

## **Section II: Record Maintenance Arrangements / Business Arrangements / Control Persons / Financings**

### **IHSMarkit [and/or SwapOne]**

450 W. 33rd Street, 5th floor  
New York, NY 10001

Effective Date: 08-16-2021

Termination Date:

Briefly describe the nature of the arrangement with respect to books or records (13A): Bank of Nova Scotia uses HIS Markit for electronic storage of blotter and ledger records.

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### **Global Relay**

220 Cambie St., 2nd Floor  
Vancouver, BC V6B 2M9  
Attention: Legal

Effective Date: 05-05-15

Termination Date:

Briefly describe the nature of the arrangement with respect to books or records (13A): Bank of Nova Scotia uses Global Relay for storage of records of voice and electronic communications.]

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### **RedBox**

180 Maiden Lane, Floor 10  
New York, NY 10038

Effective Date: 01-31-2020

Termination Date:

Briefly describe the nature of the arrangement with respect to books or records (13A): Bank of Nova Scotia uses Global Relay for storage of records of voice and electronic communications.

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### **Broadridge**

5 Dakota Drive, Suite 300  
Lake Success, NY 11042

Effective Date: 09-18-2008

Termination Date:

Briefly describe the nature of the arrangement with respect to books or records (13A): Bank of Nova Scotia uses Broadridge for electronic storage of records related to cash reporting and confirmations for securities.

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**ICE**

5660 New Northside Drive, NW, Third Floor  
Atlanta, GA 30328

Effective Date:

Termination Date:

Briefly describe the nature of the arrangement with respect to books or records (13A): Bank of Nova Scotia uses ICE for storage of portfolio compression electronic records.

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