

## Description of changes:

### Updates to question 13A

Also, I've noticed the SBSE\_A/A electronic form does not show the 'Briefly describe the nature' question in the UI. Arrangement would be 'Digital Archive for regulated electronic communications.'

Business Address (Street, City,  
State/Country, Zip + 4 Postal Code)

Street Address 1	851 SW 6th Ave
Street Address 2	#800
City	Portland
State/Country	OREGON
Zip + 4 Postal Code	97204
Effective Date MM DD YYYY	12-28-2016
Termination Date MM DD YYYY	

Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A); the nature of the execution, trading, custody, clearing or settlement arrangement (ITEM 13B); the nature of the control or agreement (ITEM 14); or the method and amount of financing (ITEM 15).

UI:

(Check one)

☒ Item 13A ☐ Item 13B ☐ Item 14 ☐ Item 15

Applicant must complete a separate Schedule B Page 1 for each affirmative response in this section including any multiple responses to any item. Complete the "Effective Date" box with the Month, Day and Year that the arrangement or agreement became effective. When reporting a change or termination of an arrangement, enter the effective date of the change.

Firm or Individual? \* ☒ Firm ☐ Individual

Smarsh Inc./Actiance  
Firm or Organization Name \*

SEC File, CRD, NFA, IARD, UIC, and/or CIK Number (if any)

SEC File Number (if any)  CRD (if any)

NFA (if any)  IARD (if any)

UIC (if any)  CIK Number (if any)

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Street Address 1 \* Street Address 2

Portland OREGON 97204  
City \* State/Country \* Zip + 4 Postal Code \*

12-28-2016 MM-DD-YYYY  
Effective Date \* Termination Date

Save Section II - Item 13A

