



Pruco Securities, LLC
751 Broad Street
Newark, New Jersey 07102

Pruco Securities, LLC
Exemption Report

Pruco Securities, LLC (the "Company") is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R. §240.17a-5, "Reports to be made by certain brokers and dealers"). This Exemption Report was prepared as required by 17 C.F.R. § 240.17a-5(d)(1) and (4). To the best of its knowledge and belief, the Company states the following:

(1) The Company claimed an exemption from 17 C.F.R. § 240.15c3-3 under the following provision of 17 C.F.R. § 240.15c3-3(k): (2)(ii).

(2) The Company met the identified exemption provision in 17 C.F.R. § 240.15c3-3(k) throughout the fiscal year ended December 31, 2020 except as described below.

The following 203 checks were received by agency offices and were not remitted by noon of the business day following receipt:

Approximate Date	Number of Checks	Approximate Date	Number of Checks	Approximate Date	Number of Checks
1/2/2020	1	4/13/2020	2	9/15/2020	1
1/9/2020	2	4/19/2020	1	9/21/2020	2
1/12/2020	1	4/24/2020	1	9/22/2020	1
1/13/2020	1	4/28/2020	1	9/23/2020	1
1/14/2020	1	4/29/2020	2	9/25/2020	1
1/20/2020	3	5/2/2020	1	9/27/2020	1
1/21/2020	1	5/5/2020	2	9/29/2020	3
1/22/2020	3	5/11/2020	1	9/30/2020	1
1/24/2020	1	5/12/2020	1	10/4/2020	1
1/27/2020	1	5/16/2020	1	10/7/2020	1
1/28/2020	4	5/31/2020	2	10/13/2020	3
1/30/2020	2	6/1/2020	2	10/14/2020	1
2/1/2020	1	6/2/2020	1	10/16/2020	3
2/4/2020	1	6/8/2020	1	10/22/2020	2
2/7/2020	1	6/13/2020	1	10/23/2020	1
2/11/2020	3	6/14/2020	3	10/27/2020	1
2/12/2020	3	6/16/2020	4	10/28/2020	3
2/13/2020	2	6/17/2020	1	10/30/2020	2
2/15/2020	1	6/18/2020	1	11/2/2020	4
2/20/2020	1	6/23/2020	1	11/4/2020	1
2/21/2020	3	6/24/2020	1	11/16/2020	1
2/22/2020	1	6/25/2020	1	11/20/2020	1
2/24/2020	1	6/30/2020	1	11/21/2020	1
2/26/2020	1	7/11/2020	3	11/23/2020	3
2/28/2020	1	7/14/2020	2	11/30/2020	1
3/1/2020	1	7/16/2020	1	12/2/2020	8

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3/4/2020	1	7/21/2020	1	12/3/2020	1
3/5/2020	2	7/23/2020	1	12/7/2020	1
3/10/2020	1	7/24/2020	1	12/8/2020	1
3/11/2020	1	7/29/2020	1	12/9/2020	2
3/14/2020	1	8/4/2020	1	12/10/2020	5
3/16/2020	2	8/5/2020	1	12/15/2020	2
3/18/2020	1	8/10/2020	2	12/19/2020	3
3/19/2020	2	8/11/2020	2	12/22/2020	1
3/24/2020	5	8/13/2020	1	12/23/2020	1
3/26/2020	2	8/14/2020	2	12/24/2020	2
3/27/2020	1	8/17/2020	5	12/28/2020	4
3/30/2020	2	9/1/2020	3	12/29/2020	3
4/9/2020	1	9/2/2020	1		
4/11/2020	2	9/4/2020	1		

I, Robert Smit, swear (or affirm) that, to my best knowledge and belief, this Exemption Report is true and correct.

By:  _____
CCA4F999CACD400...

Title: Chief Financial Officer

February 25, 2021



Report of Independent Registered Public Accounting Firm

To Management of Pruco Securities, LLC:

We have reviewed Pruco Securities, LLC's assertions, included in the accompanying Pruco Securities, LLC Exemption Report, in which (1) the Company identified 17 C.F.R. § 240.15c3-3(k)(2)(ii) as the provision under which the Company claimed an exemption from 17 C.F.R. § 240.15c3-3 (the "exemption provision") and (2) the Company stated that it met the identified exemption provision throughout the year ended December 31, 2020 except as described in its exemption report with respect to the following exceptions whereby 203 checks were received by agency offices and were not remitted by noon of the business day following receipt.

Approximate Date	Number of Checks	Approximate Date	Number of Checks	Approximate Date	Number of Checks
1/2/2020	1	4/13/2020	2	9/15/2020	1
1/9/2020	2	4/19/2020	1	9/21/2020	2
1/12/2020	1	4/24/2020	1	9/22/2020	1
1/13/2020	1	4/28/2020	1	9/23/2020	1
1/14/2020	1	4/29/2020	2	9/25/2020	1
1/20/2020	3	5/2/2020	1	9/27/2020	1
1/21/2020	1	5/5/2020	2	9/29/2020	3
1/22/2020	3	5/11/2020	1	9/30/2020	1
1/24/2020	1	5/12/2020	1	10/4/2020	1
1/27/2020	1	5/16/2020	1	10/7/2020	1
1/28/2020	4	5/31/2020	2	10/13/2020	3
1/30/2020	2	6/1/2020	2	10/14/2020	1
2/1/2020	1	6/2/2020	1	10/16/2020	3
2/4/2020	1	6/8/2020	1	10/22/2020	2
2/7/2020	1	6/13/2020	1	10/23/2020	1
2/11/2020	3	6/14/2020	3	10/27/2020	1
2/12/2020	3	6/16/2020	4	10/28/2020	3
2/13/2020	2	6/17/2020	1	10/30/2020	2
2/15/2020	1	6/18/2020	1	11/2/2020	4
2/20/2020	1	6/23/2020	1	11/4/2020	1
2/21/2020	3	6/24/2020	1	11/16/2020	1
2/22/2020	1	6/25/2020	1	11/20/2020	1
2/24/2020	1	6/30/2020	1	11/21/2020	1
2/26/2020	1	7/11/2020	3	11/23/2020	3



2/28/2020	1	7/14/2020	2	11/30/2020	1
3/1/2020	1	7/16/2020	1	12/2/2020	8
3/4/2020	1	7/21/2020	1	12/3/2020	1
3/5/2020	2	7/23/2020	1	12/7/2020	1
3/10/2020	1	7/24/2020	1	12/8/2020	1
3/11/2020	1	7/29/2020	1	12/9/2020	2
3/14/2020	1	8/4/2020	1	12/10/2020	5
3/16/2020	2	8/5/2020	1	12/15/2020	2
3/18/2020	1	8/10/2020	2	12/19/2020	3
3/19/2020	2	8/11/2020	2	12/22/2020	1
3/24/2020	5	8/13/2020	1	12/23/2020	1
3/26/2020	2	8/14/2020	2	12/24/2020	2
3/27/2020	1	8/17/2020	5	12/28/2020	4
3/30/2020	2	9/1/2020	3	12/29/2020	3
4/9/2020	1	9/2/2020	1		
4/11/2020	2	9/4/2020	1		

The Company's management is responsible for the assertions and for compliance with the identified exemption provision throughout the year ended December 31, 2020.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about the Company's compliance with the exemption provision. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's assertions. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's assertions referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(ii) of 17 C.F.R. § 240.15c3-3.

PricewaterhouseCoopers LLP

New York, New York
February 25, 2021