

UNITED STATES V SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: Expires: Estimated average burden hours per response

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR FORM LIMITED OFFERING EXEMPTION

SEC U	JSE ONLY
Prefix	Serial
DATE	RECEIVED
i i	

V UNIFURINI L	IMITED OFFERING EXEMP	TION
Name of Offering (check if this is an amendment and nam	ne has changed, and indicate change.)	
CB Richard Ellis Partners U.S. IV, L.L.C. Class B Limite	ed Liability Company Units	(IADIN PENA ANII DENA ANIID MEM MAII ABNA AIAI IDDI
Filing Under (Check box(es) that apply): ☐ Rule 504 ☐ R	ule 505 ☑ Rule 506 ☐ Section 4(6) ☐ ULC	DE HANDE
Type of Filing: ☑ New Filing ☐ Amendment		
A.	BASIC IDENTIFICATION DATA	06062204
1. Enter the information requested about the issuer		
Name of Issuer (check if this is an amendment and name	has changed, and indicate change.)	
CB Richard Ellis Partners U.S. IV, L.L.C.	-	
Address of Executive Offices	(Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
515 S. Flower Street, 31st Floor, Los Angeles, CA 90071		(213) 683-4200
Address of Principal Business Operations	(Number and Sec. A) Sec. Zip Code)	Telephone Number (Including Area Code)
(if different from Executive Offices)	FROOL:	
Brief Description of Business	NOV 2 0 2006/	
Real estate investments		
Type of Business Organization	THOMSON	
□ corporation □ limited partne	rship, already form EdINANCIAL other (please specify) limited liability company
□ business trust □ limited partne	rship, to be formed	
	Month Year	
	0 9 0 5 ☑ Actual □	Estimated
Actual or Estimated Date of Incorporation or Organization:		Estimated
Jurisdiction of Incorporation or Organization:	(Enter two-letter U.S. Postal Service a	abbreviation for State:
	CN for Canada; FN for other foreign	jurisdiction)
GENERAL INSTRUCTIONS		
Federal:		
Who Must File: All issuers making an offering of securities U.S.C. 77d(6)	in reliance on an exemption under Regulation	D or Section 4(6), 17 CFR 230.501 et seq. or 15
When To File: A notice must be filed no later than 15 days a and Exchange Commission (SEC) on the earlier of the date i on which it is due, on the date it was mailed by United States	t is received by the SEC at the address given b	
Where To File: U.S. Securities and Exchange Commission,	450 Fifth Street, N.W., Washington, D.C. 205	49
Copies Required: Five (5) copies of this notice must be filed be photocopies of the manually signed copy or bear typed or		y signed. Any copies not manually signed must

Filing Fee: There is no filing fee.

Appendix need not be filed with the SEC.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

			A. BASIC IDE	NTIFICATION DATA	•						
2. E	nter the information requ	ested for the follo	owing:	-		-					
•	• Each promoter of the issuer, if the issuer has been organized within the past five years:										
•	• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;										
•	Each executive office	er and director of	corporate issuers and of	corporate general and ma	naging partners	of partnership issuers; and					
•	Each general and mai	naging partner of	partnership issuers.								
Check	Box(es) that Apply:	☑ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	✓ Manager					
Full Na	ime (Last name first, if ir	ndividual)									
CB Ric	chard Ellis Investors, L	.L.C.									
Busine	ss or Residence Address	(Number and St	reet, City, State, Zip Coo	le)							
515 S.	Flower Street, 31st Floo	or, Los Angeles,	CA 90071								
Check	Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☑ Executive Officer	☐ Director	☐ General and/or Managing Partner					
Full Na	ime (Last name first, if in	ndividual).			,						
Maddo	cks, Vance G.										
Busine	ss or Residence Address	(Number and St	reet, City, State, Zip Coo	le)							
515 S.	Flower Street, 31st Floo	or, Los Angeles,	CA 90071								
Check	Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner					
Full Na	ime (Last name first, if ir	ndividual)	· · · · · · · · · · · · · · · · · · ·	~							
Busine	ss or Residence Address	(Number and St	reet, City. State, Zip Coc	le)							
Check	Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner					
Full Na	ime (Last name first, if it	ndividual)		-							
Busine	ss or Residence Address	(Number and St	reet, City, State, Zip Coc	le)							
Check	Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner					
Full Na	ime (Last name first, if ir	ndividual)			-						
Busine	ss or Residence Address	(Number and St	reet, City, State, Zip Coo	le)							
Check	Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner					
Full Na	ime (Last name first, if ir	ndividual)									
Busine	ss or Residence Address	(Number and St	reet, City, State, Zip Coc	le)							
Check	Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner					
Full Na	ime (Last name first, if in	ndividual)									
Busine	ss or Residence Address	(Number and St	reet, City, State, Zip Cod	le)							

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		•			В. П	NFORMA	TION AB	OUT OFF	ERING			-		
													Yes	No
1. Ha	is the i	ssuer sole	d, or does t	he issuer ii	ntend to se	ll, to non-a	ccredited i	nvestors in	this offeri	ng?			🗆	\square
				Answer a	lso in App	endix, Colu	ımn 2, if fi	ling under	ULOE					
2. What is the minimum investment that will be accepted from any individual?												\$ 25,000		
													Yes	No
3. Do	es the	offering	permit join	t ownershi	p of a sing	le unit?							🗆	\square
sir is : bre	nilar re an asso oker oi	emunerati ociated pe r dealer.	ion for soli erson or age	citation of ent of a bro n five (5) p	purchasers oker or dea oersons to	in connec ler register	tion with s ed with the	ales of secu SEC and/	urities in th or with a s	e offering. tate or state	If a persones, list the	commission on to be listename of the nay set fort	ed e	
Full Name (1	∠ast na	me first,	if individua	ıl)										
Business or I	Reside	nce Addre	ess (Numb	er and Stre	et, City, St	ate, Zip Co	ode)							
Name of Ass	ociate	d Broker	or Dealer											
States in Wh													11 04	
(Check		[AK]		(AR)	es) [CA]	[CO]		[DE]		(FL)	[GA]	🗆 A [HI]	(ID)	
(A][]	-		(AZ) [IA]	(KS)	[KY]		[CT]	- •	[DC] [MA]	(MI)		[MS]	(مرز) [MO]	
•	•	(NE)				[LA]	[ME]	[MD]			[MN]		•	
=	(T)	[NE]	[NV]	(NH)	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]	
Full Name (L	-	[SC]	[SD]	[TN]	(TX)	(UT)	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	
Tun Name (L	zast na	ine mst,	n marvidua	11 }										
Business or I	Reside	nce Addre	ess (Numbe	er and Stre	et, City, St	ate, Zip Co	ode)							
Name of Ass	ociate	d Broker	or Dealer					_						
States in Wh	ich Per	rson Liste	d Has Soli	cited or Int	ends to So	licit Purch	asers							
(Check	"All S	tates" or	check indiv	idual State	es)							🗆 A	All States	
[A	L]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]	
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[M	1T]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]	
[R	I]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	
Full Name (L	ast na	me first, i	if individua	l)		<u> </u>				_				
Business or I	Reside	nce Addre	ess (Numbe	er and Stre	et, City, St	ate, Zip Co	ode)							
Name of Ass	ociate	d Broker	or Dealer		 						-			
States in Wh	ich Per	rson Liste	d Has Soli	cited or Int	ends to So	licit Purch	asers					<u> </u>		
(Check	"All S	tates" or	check indiv	idual State	es)							🗆 A	All States	
[A		[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]	
{II}	.)	[IN]	[A1]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]	
[M	IT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]	
[R	I)	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	

(Use blank sheet, or copy and use additional copies of this sheet, if necessary.)

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C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS $% \left(\mathbf{r}\right) =\left(\mathbf{r}\right)$

	indicate in the columns below the amounts of the securities offered for exchange and already exchanged.				
	Type of Security	(Aggregate Offering Price	А	mount Already Sold
	Debt	\$	-0-	\$	-0-
	Equity	<u>\$</u> _	-0-	<u>\$</u>	-0-
	□ Common □ Preferred				
	Convertible Securities (including warrants)	\$	-0-	\$	-0-
	Partnership Interests	\$	-0-	\$	-0-
	Other (Specify) Limited Liability Company Interests	\$	22,005,000*	\$	22,005,000
	Тота!	<u>\$</u> _	22,005,000	<u>\$</u>	22,005,000
Ans	wer also in Appendix, Column 3, if filing under ULOE.	<u>\$</u>	-0-	\$	-0-
2.	Enter the number of accredited and non-accredited investors, who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		Number		Aggregate
			Investors		of Purchases
	Accredited Investors	-	26	<u>\$</u>	22,005,000
	Non-accredited Investors	_	-0	<u>\$</u>	-0-
	Total (for filings under Rule 504 only)	_	N/A	<u>\$</u>	N/A
	Answer also in Appendix, Column 4, if filing under ULOE.				
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.				
	Type of offering		Type of Security		Oollar Amount Sold
	Rule 505	_		\$	
	Regulation A	_		\$	
	Rules 504	_		\$	
	Total	_		\$	
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.				
	Transfer Agent's Fees			<u>\$</u>	-0-
	Printing and Engraving Costs	••••	🗹	\$	16,000
	Legal Fees		Z	<u>\$</u>	12,500
	Accounting Fees			<u>\$</u>	-0-
	Engineering Fees		🗹	<u>\$</u>	-0-
	Sales Commissions (specify finders' fees separately)		🗹	<u>\$</u>	-0-
	Other Expenses (identify) filing fees		2	<u>\$</u>	4,000
	Total		F	\$	32,500

	C. OFFERING PRICE,	NUMBER OF INVESTORS, EXPENSES AND USE	OF I	KOC	EEDS			
	penses furnished in response to Part C - Questio	e offering price given in response to Part C- Question I n 4.a. This difference is the "adjusted gross proceeds to	the			\$	21,9	72,500
issuer." 5.	Indicate below the amount of the adjusted gre of the purposes shown. If the amount of any	oss proceeds to the issuer used or proposed to be used for purpose is not known, furnish an estimate and check the the listed must equal the adjusted gross proceeds to the above.	r each)				
	·			D	ayments to Officers, irectors, & Affiliates		•	ments to Others
	Salaries and fees		☑	<u>\$</u>	-0	Ø	\$	-0-
	Purchase of real estate		☑	<u>\$</u>	-0	Ø	\$	-0-
	Purchase, rental or leasing and installation of	machinery and equipment	v	<u>\$</u>	-0	☑ :	\$	-0-
	Construction or leasing of plant buildings and	facilities	🗹	<u>\$</u>	-0-	Ø	<u>\$</u>	-0-
		e value of securities involved in this offering that may be of another issuer pursuant to a merger)		\$	-0-	Ø	\$	-0-
	Repayment of indebtedness		Ø	\$	-0	☑ ;	\$	-0-
	Working capital		☑	\$	-0-	Ø	\$	-0-
	Other (specify): To acquire interests in other	entities	Ø	\$	-0-	Ø ;	<u>\$_21</u>	,972,500
	Column Totals		v	\$	-0	Ø	\$ 21	,972,500
	Total Payments Listed (column totals added)		☑		<u>\$2</u>	.1 <u>,972</u>	<u>.500</u>	
		D. FEDERAL SIGNATURE						<u> </u>
Theire	has distanced this series as he simed has d		C:1_ J	. J T	505 . 5-	falla		·
constitu		ne undersigned duly authorized person. If this notice is e. U.S. Securities and Exchange Commission, upon writt ursuant to paragraph (b)(2) of Rule 502.						
lssuer (Print of Type)	Signature					-	
CB Ric	hard Ellis Partners U.S. IV, L.L.C.	Oct	ber 2	7, 200)6 			
Name (of Signer (Print or Type)	Title of Signer (Print or Type)						
Pasha	Largarof	Vice President of CB Richard Ellis Investors, L.L.C	the	mana	ger of the is	suer		

ATTENTION
Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)