

MONEX SECURITIES, INC.
STATEMENT OF FINANCIAL CONDITION
DECEMBER 31, 2015

ASSETS

CURRENT ASSETS

Cash	\$ 78,617
Marketable securities	138,010
Receivable from clearing broker/dealer	2,984,252
Commissions receivable	453,820
Employee receivable	121,101
Deposit held by clearing brokers, restricted	100,000
Income tax receivable	127,309
Other assets	<u>35,564</u>

TOTAL CURRENT ASSETS	<u>4,038,673</u>
----------------------	------------------

Property and equipment, net	<u>93,894</u>
-----------------------------	---------------

TOTAL ASSETS	<u><u>\$ 4,132,567</u></u>
--------------	----------------------------

LIABILITIES AND STOCKHOLDER'S EQUITY

CURRENT LIABILITIES

Commissions payable	\$ 194,605
Accounts payable and accrued liabilities	216,505
Accrued income taxes	<u>30,112</u>

TOTAL CURRENT LIABILITIES	<u>441,222</u>
---------------------------	----------------

Deferred income tax liability	<u>31,924</u>
-------------------------------	---------------

STOCKHOLDER'S EQUITY

Common stock, 1,000 shares authorized, issued, outstanding, \$0.01 par value	10
Additional paid-in capital	3,354,169
Retained earnings	<u>305,242</u>

TOTAL STOCKHOLDER'S EQUITY	<u>3,659,421</u>
----------------------------	------------------

TOTAL LIABILITIES AND STOCKHOLDER'S EQUITY	<u><u>\$ 4,132,567</u></u>
--	----------------------------

The accompanying notes are an integral
part of these financial statements.