$5,000. The Company's aggregate indebtedness to net capital was 1.0623 to 1 as of December 31, 2006.

On January 22, 2007, the Parent contributed \$10,000 of additional capital to the Company pursuant to a resolution of the Parent.