

*no matching criteria*

# THE BANK OF NEW YORK

NEW YORK'S FIRST BANK—FOUNDED 1784 BY ALEXANDER HAMILTON



02028373

620 Avenue of the Americas, New York, NY 10011

## DEPOSITARY RECEIPTS

April 1, 2002

The U.S. Securities and Exchange Commission  
450 Fifth Street, N.W.  
Room 3099  
Office of International Corporate Finance  
Mail Stop 3-7  
Washington, D.C. 20549

SUPPL

02 APR 12 AM 9:11

Re: Sammy Corporation— File No. 82-5227

Dear Sirs:

At the request of Sammy Corporation, we are enclosing a copy of their:

- **Notice Regarding Appeal to the Tokyo High Court**

We are forwarding these documents at the request and on behalf of Sammy Corporation, but we have not reviewed any disclosure made therein, and cannot confirm the accuracy or completeness of any such disclosure and hereby disclaim any responsibility or liability with respect thereto.

Please acknowledge receipt of this document by stamping the duplicate copy of this letter and returning it to us in the enclosed self-addressed envelope.

Very Truly Yours,

Shunsuke Sumikawa  
Account Administrator  
Tel: 646-885-3296  
Fax: 646-885-3043

Email: [ssumikawa@bankofny.com](mailto:ssumikawa@bankofny.com)

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THOMSON  
FINANCIAL

March 27, 2002

Company Name: Sammy Corporation  
Company Representative: Hajime Satomi  
President and Chief Executive Officer  
(Code No. 6426 Tokyo Stock Exchange 1<sup>st</sup> Section)

Further Inquiry: Yasuhiro Katayama  
Executive Officer and Division Manager, Administration Division  
(Telephone Number: 03-5950-3790)

March 27, 2002

Dear Sirs:

Notification regarding appeal to the Tokyo High Court

With regard to the action for the infringement of the patent filed with the Tokyo District Court by Aruze Corp. against us, the Tokyo District Court delivered judgment on March 19, 2002, which ordered us to pay 7 billion 4 hundred 16 million 6 hundred 80 thousand (7,416,680,000) yen, and we notified you to that effect on the same day. We hereby notify you of our further measures as follows:

1. Appeal and others

- (1) We appealed to the Tokyo High Court against the judgment immediately after it was delivered on March 19, 2002.
- (2) On March 20, 2002, we filed a petition for stay of execution under the declaration of preliminary execution attached to the judgment and were given a "decision for stay of execution," which suspends the execution until a judgment is delivered by the appeal court.

2. Future influence on our settlement of accounts for this term

There will be no influence on our settlement of accounts for this term.

In relation to the decision for stay of execution, we deposited 5 billion (5,000,000,000) yen with the Legal Affairs Bureau according to the decision of the court. In principle, this deposit will be returned when the action ends.

3. Future measures

At the appeal hearings, we will continue to strongly insist, as we did before, that we have not infringed the patent. Simultaneously, we will dispute the validity of the patent itself. With regard to the validity thereof, we have filed a claim with the Patent Office to invalidate the said patent. On March 18, 2002, the Patent Office issued a "Notice of Reasons for Invalidity" (by which any reason for invalidity of the patent is notified to the related parties when the Patent Office finds it to be invalid), which was served to us after delivering the judgment, March 19, 2002.

Sammy Corporation posts the Report and Release Information on this Investor's Guide, our internet IR site, aiming to facilitate timely disclosure of information to its stockholders, investors, customers, etc.

This Report and Release Information may contain important information, defined in the Japanese Securities and Exchange Law, concerning the business of the Company. In case that a person who receives such information by viewing this Investor's Guide conducts any sale, purchase or other certain transactions designated under the Law in respect of stock or other certain securities or instruments issued by the Company, until 12 hours pass from the time when such information was disclosed to the designated media, such conducts may be deemed to be violation of the Law.

02 APR 12 11:51 AM