

**SANDERS MORRIS HARRIS LLC**

**SCHEDULE OF ASSESSMENT AND PAYMENTS TO THE  
SECURITIES INVESTOR PROTECTION CORPORATION**

Year Ended December 31, 2015

Form	Assessment	Payment Date	Amount Paid	Name of SIPC Collection Agent to Whom Mailed
SIPC-6	13,064	7/30/2015	13,064	All payments were mailed with related forms to: Securities Investor Protection Corporation P.O. Box 92185, Washington, D.C. 20090-2185
SIPC-7	9,233	2/25/2016	9,233	

There were no underpayments during the year ended December 31, 2015, and no overpayments applied from prior periods or carried forward to future periods.