

# Convergex Execution Solutions LLC

## Statement of Financial Condition

December 31, 2016

### Assets

Cash and cash equivalents	\$ 34,758,535
Cash and securities segregated in compliance with federal regulations and other restricted deposits	71,181,896
Receivables from brokers, dealers and clearing organizations, net of allowance of \$126,769	419,194,976
Deposits with clearing organizations	65,104,075
Receivables from customers, net of allowance of \$1,651,706	34,382,327
Fixed assets, at cost, net of accumulated depreciation and amortization of \$58,873,549	22,217,432
Other assets	7,250,424
Total assets	<u>\$ 654,089,665</u>

### Liabilities and member's equity

#### Liabilities:

Due to banks	\$ 212,599
Payables to brokers, dealers and clearing organizations	446,920,945
Payables to customers	13,092,353
Accrued compensation and other liabilities	57,698,174
	<u>517,924,071</u>
Subordinated liabilities	10,000,000
Total liabilities	<u>527,924,071</u>
Member's equity	<u>126,165,594</u>
Total liabilities and member's equity	<u>\$ 654,089,665</u>

*The accompanying notes are an integral part of this statement of financial condition.*