



SECURITIES AND EXCHANGE COMMISSION
RECEIVED
NOV 09 2006
BRANCH OF REGISTRATIONS
AND
08 EXAMINATIONS

OFFICIAL USE
11-124

**Notice of Government Securities Broker or Government Securities Dealer Activities
To Be Filed by a Financial Institution Under Section 15C(a)(1)(B)
of the Securities Exchange Act of 1934**

1. Check appropriate regulatory agency (ARA):

- A. Comptroller of the Currency
- B. Board of Governors of the Federal Reserve System
- C. Federal Deposit Insurance Corporation
- D. Office of Thrift Supervision
- E. Securities and Exchange Commission

2. Conducts business as:

- A. Government Securities Broker
- B. Government Securities Dealer
- C. Government Securities Broker and Dealer

3. Filing status of notice:

- A. Notice
- B. Amendment

4. A. Full name of the financial institution:

Bank of the West

B. Address of principal office of financial institution:

180 Montgomery Street, San Francisco, CA 94104

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

1450 Treat Blvd., Walnut Creek, CA 94596

D. Mailing address if different from (B) or (C):

Same as above

E. Name, title and telephone number of contact person with respect to this notice:

Martin H. Rosch
Name

Treasurer
Title

(925) 942-8462
Telephone

PROCESSED

NOV 13 2006

THOMSON
FINANCIAL

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A. Yes B. No

(If yes, provide addresses and describe activities.)

Trust and Financial Services Division	Capital Markets	Secondary Marketing
50 West San Fernando Street San Jose, CA 95113	300 S. Grand Ave Los Angeles, CA 90071	10181 Truckee-Tahoe Airpor Truckee, CA 96160
- Safekeeping -	- Capital Markets -	- Secondary Marketing -

- Disaster Location - 1977 Saturn Street, Monterey Park, CA 91754
- Disaster Location - One Front St., 23rd FL, SF CA 94111

CA 11/9

G-FIN (attachment)

Full Name

<u>Last</u>	<u>First</u>	<u>Middle</u>	<u>Title</u>
Resch	Martin	H.	Treasurer
Stead	Michael	J.	Director of Capital Markets
Abella	Francis	L.	Capital Market Invest. Sales Mgr.
Crow	Gary	L.	Investment Officer
Hattabough	David	J.	Assistant Funding Officer
Azevedo	Albert	A.	Treasury Interest Rate Analyst
Mallonee	Donal	C.	Treasury Officer
Guastamachio	Peter	A.	Assistant Portfolio Manager
Fowler	Douglas	E.	Assistant Treasurer
Nicolau	Miguel	A.	Treasury Operations Manager
Jones	Paul	T.	Treasury Operations Supervisor
Oh	Noeline	T.	Treasury Operations Supervisor
Moffat	Christopher	A.	FX Operations Manager