

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

OMB APPROVAL
OMB Number: 3235-0076
Expires: May 31, 2005
Estimated average burden
hours per response 1.00

11227

SE	C USE ONLY
Prefix	Serial
DA	TE RECEIVED

Name of Offering(check if this is an amendment and name has changed, and indicate	change.)
Convertible Promissory Notes	
Filing Under (Check box(es) that apply): Rule 504 Rule 505 Rule	506 Section 4(6) SULOE CO
Type of Filing: ☐ New Filing ☐ Amendment	EN -EVENNED (CO)
A. BASIC IDENTIFICATION DATA	To the state of th
Enter the information requested about the issuer	1AN 1 9 2005
Name of Issuer (check if this is an amendment and name has changed, and indicate change.	
Quodd Financial Information Services (formerly B4Utrade.com Corporation)	
Address of Executive Offices (Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)
576 Sacramento Street, 7th Floor, San Francisco, CA 94109	(415) 344-0246
Address Spirit al Desire Out to a 1 Court City City 7' College	Tileday North (Labella Association
Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices)	Telephone Number (Including Area Code)
,	
Brief Description of Business	
Online financial information provider	PROCESSED
Type of Business Organization	IAAL 4 . aaa
☐ limited partnership, already formed	JAN 14 2005 ,—
	: (please specify)
☐ business trust ☐ limited partnership, to be formed	Inomson
Month Year	r in a with Cural
Actual or Estimated Date of Incorporation or Organization: 08 99	
Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for	or State:
CN for Canada; FN for other foreign ju	urisdiction) CA
GENERAL INSTRUCTIONS	

Federal

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Α.	BASIC	IDENTIFIC	CATION DATA

 Each beneficial owners of the issuer; 	r having the pow	corporate issuers and of	direct the vote or disposit		nore of a class of equity securities of partnership issuers; and
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	☑ Director	General and/or Managing Partner
Full Name (Last name first, if i Savitz, Keith	ndividual)				
Business or Residence Address c/o Quodd Financial		r and Street, City, State, ices, 576 Sacramento Str	Zip Code) reet, 7th Floor, San Franc	isco, CA 94109	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if i Oaks, Angela	ndividual)				
Business or Residence Address c/o Quodd Financial		r and Street, City, State, ices, 576 Sacramento St	Zip Code) reet, 7th Floor, San Franc	isco, CA 94109	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if i Sieger, Anngela	ndividual)				
Business or Residence Address c/o Quodd Financial		r and Street, City, State, ices, 576 Sacramento St	Zip Code) reet, 7th Floor, San Franc	isco, CA 94109	
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	Executive Officer	Director	☐ General and/or Managing Partner
Full Name (Last name first, if i Cassidy, Dennis	ndividual)				
Business or Residence Address c/o Quodd Financial		r and Street, City, State, ices, 576 Sacramento St	Zip Code) reet, 7th Floor, San Franc	isco, CA 94109	
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if i Morgan, Dennis	ndividual)				
Business or Residence Address c/o Quodd Financial		r and Street, City, State, ices, 576 Sacramento St	Zip Code) reet, 7th Floor, San Franc	isco, CA 94109	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if i Habermann, Brett	ndividual)				
Business or Residence Address c/o Quodd Financial		r and Street, City, State, ices, 576 Sacramento St	Zip Code) reet, 7th Floor, San Franc	isco, CA 94109	
Check Box(es) that Apply:	Promoter	Beneficial Owner	☐ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if i Wragg, Christian	ndividual)				
Business or Residence Address c/o Quodd Financial		r and Street, City, State, ices, 576 Sacramento St	Zip Code) reet, 7th Floor, San Franc	isco, CA 94109	
	(Use blank sh	eet or conv and use add	itional conies of this shee	t as necessary)

2. Enter the information requested for the following:

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
 Each promoter of the issuer, if the issuer has been organized within the past five years;
 Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
• Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers.
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) The Eric L. Swenson Revocable Trust DDT 9.17.91
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Quodd Financial Information Services, 576 Sacramento Street, 7th Floor, San Francisco, CA 94109
Check Box(es) that Apply:
Full Name (Last name first, if individual) Spencer Trask Software & Information Services Group, LLC
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Quodd Financial Information Services, 576 Sacramento Street, 7th Floor, San Francisco, CA 94109
Check Box(es) that Apply:
Full Name (Last name first, if individual) Lund, Robert Thomas
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Quodd Financial Information Services, 576 Sacramento Street, 7th Floor, San Francisco, CA 94109
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) Stone, Susan
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Quodd Financial Information Services, 576 Sacramento Street, 7th Floor, San Francisco, CA 94109
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) Spencer Trask Investment Partners LLC
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Quodd Financial Information Services, 576 Sacramento Street, 7th Floor, San Francisco, CA 94109
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)
(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

	C. OFFERING, PRICE, NUMBER OF INVESTORS, EXPENS	ES AND USE OF PROC	EEDS
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \square and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$ <u>-0-</u>	\$ 550,000 *
	Equity	\$0-	\$ <u>-0-</u>
	☐ Common ☐ Preferred		
	Convertible Securities (including warrants)	\$0-	
	Partnership Interests	\$0-	
	Other (Specify)	\$0-	
	Total	\$ -0-	
	Answer also in Appendix, Column 3, if filing under ULOE	<u> </u>	<u> </u>
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	6	\$ 550,000
	Non-accredited Investors	-0-	\$ -0-
	Total (for filings under Rule 504 only)	N/A	\$ N/A
	Answer also in Appendix, Column 4, if filing under ULOE		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.		
		Type of	Dollar Amount
	Type of Offering	Security	Sold
	Rule 505		\$N/A
	Regulation A		\$N/A
	Rule 504	N/A	\$N/A
	Total	N/A	\$N/A
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		□ \$ -0 <u>-</u>
	Printing and Engraving Costs		□ \$ <u>-</u> 0-
	Legal Fees.		S \$ 10,000
	Accounting Fees		□ \$ -0-
	Engineering Fees		□ \$ <u>-0-</u>
	Sales Commissions (specify finders' fees separately)		
	Other Expenses (identify)		☐ \$ <u>-0-</u>
	Total		□ \$ <u>-0-</u> □ \$ <u>10,000</u>
	10(41/	•••••••	₹4Φ 10'000

^{*} Convertible Promissory Notes

	C. OFFERING, PRICE, NUMBER OF INVESTORS, EXPE	ENSES AND USE OF PROCEI	EDS
	b. Enter the difference between the aggregate price given in response to Part C Question 1 and total expenses furnished in response to Part C - Question 4.a. The difference is the "adjusted gross proceeds to the issuer."	nis	\$_540,000
5.	Indicate below the amount of adjusted gross proceeds to the issuer used or propost to be used for each of the purposes shown. If the amount for any purpose is r known, furnish an estimate and check the box to the left of the estimate. The total the payments listed must equal the adjusted gross proceeds to the issuer set forth response to Part C – Question 4.b above.	of Officers,	Payments To Others
	Salaries and fees		\$0-
	Purchase of real estate		\$0-
	Purchase, rental or leasing and installation of machinery and equipment		\$ 0
	Construction or leasing of plant buildings and facilities		\$0-
	Acquisition of other businesses (including the value of securities involved in the offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger)		□ \$ -0-
	Repayment of indebtedness	\$ -0-	□ \$0-
	Working capital		
	Other (specify):		
		_	
	Column Totals	·	
	Total Payments Listed (column totals added)	\(\bigsiz \\$ \sum_540,00	<u>)0</u>
	D. FEDERAL SIGNATUR	E	
sig	e issuer has duly caused this notice to be signed by the undersigned duly authorized p mature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Ex- formation furnished by the issuer to any non-accredited investor pursuant to paragraph	change Commission, upon writt	
Iss	uer (Print or Type) Quodd Financial Information Services	Da	1e 3/05
Na	me of Signer (Print or Type) Keith Savitz Title of Signer (Print or President and	Type) Chief Executive Officer	

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

	E. S	TATE SIGNATURE				
1.	Is any party described in 17 CFR 230.262 presently subject to of such rule?	o any of the disqualification provisions Yes	No			
	See Appendix	x, Column 5, for state response.				
2.	 The undersigned issuer hereby undertakes to furnish to any (17 CFR 239.500) at such times as required by state law. 	state administrator of any state in which this notice is filed, a notice	on Form D			
3.	 The undersigned issuer hereby undertakes to furnish to the offerees. 	state administrators, upon written request, information furnished by the	he issuer to			
4.	4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform Limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.					
	The issuer has read this notification and knows the contents to be luly authorized person.	e true and has duly caused this notice to be signed on its behalf by the u	undersigned			
Issi	Ssuer (Print or Type) Quodd Financial Information Services	gnature Date 1/3/0				
Na	Name of Signer (Print or Type) Ti	itle of Signer (Print or Type)				
	Keith Savitz	President and Chief Executive Officer				

Instruction.

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

APPENDIX

1	2 3 4 5								
-	Type of security Intend to sell and aggregate to non-accredited offering price			4 Type of investor and				Disqualification Under State ULOE (if yes, attach explanation of	
	investor	rs in State	offered in state		amount pur	rchased in State		waiver granted	
	(Part E	B-Item 1)	(Part C-Item 1) Convertible Promissory Notes	Number of	(Part	C-Item 2) Number of	Γ	(Part E	-Item 1)
State	Yes	No	Convertible Promissory Notes	Accredited Investors	Amount	Non-Accredited Investors	Amount	Yes	No
AL					1 2210 411				
AK								-	
AZ									
AR									
CA					:		-		
СО									
CT									
DE									
DC									
FL									
GA								,	
HI									
ID									
IL									
IN									
IA						,			
KS									
KY									
LA								<u></u>	
ME									
MD		v	Constitute Provident		£225 000		60		V
MA		X	Convertible Promissory Notes	3	\$325,000	0	\$0		X
MI									ļ
MN							1		
MS								ļ	
МО									

APPENDIX 1 2 3 4 Disqualification Type of security Under State ULOE and aggregate offering price Intend to sell (if yes, attach explanation of to non-accredited Type of investor and investors in State offered in state amount purchased in State waiver granted (Part E-Item 1) (Part B-Item 1) (Part C-Item 1) (Part C-Item 2) Series D Preferred Stock Number of Number of Accredited Non-Accredited State Yes Investors No Amount Investors Amount Yes No MT NE NV NH NJ X Convertible Promissory Note \$100,000 0 \$0 X 1 NM NY NC ND X \$100,000 \$0 X OH Convertible Promissory Note OK OR PA X X Convertible Promissory Note \$25,000 0 \$0 RI SC SD TN TX UT VT VA WA WV WI WY PR