For the reporting period endo December 31. 2001



SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB AP	PROVAL
OMB Number:	3235-0337
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response	1.50
Estimated average	ge burden
hours per minim	um
response	50

## **FORM TA-2**

## FORM FOR REPORTING ACTIVITIES OF TRANSFER AGENTS REGISTERED PURSUANT TO SECTION 17A OF THE SECURITIES EXCHANGE ACT OF 1934

ATTENTION: INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACT CONSTITUTE FEDERAL CRIMINAL VIOLATIONS. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a) 1. Full name of Registrant as stated in Question 3 of Form TA-1: (Do not use Form TA-2 to change name or address.) STOCK TRANSFER COMPANY OF AMERICA, INC. 2. a. During the reporting period, has the Registrant engaged a service company to perform any of its transfer agent functions? (Check appropriate box.) ☐ Some X None b. If the answer to subsection (a) is all or some, provide the name(s) and transfer agent file number(s) of all service company(ies) engaged: Name of Transfer Agent(s): File No. (beginning with 84- or 85-): c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to perform transfer agent functions? Yes No No d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for which the Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, please complete and attach the Supplement to Form TA-2.)

Name of Transfer Agent(s): File No. (beginning with 84- or 85-):

## III. Federal Information Law and Requirements.

SEC's Collection of Information: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number. Under Sections 17, 17A(c) and 23(a) of the Act and the rules and regulations thereunder, the SEC is authorized to solicit from registered transfer agents the information required to be supplied on Form TA-2. The filing of this Form is mandatory for all registered transfer agents. The information will be used for the principal purpose of regulating registered transfer agents but may be used for all routine uses of the SEC or of the ARAs. Information supplied on this Form will be included routinely in the public files of the ARAs and will be available for inspection by any interested person. Any member of the public may direct to the SEC any comments concerning the accuracy of the burden estimate on the application facing page of this Form, and any suggestions for reducing this burden. The Office of Management and Budget has reviewed this collection of information in accordance with the clearance requirements of 44 U.S.C. 3507. The applicable Privacy Act system of records is SEC-2. Form TA-2 is subject to the routine uses set forth at 40 FR 39255 (Aug. 27, 1975) and 41 FR 5318 (Feb. 5, 1976).

3.	<b>a.</b>	Comptroll Federal De	er of the Currency eposit Insurance Co	ederal Reserve Syste		conly.)		
	b.			he Registrant amend ame inaccurate, inco				ing the date on which
			amendment(s) to file amendment( able	(s)				
	c.	If the answer t	o subsection (b) is	no, provide an expl	anation:			
	<del></del>							
_		If	the response to	any of questions	4-11 below i	s none or zer	o, enter "0."	)
-4.	Nu	mber of items re	eceived for transfer	r during the reportin	g period:	•		103
5.	a.			ityholder accounts, i ment plans and/or di				3,963
	b.			der dividend reinves				unts 0
	c.	Number of ind	ividual securityhol	der DRS accounts as	s of December	31:		0
	d.	Approximate p December 31:	percentage of indiv	ridual securityholder	r accounts from	n subsection (a)	in the follow	ing categories as of
		Corporate Equity Securities	Corporate Debt Securities	Open-End Investment Company Securities	Limited Partnershi Securities	p Secun	1	Other Securities
		99%	0%	0%	0%	. 0%	·	1%
6.	Nu	mber of securiti	es issues for which	Registrant acted in	the following o	apacities, as of	December 31:	
		•		Corporate Securities	Open-End Investment	Limited Partnership	Municipal Debt	Other Securities

	1		Company	Securities	Securities
	Equity	Debt	Securities		
a. Receives items for transfer and maintains the master securityholder files:	6	0	0	0	0
<ul> <li>Receives items for transfer but does not maintain the master securityholder files:</li> </ul>		0	0	0	0
Door mat acceive items for					T

c. Does not receive items for transfer but maintains the master securityholder files:

	Securities	Investment Company	Partnership Securities	Debt Securities	Securities
Equity	Debt	Securities			
6	0	0	0	0	1
0	0	0	0	0	0
0	0	0	0	0	00

7.		cope of certain additional types of activities performed:  Number of issues for which dividend reinvestment plan and/or direct purchase plan		
	a.	services were provided, as of December 31:		0
	b	Number of issues for which DRS services were provided, as of December 31:		
		Dividend disbursement and interest paying agent activities conducted during the re		
		i. number of issues		0
		ii. amount (in dollars)		0
8.	a.	Number and aggregate market value of securities aged record differences, existing December 31:	for more than 30	days, as of
		Prior	l Curr	'ent
		Transfer Agente (If applicable)	1	er Agent
		i. Number of issues	T Ö	
		ii. Market value (in dollars)	0	
		in Manager value (in contact)	<u></u>	
	b.	Number of quarterly reports regarding buy-ins filed by the Registrant with its ARA SEC) during the reporting period pursuant to Rule 17Ad-11(c)(2):		0
	c.	During the reporting period, did the Registrant file all quarterly reports regarding be (including the SEC) required by Rule 17Ad-11(c)(2)?	uy-ins with its AF	RA
		∑ Yes ☐ No		
	d.	If the answers to subsection (c) is no, provide an explanation for each failure to file	:	
9.	a.	During the reporting period, has the Registrant always been in compliance with the as set forth in Rule 17Ad-2?	turnaround time i	for routine items
		☐XYes ☐ No		
		If the answer to subsection (a) is no, complete subsections (i)	through (ii).	
		i. Provide the number of months during the reporting period in which the Registr compliance with the turnaround time for routine items according to Rule 17Ad		
		combinates will the contracting to to too me troug account to trace 1 to the		•
		ii. Provide the number of written notices Registrant filed during the reporting peri	iod with the	
		SEC and with its ARA that reported its noncompliance with turnaround time for items according to Rule 17Ad-2.	or routine	•
10.	Nu	umber of open-end investment company securities purchases and redemptions (transa	ctions) excluding	dividend, interest
	and	d distribution postings, and address changes processed during the reporting period:		1.6
	a.	Total number of transactions processed:		
	b.	Number of transactions processed on a date other than date of receipt of order (as o	fs):	0

11. a. During the reporting period, provide the date of all database searches conducted for lost securityholder accounts listed on the transfer agent's master securityholder files, the number of lost securityholder accounts for which a database search has been conducted, and the number of lost securityholder accounts for which a different address has been obtained as a result of a database search:

Date of Database Search	Number of Lost Securityholder Accounts Submitted for Database Search	Number of Different Addresses Obtained from Database Search
0	0	0
	<u> </u>	

b. Number of lost securityholder accounts that have been reporting period:	remitted to states during the
SIGNATURE: The Registrant submitting this Form, and the perinformation contained in the Form is true, corre	erson signing the Form, hereby represent that all the ect, and complete.
Manual signature of Official responsible for Form:	Title:
No	MANAGER Telephone number: (972) 233-4790
Name of Official responsible for Form:	Date signed
(First name, Middle name, Last name)	(Month/Day/Year):
MEREDITH MARIE MCAFEE-GREEN	

3-28-02

File Number	Supplement to Form TA-2	r
For the reporting period ended December 31,	Full Name of Registrant	
	ne name(s) and file number(s) of the named transfe to perform transfer agent functions:	er agent(s) for which the Registrant has been
Name(s):		File No. (beginning with 84- or 85-):
Name(s):		
Name(s):		

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