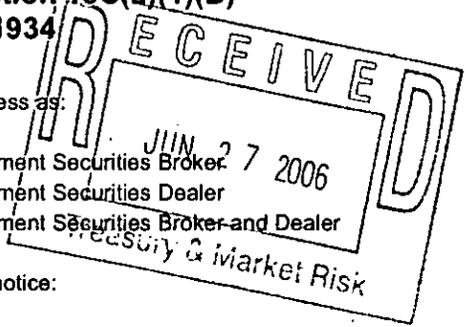


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OFFICIAL USE  
11-37

**Notice of Government Securities Broker or Government Securities Dealer Activities  
To Be Filed by a Financial Institution Under Section 15C(a)(1)(B)  
of the Securities Exchange Act of 1934**



1. Check appropriate regulatory agency (ARA):

- A.  Comptroller of the Currency
- B.  Board of Governors of the Federal Reserve System
- C.  Federal Deposit Insurance Corporation
- D.  Office of Thrift Supervision
- E.  Securities and Exchange Commission

2. Conducts business as:

- A.  Government Securities Broker
- B.  Government Securities Dealer
- C.  Government Securities Broker and Dealer

3. Filing status of notice:

- A.  Notice
- B.  Amendment

4. A. Full name of the financial institution:

FTN Financial Capital Markets

B. Address of principal office of financial institution:

845 Crossover Lane, Suite 150 Memphis, TN 38117

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

Same

D. Mailing address if different from (B) or (C):

N/A

E. Name, title and telephone number of contact person with respect to this notice:

Joel Ross

Senior VP

901-435-8712

Name

Title

Telephone

PROCESSED

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THOMSON  
FINANCIAL

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A.  Yes B.  No

(If yes, provide addresses and describe activities.)

See Attached List

PA 11/9



**FTN FINANCIAL CAPITAL MARKETS G-FIN AMENDMENT**

**June 2006**

**#5 Additional Locations**

**All offices below conduct government securities broker/dealer activities. They also transact business in municipals, CD's, mortgages, and money market instruments.**

**99 Summer Street, Suite 1730  
Boston, MA 02110**

**6101 Carnegie Blvd, Suite 460  
Charlotte, NC 28209**

***Address Change***

**500 West Madison Street, Suite 2940  
Chicago, IL 60661**

**Sterling Plaza  
5949 Sherry Lane, Suite 810  
Dallas, TX 75225**

**Lighton Tower  
7500 College Blvd, Suite 1170  
Overland Park, KS 66210**

**Manhattan Towers  
1230 Rosecrans Avenue, Suite 690  
Manhattan Beach, CA 90266**

**One St. Louis Centre, Suite 3000  
Mobile, AL 36602**

**350 Madison Avenue  
New York, NY 10017**

**17015 N Scottsdale Road, Suite 220  
Scottsdale, AZ 85255**

**1 Penn Center  
1617 JFK Blvd, Suite 840  
Philadelphia, PA 19103**

**3805 Crestwood Parkway  
Suite 150  
Duluth, GA 30096**

**Erie View Towers, Suite 2710  
Suite 2710  
Cleveland, OH 44114**

**1400 Old Country Road  
Parkway Plaza, Suite 407  
Westbury, NY 11590**

**10 Exchange Place  
9<sup>th</sup> Floor  
Jersey City, NJ 07302**

**303 West Main Street  
Freehold, NJ 07728**

**1266 East Main Street  
Soundview Plaza, 4<sup>th</sup> Floor  
Stamford, CT 06902**