

Direct Owners & Officers	Indirect Owners
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The owners/officers listed below are reported on your firm's Form BD. The displayed information is current as of the previous day. If it is no longer accurate, your firm should amend your Form BD through Web CRD. **[Click here to amend Form BD](#)**

Full Legal Name	Status	Status Acquired	Entity in which Interest is Owned	Percent Ownership	Control Person	Public Reporting Company
Individual						
No Information Available.						
Domestic Entity						
CITIGROUP INC.	100% SHAREHOLDER	11/01/1997	CITIGROUP GLOBAL MARKETS HOLDINGS INC.	75% or more	Yes	Yes
CITIGROUP GLOBAL 100% 09/01/1998 OWNS 100% OF CITIGROUP 75% or more Yes Yes MARKETS HOLDINGS SHAREHOLDER FINANCIAL PRODUCTS INC. INC.						
Foreign Entity						
No Information Available.						

