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AMENDMENT  
CM

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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**ANNUAL AUDITED REPORT  
FORM X-17A-5 - A  
PART III**

SEC FILE NUMBER  
8-44344

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 1/1/06 AND ENDING 12/31/06  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: H. RIVKIN & COMPANY INC  
ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)  
12 WEST DELAWARE AVENUE  
(No. and Street)  
PENNINGTON NEW JERSEY 08534-3201  
(City) (State) (Zip Code)  
NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT  
ANTHONY V. BRUNO, CPA (718) 273-3362  
(Area Code - Telephone Number)

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FIRM I.D. NO.

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*  
BRUNO, CICERO & LOVERDE CPA'S P.C.  
(Name - if individual, state last, first, middle name)

1336 FORES (Address)  
SECURITIES AND EXCHANGE COMMISSION RECEIVED MAY - 9 2007  
BRANCH OF REGISTRATIONS AND EXAMINATIONS  
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\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

PROCESSED  
MAY 24 2007  
THOMSON FINANCIAL

OATH OR AFFIRMATION

I, HAROLD PINKIN, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of H. PINKIN & COMPANY INC., as of DECEMBER 31, 2006, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Signature  
PRESIDENT  
\_\_\_\_\_  
Title

\_\_\_\_\_  
Notary Public

This report \*\* contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-3 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\* For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**H. Rivkin & Company, Incorporated**  
**Reconciliation of Computation of**  
**Net Capital (Total assets & Total Liabilities)**  
**December 31, 2006**

Total assets per focus Report	\$ 520,650
Adjusting entries - per audit:	
# 1 - Cash	283
# 3 - Excess tax deposits	(1,439)
# 10 - Deferred tax asset	<u>(56,356)</u>
Total assets per audited Financial statements	<u>\$ 463,138</u>
Total liabilities per focus Report	\$ 21,946
Adjusting entries - per audit:	
#3 - Payroll liabilities	(11,554)
#7 - Accrued expenses	(600)
#9 - Income tax payable	<u>3,958</u>
Total liabilities per audited Financial statements	<u>\$ 13,750</u>

**END**