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11-28-2003



SECURITIES A  
Wa:



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**ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART III**

CM

OMB APPROVAL  
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DIVISION OF INVESTIGATION

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Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/01/2002 AND ENDING 12/31/2002  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER: EAINVEST SECURITIES, INC.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

2420 Camino Ramon, #208

(No. and Street)

San Ramon

(City)

CA

(State)

OFFICIAL USE ONLY  
104370  
FIRM I.D. NO.

REC'D S.E.C.

MAY 6 2003

94583

(Zip Code)

PROCESSED  
DEC 01 2003

THOMSON  
FINANCIAL

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Janet Albers

425-328-4434

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

Wilson, Markle, Stuckey, Hardesty & Bott

(Name - if individual, state last, first, middle name)

101 Larkspur Landing Circle, #200, Larkspur, CA 94939

(Address)

(City)

(State)

(Zip Code)

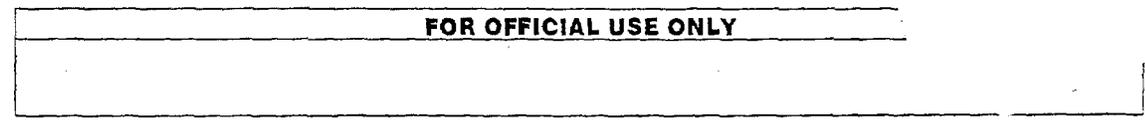
CHECK ONE:

Certified Public Accountant

Public Accountant

Accountant not resident in United States or any of its possessions.

**FOR OFFICIAL USE ONLY**



\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

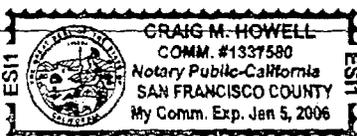
*[Handwritten signatures]*

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

OATH OR AFFIRMATION

I, Nadine Lucas, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of EAINVEST SECURITIES INC., as of December 31, 20 02, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:



Nadine Lucas
Signature
President
Title

Craig M. Howell
Notary Public

- This report \*\* contains (check all applicable boxes):
(a) Facing Page.
(b) Statement of Financial Condition.
(c) Statement of Income (Loss).
(d) Statement of Changes in Financial Condition.
(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
(g) Computation of Net Capital.
(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-3 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
(l) An Oath or Affirmation.
(m) A copy of the SIPC Supplemental Report.
(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

**EAINVEST SECURITIES, INC.**

Financial Statements

and Supplemental Information

Year ended December 31, 2002

with

Reports of Independent Auditors

*This report is deemed [REDACTED] accordance with Rule 17a-5(e)(3) under the Securities and Exchange Act of 1934. A statement of financial condition and supplemental report on internal accounting control bound separately has been filed with the Securities and Exchange Commission simultaneously herewith as a PUBLIC DOCUMENT.*

**EAINVEST SECURITIES, INC.**  
Statement of Financial Condition  
December 31, 2002

Assets

Current assets

Cash and cash equivalents	\$ 17,085
Deposit with clearing broker	25,000
Receivable from clearing broker	2,225
Prepaid expenses and other current assets	<u>13,777</u>
Total current assets	<u>58,087</u>
Total assets	<u>\$ 58,087</u>

Liabilities and Stockholder's Equity

Current liabilities

Payable to clearing broker	\$ 4,069
Accounts payable and accrued liabilities	<u>760</u>
Total current liabilities	4,829

Stockholder's equity

Common stock, \$.001 par value, 10,000,000 shares authorized, issued and outstanding	10,000
Additional paid in capital	147,000
Accumulated deficit	<u>(103,742)</u>
Total stockholder's equity	<u>53,258</u>
Total liabilities and stockholder's equity	<u>\$ 58,087</u>

See accompanying notes