

Direct Owners/Executive Officers

Organization CRD Number: 7059
Organization Name: CITIGROUP GLOBAL MARKETS INC.
Organization SEC Number: 8-8177
Applicant Name: CITIGROUP GLOBAL MARKETS INC.
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Full Legal Name	Domestic, Foreign, Individual	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
TROMBETTA, SANTO VITO	Individual	PRINCIPAL OPERATIONS OFFICERS (FINOP)	07/2015	Less than 5%	Y	N	2068210
CITIGROUP FINANCIAL PRODUCTS INC.	Domestic Entity	100% SHAREHOLDER	09/1998	75% or more	Y	N	13-3240203
KEEGAN, DANIEL LAURENCE	Individual	CEO/CHAIRMAN/PRESIDENT	07/2018	Less than 5%	Y	N	2756125
CHIRICO, JOHN A	Individual	DIRECTOR	02/2021	Less than 5%	Y	N	2916366
KLEIN, ROBERT FRANCIS	Individual	CO-GENERAL COUNSEL	03/2021	Less than 5%	Y	N	2937015
PLATT, DANA LYNN	Individual	CHIEF COMPLIANCE OFFICER - INVESTMENT ADVISORY BUSINESSES	03/2013	Less than 5%	Y	N	6123347
MESHEL, ADAM REID	Individual	CO-GENERAL COUNSEL	04/2013	Less than 5%	Y	N	3101145
ARNOLD, EDWARD RAYMOND	Individual	CHIEF COMPLIANCE OFFICER - EQUITIES AND EQUITIES DERIVATIVES	10/2016	Less than 5%	Y	N	1876464
PALOMAKI, DANIEL SCOTT	Individual	PRINCIPAL FINANCIAL OFFICER	12/2017	Less than 5%	Y	N	6817317
KEEGAN, DANIEL LAURENCE	Individual	DIRECTOR	05/2018	Less than 5%	Y	N	2756125
DUNN, DEIRDRE KATHLEEN	Individual	DIRECTOR	09/2019	Less than 5%	Y	N	3265777
KURSMAN, SCOTT CHARLES	Individual	CHIEF COMPLIANCE OFFICER	06/2019	Less than 5%	Y	N	2590327