

AVANTI SECURITIES CORPORATION

Schedule I – Computation of Net Capital under Rule 15c3-1

December 31, 2016

Net Capital	
Total stockholder's equity	\$ 62,001
Deductions and/or charges:	
Nonallowable assets:	
Prepaid expenses	<u>7,378</u>
Net capital before haircuts on securities	54,623
Haircuts on securities:	
Securities owned, at fair value	<u>3,020</u>
Net capital	51,603
Computation of Basic Net Capital Requirement	
Minimum net capital required of reporting broker or dealer	<u>5,000</u>
Excess net capital	\$ <u><u>46,603</u></u>
Net capital less greater of 10% of aggregate indebtedness or 120% of minimum net capital requirement	\$ <u><u>45,603</u></u>
Aggregate indebtedness	\$ <u><u>—</u></u>
Percentage of aggregate indebtedness to net capital	—%

Statement Pursuant to Paragraph (d)(4) of Rule 17a-5

Note: The above computation does not differ materially from the computation of net capital under Rule 15c3-1 as of December 31, 2016 filed by Avanti Securities Corporation in its Form X-17a-5, Part IIA on January 9, 2017.

See accompanying report of Independent Registered Public Accounting firm.