

Primary Business Name: DEALERWEB INC.**BD Number: 19662****BD - AMENDMENT****08/20/2022****BD - DIRECT OWNERS/EXECUTIVE OFFICERS**Are there any indirect owners of the *applicant* required to be reported on Schedule B?☒ Yes ☐ No

Ownership Codes: **NA - less than 5%** **B - 10% but less than 25%** **D - 50% but less than 75%**
 A - 5% but less than 10% **C - 25% but less than 50%** **E - 75% or more**

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
AMEN, CHRISTOPHER RICHARD	I	EXECUTIVE REPRESENTATIVE	12/2016	NA	Y	N	4672544
COTTER, RICHARD JOSEPH JR	I	PRINCIPAL FINANCIAL OFFICER	04/2009	NA	Y	N	1611037
GALINDO, JORGE ALBERTO JR	I	PRINCIPAL OPERATIONS OFFICER	09/2018	NA	Y	N	2501261
MCKEON, ALFRED PETER	I	PRESIDENT	09/2004	NA	Y	N	1666355
SULLIVAN, MICHAEL FRANCIS	I	CHIEF COMPLIANCE OFFICER	10/2018	NA	Y	N	3254037
TRADEWEB IDB MARKETS, INC.	DE	OWNER	10/2008	E	Y	N	26-3349289
ZUCKER, SCOTT DAVID	I	CHIEF ADMINISTRATIVE OFFICER & ASSISTANT SECRETARY	10/2008	NA	Y	N	4632822

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