

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED

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FR G-FIN  
OMB No. 7100-0224  
Average hours per response: 1.0  
Approval expires March 31, 2007



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JUN 12 2006

MAY 30 2006

DIVISION OF MARKET REGULATION

OFFICIAL USE  
11-37

**Government Securities Broker or Government Securities Dealer Activities  
To Be Filed by a Financial Institution Under Section 15C(a)(1)(B)  
of the Securities Exchange Act of 1934**

1. Check appropriate regulatory agency (ARA):

- A.  Comptroller of the Currency
- B.  Board of Governors of the Federal Reserve System
- C.  Federal Deposit Insurance Corporation
- D.  Office of Thrift Supervision
- E.  Securities and Exchange Commission

2. Conducts business as:

- A.  Government Securities Broker
- B.  Government Securities Dealer
- C.  Government Securities Broker and Dealer

3. Filing status of notice:

- A.  Notice
- B.  Amendment

PROCESSED

JUN 30 2006

THOMSON FINANCIAL

4. A. Full name of the financial institution:

FTN Financial Capital Markets

B. Address of principal office of financial institution:

845 Crossover Lane, Suite 150 Memphis, TN 38117

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

Same

D. Mailing address if different from (B) or (C):

N/A

E. Name, title and telephone number of contact person with respect to this notice:

Joel Ross

Senior VP

901-435-8712

Name

Title

Telephone

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A.  Yes B.  No

(If yes, provide addresses and describe activities.)

See Attached List

*Handwritten signature*



**FTN FINANCIAL CAPITAL MARKETS G-FIN AMENDMENT**

**May 2006**

**#5 Additional Locations**

**All offices below conduct government securities broker/dealer activities. They also transact business in municipals, CD's, mortgages, and money market instruments.**

**99 Summer Street, Suite 1730  
Boston, MA 02110**

**6101 Carnegie Blvd, Suite 560  
Charlotte, NC 28209**

**500 West Madison Street, Suite 2940  
Chicago, IL 60661**

**Sterling Plaza  
5949 Sherry Lane, Suite 810  
Dallas, TX 75225**

**Lighton Tower  
7500 College Blvd, Suite 1170  
Overland Park, KS 66210**

**Manhattan Towers  
1230 Rosecrans Avenue, Suite 690  
Manhattan Beach, CA 90266**

**One St. Louis Centre, Suite 3000  
Mobile, AL 36602**

**350 Madison Avenue  
New York, NY 10017**

**17015 N Scottsdale Road, Suite 220  
Scottsdale, AZ 85255**

**1 Penn Center  
1617 JFK Blvd, Suite 840  
Philadelphia, PA 19103**

**3805 Crestwood Parkway  
Suite 150  
Duluth, GA 30096**

**Erie View Towers, Suite 2710  
Suite 2710  
Cleveland, OH 44114**

**1400 Old Country Road  
Parkway Plaza, Suite 407  
Westbury, NY 11590**

**10 Exchange Place  
9<sup>th</sup> Floor  
Jersey City, NJ 07302**

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**303 West Main Street  
Freehold, NJ 07728**

***350 California Street  
Suite 1920  
San Francisco, CA 94104***

*Branch Office closed effective 5-22-06.*

**1266 East Main Street  
Soundview Plaza, 4<sup>th</sup> Floor  
Stamford, CT 06902**

#6 Management, Direction, or Supervision

<u>Name</u>	<u>Title</u>
Tim Romanow	EVP, Trading Manager/Wholesale Markets
Joel Ross	SVP, Risk Control Manager
Michael Heflin	SVP.-Portfolio Strategies Manager
Jim Vogel	EVP, Head of Research
Allen Riggs	VP, Controller
Bill Buck	SVP, Operations Manager
Jerry Hubbard	Manager, Capital Assets
Addison Hanan	VP, Dealer Sales Manager
Chris Childs	Branch Manager Summer Street, Boston, MA
Michael Regan	Branch Manager Carnegie Blvd, Charlotte, NC
Rodney Turner	National Sales Manager
Mat Parker	National Sales Manager
Robert Hatcher	Branch Manager St. Louis Centre, Mobile, AL
Stephen Mullin	Branch Manager JFK Blvd, Philadelphia, PA
Scott Hinchman	Branch Manager Crestwood Parkway, Duluth, GA
William Fitzgerald	Branch Manager East 9 <sup>th</sup> Street, Cleveland, OH
Dean Prainito	Branch Manager Old Country Road, Westbury, NY
John Brennan	Branch Manager Exchange Place, Jersey City, NJ
Brian Boyle	Branch Manager West Main St, Freehold, NJ
Gregory Nagle	Branch Manager East Main Street, Stamford, CT
Kathy Lafreniere	Branch Manager West Madison St, Chicago, IL