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STATES  
CHANGE COMMISSION  
Washington, D.C. 20549

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**ANNUAL AUDITED REPORT  
FORM X-17A-5 (A)  
PART III**

**FACING PAGE**

**Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

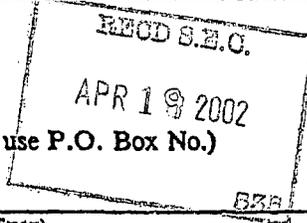
REPORT FOR THE PERIOD BEGINNING 01/01/01 AND ENDING 12/31/01  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER:

SONIC TRADING, LLC

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)  
67 WALL STREET 21ST FLOOR



OFFICIAL USE ONLY  
FIRM ID. NO.

(No. and Street)

NEW YORK

NEW YORK

10005

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

JOSEPH CAMMARATA

(212) 905-7600

(Area Code - Telephone No.)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*

MARCUM & KLIEGMAN LLP

(Name - if individual, state last, first, middle name)

655 THIRD AVENUE 16TH FLOOR

NEW YORK

NEW YORK

10017

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

PROCESSED

MAY 02 2002

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THOMSON FINANCIAL

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See section 240.17a-5(e)(2).

OATH OR AFFIRMATION

I, MARK T. MANZO, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of SONIC TRADING, LLC, as of

DECEMBER 31, ~~19~~ 2001 are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Donato Fornabai  
Notary Public

[Signature]  
Signature  
FINANCIAL + OPERATIONS PRINCIPAL  
Title

DONATO FORNABAIO  
NOTARY PUBLIC, STATE OF NEW YORK  
NO. 01FO6037165  
QUALIFIED IN RICHMOND COUNTY  
COMMISSION EXPIRES FEB. 14, 2006

This report\*\* contains (check all applicable boxes):

- (a) Facing page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietor's Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation, of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

# SONIC TRADING LLC

## SCHEDULE I - COMPUTATION OF NET CAPITAL PURSUANT TO RULE 15c3-1 OF THE SECURITIES AND EXCHANGE COMMISSION

As of December 31, 2001

### NET CAPITAL

Total member's equity	\$ 121,718
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### DEDUCTIONS

Stock and stock warrant subscriptions	29,800
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NET CAPITAL BEFORE HAIRCUTS ON SECURITIES POSITION	91,918
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### HAIRCUTS ON SECURITIES

Marketable securities	1,212
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NET CAPITAL	\$ 90,706
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### AGGREGATED INDEBTEDNESS (A.I.)

Accounts payable and accrued expenses	\$ 10,000
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### COMPUTATION OF BASIC NET CAPITAL REQUIREMENT

(a) Minimum net capital required (6 2/3% of total A.I.)	\$ 667
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(b) Minimum net capital required of broker/dealer	\$ 5,000
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Net capital requirement (Greater of (a) or (b))	\$ 5,000
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Excess net capital	\$ 85,706
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Excess net capital at 1,000% (Net capital - 10% of A.I.)	\$ 89,706
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Ratio of A.I. to net capital	.11 to 1
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*See independent auditors' report.*

SONIC TRADING LLC

SCHEDULE I - COMPUTATION OF NET CAPITAL PURSUANT TO RULE  
15c3-1 OF THE SECURITIES AND EXCHANGE COMMISSION, Continued

As of December 31, 2001

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RECONCILIATION WITH COMPANY'S COMPUTATION

(include in Part II of Form X-17A-5 as of December 31, 2001)

No material differences exist

*See independent auditors' report.*



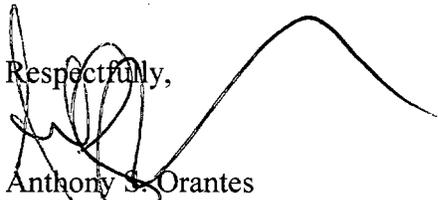
**SonicTrading, LLC**  
**67 Wall Street, 21<sup>st</sup> floor**  
**New York, NY 10005**

April 18, 2002

To Whom It May Concern:

We have enclosed an amended Net Capital Computation, which should be considered as part of the firm's annual audited report for year ending December 31, 2001.

If you have any further questions, please do not hesitate to contact at (212) 905-7600.  
Thank you for your cooperation in this matter.

Respectfully,  
  
Anthony S. Orantes  
Vice President, Finance

② copies