

Pruco Securities, LLC
Statement of Financial Condition
December 31, 2015

(dollars in thousands)

Assets

Cash and cash equivalents	\$	28,851
Cash segregated under federal regulations		1,984
Receivable from broker-dealers		2,900
Receivable from affiliates		11,508
Prepaid expenses and other assets		1,849
Federal deferred tax receivable from Parent		1,140
Total assets	\$	<u>48,232</u>

Liabilities and Member's Equity

Liabilities

Payable to affiliates	\$	20,894
Accounts payable and other accrued liabilities		3,182
Payable to customers		1,757
Federal Income tax payable		408
Total liabilities		<u>26,241</u>

Commitments and contingent liabilities (see Note 7)

Member's equity

Contributed capital		26,500
Accumulated deficit		<u>(4,509)</u>
Total member's equity		<u>21,991</u>
Total liabilities and member's equity	\$	<u>48,232</u>

The accompanying notes are an integral part of these financial statements.

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