

Primary Business Name: CODA MARKETS, INC.**BD Number: 36187****BD - AMENDMENT****09/30/2019****BD - INDIRECT OWNERS**

Ownership Codes: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%
A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

Full Legal Name	DE/FE/I	Entity in Which Interest is Owned	Status	Date Acquired	Own. Code	Control Person	PR	CRD # (or SSN, IRS Tax #, Emp. ID)
ROSS, DONALD KEITH JR	I	PDQ ENTERPRISES LLC	EXECUTIVE CHAIRMAN & SHAREHOLDER (CLASS A & B)	01/2006	C	Y	N	xxx-xx-xxxx