

Primary Business Name: BARCLAYS CAPITAL INC.**BD Number: 19714****BD - AMENDMENT****05/10/2019****BD - INDIRECT OWNERS**

Ownership Codes: **NA - less than 5%** **B - 10% but less than 25%** **D - 50% but less than 75%**
A - 5% but less than 10% **C - 25% but less than 50%** **E - 75% or more**

Full Legal Name	DE/FE/I	Entity in Which Interest is Owned	Status	Date Acquired	Own. Code	Control Person	PR CRD # (or SSN, IRS Tax #, Emp. ID)
BARCLAYS BANK PLC	FE	BARCLAYS US LLC	SOLE SHAREHOLDER	03/2016	E	Y	Y 13-4942190
BARCLAYS PLC	FE	BARCLAYS BANK PLC	SOLE SHAREHOLDER	01/1985	E	Y	Y FOREIGN
BARCLAYS US DE LLC	DE	BARCLAYS GROUP US INC.	SOLE SHAREHOLDER	04/2016	E	Y	N 47-3808943

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