

**TULLETT PREBON FINANCIAL SERVICES LLC**  
**STATEMENT OF FINANCIAL CONDITION**  
**AS OF DECEMBER 31, 2017**

**Assets**

Cash	\$ 31,242,300
Cash segregated under federal regulations	3,021,000
Deposits with clearing organizations (cash of \$3,018,500, and U.S. Treasury bills with a fair value of \$11,406,400)	14,424,900
Receivables from brokers or dealers and clearing organizations (net of allowance of \$68,900)	31,892,200
Accounts receivable (net of allowance of \$459,800)	12,928,300
Goodwill	10,361,100
Trading memberships	1,225,800
Other assets	401,800
Due from affiliates	2,337,100
Prepaid expenses	4,820,300
Income tax receivable	2,600
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<b>TOTAL ASSETS</b>	<b>\$ 112,657,400</b>
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**Liabilities and Member's Interest**

**Liabilities**

Payables to brokers or dealers and clearing organizations	\$ 23,389,500
Accrued personnel costs	20,016,400
Due to affiliates	2,801,700
Accounts payable and accrued liabilities	2,540,400
Payables to customers	1,484,600
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Total liabilities	50,232,600
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**Member's Interest**

Total member's interest	62,424,800
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<b>TOTAL LIABILITIES AND MEMBER'S INTEREST</b>	<b>\$ 112,657,400</b>
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See notes to financial statements.