

TULLETT PREBON FINANCIAL SERVICES LLC
STATEMENT OF FINANCIAL CONDITION
AS OF DECEMBER 31, 2015

Assets

Cash	\$ 34,579,400
Cash segregated under federal regulations	3,506,500
Deposits with clearing organizations (cash of \$4,377,600, and securities with a fair value of \$10,473,000)	14,850,600
Receivables from brokers or dealers and clearing organizations (net of allowance of \$101,500)	15,821,800
Accounts receivable (net of allowance of \$177,100)	11,630,600
Prepaid expenses	153,000
Goodwill	10,361,100
Due from affiliates	630,100
Income tax receivable	50,200
Other assets	<u>1,208,500</u>

TOTAL ASSETS	\$ <u>92,791,800</u>
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Liabilities and Member's Interest

Liabilities

Accrued personnel costs	\$ 25,115,500
Payables to brokers or dealers and clearing organizations	15,292,800
Accounts payable and accrued liabilities	1,205,400
Payables to customers	977,900
Due to affiliates	<u>1,592,700</u>

Total liabilities	<u>44,184,300</u>
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Member's Interest

Total member's interest	<u>48,607,500</u>
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TOTAL LIABILITIES AND MEMBER'S INTEREST	\$ <u>92,791,800</u>
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See notes to financial statements.